



**FEDERAL GOVERNMENT OF SOMALIA**  
**MINISTRY OF ENERGY AND WATER RESOURCES**

**SOMALI ELECTRICITY SECTOR RECOVERY PROJECT**  
**(Grant No. D931-SO; Project ID No. P173088)**

RFB No.: SO-MOEWR-405898-CW-RFB

Request for Bids

For

Design, Supply, Installation, Testing and Commissioning of Hybrid/Off-Grid Solar Photovoltaic Plants with Battery Energy Storage Systems for 30 Health Facilities in South-West State of Somalia with 2 Years of Operations and Maintenance (O&M) Services with the possibility of contract extension subject to availability of budget.

**28<sup>th</sup> March 2024**



# Request for Bids

# Plant

**Design, Supply, Installation, testing and  
commissioning.**

**(Without Prequalification)**

## **Procurement of**

**Design, supply, installation, testing and commissioning of hybrid/off-grid solar photovoltaic plants with battery energy storage systems for 30 health facilities in South-West State of Somalia with 2 years of Operations and Maintenance (O&M) Services with the possibility of contract extension subject to availability of budget.**

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**RFB No:** SO-MOEWR-405898-CW-RFB

**Project:** Somalia Electricity Sector Recovery Project “SESRP”

**Employer:** Ministry of Energy and Water Resources,

**Country:** Federal Republic of Somalia

**Issued on:** 28<sup>th</sup> March 2024



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## **PART 1 – Bidding Procedures**





# Section I - Instructions to Bidders

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## Section I - Instructions to Bidders

### A. General

- 1. Scope of Bid**
- 1.1 In connection with the Specific Procurement Notice - Request for Bids (RFB), specified in the Bid Data Sheet (BDS), the Employer, as specified **in the BDS**, issues this bidding document for the Design, Supply and Installation of Plant as specified in Section VII, Employer's Requirements. The name, identification, and number of lots (contracts) of this RFB are specified **in the BDS**.
- 1.2 Throughout this bidding document:
- (a) the term **"in writing"** means communicated in written form (e.g., by mail, e-mail, fax, including if specified **in the BDS**, distributed or received through the electronic-procurement system used by the Employer) with proof of receipt;
  - (b) if the context so requires, "singular" means "plural" and vice versa;
  - (c) **"Day"** means calendar day, unless otherwise specified as **"Business Day."** A Business Day is any day that is an official working day of the Borrower. It excludes the Borrower's official public holidays;
  - (d) **"ES"** means environmental and social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH));
  - (e) **"Sexual Exploitation and Abuse" "(SEA)"** means the following:
 

**Sexual Exploitation** is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another.

**Sexual Abuse** is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions.
  - (f) **"Sexual Harassment" "(SH)"** is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Contractor's Personnel with other Contractor's or Employer's Personnel.

(g) **“Contractor’s Personnel”** is as defined in GCC Sub-Clause 1; and

(h) **“Employer’s Personnel”** is as defined in GCC Sub-Clause 1.

A non-exhaustive list of (i) behaviors which constitute SEA and (ii) behaviors which constitute SH is attached to the Code of Conduct form in Section IV.

- 2. Source of Funds**
- 2.1 The Borrower or Recipient (hereinafter called “Borrower”) indicated **in the BDS** has applied for or received financing (hereinafter called “funds”) from the World Bank (hereinafter called “the Bank”) in an amount specified **in BDS**, toward the project named **in BDS**. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this bidding document is issued.
- 2.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank in accordance with the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant, or materials, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the Loan (or other financing).
- 3. Fraud and Corruption**
- 3.1 The Bank requires compliance with the Bank’s Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG’s Sanctions Framework, as set forth in Section VI.
- 3.2 In further pursuance of this policy, bidders shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, bid submission, proposal submission and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- 4. Eligible Bidders**
- 4.1 A Bidder may be a firm that is a private entity, a state-owned enterprise or institution subject to ITB 4.6, or any combination of such entities in the form of a joint venture (JV) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all

members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Bidding process and, in the event the JV is awarded the Contract, during contract execution. Unless specified **in the BDS**, there is no limit on the number of members in a JV.

- 4.2 A Bidder shall not have a conflict of interest. Any Bidder found to have a conflict of interest shall be disqualified. A Bidder may be considered to have a conflict of interest for the purpose of this Bidding process, if the Bidder:
- (a) directly or indirectly controls, is controlled by or is under common control with another Bidder; or
  - (b) receives or has received any direct or indirect subsidy from another Bidder; or
  - (c) has the same legal representative as another Bidder; or
  - (d) has a relationship with another Bidder, directly or through common third parties, that puts it in a position to influence the Bid of another Bidder, or influence the decisions of the Employer regarding this Bidding process; or
  - (e) or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the Plant and Installation Services that are the subject of the Bid; or
  - (f) or any of its affiliates has been hired (or is proposed to be hired) by the Employer or Borrower as Project Manager for the Contract implementation; or
  - (g) would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the project specified in the BDS ITB 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or
  - (h) has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the bidding document or specifications of the Contract, and/or the Bid evaluation process of such Contract; or (ii) would be involved in the implementation or supervision of such contract unless

the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the Bidding process and execution of the Contract.

- 4.3 A firm that is a Bidder (either individually or as a JV member) shall not participate as a Bidder or as JV member in more than one Bid except for permitted alternative Bids. Such participation shall result in the disqualification of all Bids in which the firm is involved. However, this does not limit the participation of a Bidder as subcontractor in another Bid or of a firm as a subcontractor in more than one Bid.
- 4.4 A Bidder may have the nationality of any country, subject to the restrictions pursuant to ITB 4.8. A Bidder shall be deemed to have the nationality of a country if the Bidder is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed subcontractors or subconsultants for any part of the Contract including related Services.
- 4.5 A Bidder that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines, in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework as described in Section VI paragraph 2.2 d., shall be ineligible to be prequalified for, initially selected for, bid for, propose for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the BDS.
- 4.6 Bidders that are state-owned enterprises or institutions in the Employer's Country may be eligible to compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Employer.
- 4.7 A Bidder shall not be under suspension from Bidding by the Employer as the result of the operation of a Bid-Securing Declaration or Proposal-Securing Declaration.
- 4.8 Firms and individuals may be ineligible if so indicated in Section V and (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided

that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country. Where the procurement is implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITB 4.8 (a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.

- 4.9 A Bidder shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 4.10 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment; (a) relates to fraud or corruption, and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.
- 4.11 This bidding is open only to prequalified Bidders unless specified **in the BDS.**

**5. Eligible Plant and Installation Services**

- 5.1 The Plant and Installation Services to be supplied under the Contract and financed by the Bank may have their origin in any country in accordance with Section V, Eligible Countries.
- 5.2 For purposes of ITB 5.1 above, "origin" means the place where the Plant, or component parts thereof are mined, grown, produced or manufactured, and from which the services are provided. Plant components are produced when, through manufacturing, processing, or substantial or major assembling of components, a commercially recognized product results that is substantially different in its basic characteristics or in purpose or utility from its components.

**B. Contents of Bidding Document**

**6. Sections of Bidding Document**

- 6.1 The bidding document consists of Parts 1, 2, and 3, which include all the sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITB 8.



**PART 1. Bidding Procedures**

- Section I - Instructions to Bidders (ITB)
- Section II - Bid Data Sheet (BDS)
- Section III - Evaluation and Qualification Criteria
- Section IV - Bidding Forms
- Section V - Eligible Countries
- Section VI - Fraud and Corruption

**PART 2. Employer's Requirements**

- Section VII -Employer's Requirements

**PART 3. Conditions of Contract and Contract Forms**

- Section VIII - General Conditions of Contract (GCC)
- Section IX -Particular Conditions of Contract (PCC)
- Section X -Contract Forms

- 6.2 The Specific Procurement Notice-Request for Bids (RFB) issued by the Employer, or the Notice of Request for Bids (RFB) issued by the Employer to the prequalified Bidders are not part of the bidding document.
- 6.3 Unless obtained directly from the Employer, the Employer is not responsible for the completeness of the document, responses to requests for clarification, the Minutes of the pre-Bid meeting (if any), or Addenda to the bidding document in accordance with ITB 8. In case of any contradiction, documents obtained directly from the Employer shall prevail.
- 6.4 The Bidder is expected to examine all instructions, forms, terms, and specifications in the bidding document and to furnish with its Bid all information or documentation as is required by the bidding document.
- 7. Clarification of Bidding Document, Site Visit, Pre-Bid Meeting**
- 7.1 A Bidder requiring any clarification of the bidding document shall contact the Employer in writing at the Employer's address indicated **in the BDS** or raise his enquiries during the pre-Bid meeting if provided for in accordance with ITB 7.4. The Employer will respond in writing to any request for clarification, provided that such request is received prior to the deadline for submission of Bids within a period specified **in the BDS**. The Employer shall forward copies of its response to all Bidders who have acquired the bidding document in accordance with ITB 6.3, including a description of the inquiry but without identifying its source. If so specified **in the BDS**,

the Employer shall also promptly publish its response at the web page identified **in the BDS**. Should the clarification result in changes to the essential elements of the bidding document, the Employer shall amend the bidding document following the procedure under ITB 8 and ITB 23.2.

- 7.2 The Bidder is advised to visit and examine the site where the Plant is to be installed and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the Bid and entering into a Contract for the provision of Plant and Installation Services. The costs of visiting the site shall be at the Bidder's own expense.
- 7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4 If so specified **in the BDS**, the Bidder's designated representative is invited to attend a pre-Bid meeting and/or a site visit. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5 The Bidder is requested to submit any questions in writing, to reach the Employer not later than one week before the meeting.
- 7.6 Minutes of the pre-Bid meeting, including the text of the questions raised without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Bidders who have acquired the bidding document in accordance with ITB 6.3. If so specified **in the BDS**, the Employer shall also promptly publish the Minutes of the pre-Bid meeting at the web page identified **in the BDS**. Any modification to the bidding document that may become necessary as a result of the pre-Bid meeting shall be made by the Employer exclusively through the issue of an Addendum pursuant to ITB 8 and not through the minutes of the pre-Bid meeting. Nonattendance at the pre-Bid meeting will not be a cause for disqualification of a Bidder.

- 8. Amendment of Bidding Document**
- 8.1 At any time prior to the deadline for submission of Bids, the Employer may amend the bidding document by issuing addenda.
- 8.2 Any addendum issued shall be part of the bidding document and shall be communicated in writing to all who have obtained the bidding document from the Employer in accordance with ITB 6.3. The Employer shall also promptly publish the addendum on the Employer’s web page in accordance with ITB 7.1.
- 8.3 To give prospective Bidders reasonable time in which to take an addendum into account in preparing their Bids, the Employer may, at its discretion, extend the deadline for the submission of bids, pursuant to ITB 23.2.

### **C. Preparation of Bids**

- 9. Cost of Bidding**
- 9.1 The Bidder shall bear all costs associated with the preparation and submission of its Bid, and the Employer shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Bidding process.
- 10. Language of Bid**
- 10.1 The Bid, as well as all correspondence and documents relating to the Bid exchanged by the Bidder and the Employer, shall be written in the language specified **in the BDS**. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified **in the BDS**, in which case, for purposes of interpretation of the Bid, such translation shall govern.
- 11. Documents Comprising the Bid**
- 11.1 The Bid shall comprise two Parts, namely the Technical Part and the Financial Part. These two Parts shall be submitted simultaneously in two separate sealed envelopes (two-envelope Bidding process). One envelope shall contain only information relating to the Technical Part and the other, only information relating to the Financial Part. These two envelopes shall be enclosed in a separate sealed outer envelope marked “ORIGINAL BID”
- 11.2 The Technical Part shall comprise the following:
- (a) **Letter of Bid** - Technical Part, prepared in accordance with ITB12;
  - (b) **Bid Security** or **Bid Securing Declaration**, in accordance with ITB 20;
  - (c) **Alternative Bid** - Technical Part: if permissible in accordance with ITB 13, the Technical Part of any Alternative Bid;

- (d) **Authorization:** written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB 21.3;
  - (e) **Eligibility of Plant and Installation Services:** documentary evidence established in accordance with ITB 14.1 that the Plant and Installation Services offered by the Bidder in its Bid or in any alternative Bid, if permitted, are eligible;
  - (f) **Bidder's Eligibility and Qualifications:** documentary evidence in accordance with ITB 15.1 establishing the Bidder's eligibility and qualifications ;
  - (g) **Conformity:** documentary evidence in accordance with ITB 16 that the Plant and Installation Services offered by the Bidder conform to the bidding document;
  - (h) **Subcontractors:** list of subcontractors in accordance with ITB 16.2; and
  - (i) any other document required **in the BDS.**
- 11.3** The Financial Part shall contain the following:
- (a) Letter of Bid – Financial Part: prepared in accordance with ITB 12 and ITB 14;
  - (b) **Price Schedules** completed in accordance with ITB 12 and ITB 17;
  - (c) Alternative Bid - Financial Part: if permissible in accordance with ITB 13, the Financial Part of any Alternative Bid; and
  - (d) any other document required in the BDS.
- 11.4** The Technical Part shall not include any information related to the Bid price. Where material financial information related to the Bid price is contained in the Technical Part the Bid shall be declared non-responsive.
- 11.5** In addition to the requirements under ITB 11.2, Bids submitted by a JV shall include in the Technical Part a copy of the Joint Venture Agreement entered into by all members. Alternatively, a letter of intent to execute a Joint Venture Agreement in the event of a successful Bid shall be signed by all members and submitted with the Bid, together with a copy of the proposed Agreement.
- 11.6** The Bidder shall furnish in the Letter of Bid - Financial Part information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Bid

- 12. Letters of Bid and Price Schedules** 12.1 The Letter of Bid - Technical Part and the Letter of Bid- Financial Part including the Price Schedules shall be prepared, using the relevant forms furnished in Section IV, Bidding Forms. The forms must be completed as instructed in each form without any alterations to the text, and no substitutes shall be accepted except as provided under ITB 21.3. All blank spaces shall be filled in with the information requested.
- 13. Alternative Bids** 13.1 Unless otherwise specified **in the BDS**, alternative Bids shall not be considered.
- 13.2 When alternatives to the Time Schedule are explicitly invited, a statement to that effect will be included **in the BDS**, and the method of evaluating different time schedules will be described in Section III, Evaluation and Qualification Criteria.
- 13.3 Except as provided under ITB 13.4 below, Bidders wishing to offer technical alternatives to the Employer’s requirements as described in the bidding document must also provide: (i) a price at which they are prepared to offer a Plant meeting the Employer’s requirements; and (ii) all information necessary for a complete evaluation of the alternatives by the Employer, including drawings, design calculations, technical specifications, breakdown of prices, and proposed installation methodology and other relevant details. Only the technical alternatives, if any, of the Bidder with the Most Advantageous Bid conforming to the basic technical requirements shall be considered by the Employer.
- 13.4 When Bidders are invited **in the BDS** to submit alternative technical solutions for specified parts of the facilities, such parts will be identified **in the BDS**, as will the method for their evaluation, and described in Section VII, Employer’s Requirements.
- 14. Documents Establishing the Eligibility of the Plant and Installation Services** 14.1 To establish the eligibility of the Plant and Installation Services in accordance with ITB 5, Bidders shall complete the country of origin declarations in the Price Schedule Forms, included in Section IV, Bidding Forms.
- 15. Documents Establishing the Eligibility and Qualifications of the Bidder** 15.1 To establish Bidder’s eligibility in accordance with ITB 4, Bidders shall complete the Letter of Bid- Technical Part, included in Section IV, Bidding Forms.
- 15.2 To establish its qualifications to perform the Contract in accordance with Section III, Evaluation and Qualification Criteria, the Bidder

shall provide the information requested in the corresponding information sheets included in Section IV, Bidding Forms.

- 15.3 Any change in the structure or formation of a Bidder after being prequalified and invited to Bid, if applicable, (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Employer prior to the deadline for submission of Bids. Such approval shall be denied if (i) a Bidder proposes to associate with a disqualified Bidder or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Bidder no longer substantially meets the qualification criteria; or (iii) in the opinion of the Employer, the change may result in a substantial reduction in competition. Any such change should be submitted to the Employer not later than fourteen (14) days after the date of the notice for RFB sent to the prequalified Bidders

**16. Documents  
Establishing the  
Conformity of  
the Plant and  
Installation  
Services**

- 16.1 The Bidder shall furnish the information stipulated in Section IV, Bidding Forms in sufficient detail to demonstrate substantial responsiveness of the Bidders' proposal to the work requirements and the completion time.
- 16.2 For major items of Plant and Installation Services as listed by the Employer in Section III, Evaluation and Qualification Criteria, which the Bidder intends to purchase or subcontract, the Bidder shall give details of the name and nationality of the proposed Subcontractors, including manufacturers, for each of those items. In addition, the Bidder shall include in its Bid information establishing compliance with the requirements specified by the Employer for these items. Quoted rates and prices will be deemed to apply to whichever Subcontractor is appointed, and no adjustment of the rates and prices will be permitted.
- 16.3 The Bidder shall be responsible for ensuring that any Subcontractor proposed complies with the requirements of ITB 4, and that any Plant, or services to be provided by the Subcontractor comply with the requirements of ITB 5 and ITB 16.1.

**17. Bid Prices and  
Discounts**

- 17.1 Unless otherwise specified **in the BDS**, Bidders shall quote for the entire Plant and Installation Services on a "single responsibility" basis. The total Bid price shall include all the Contractor's obligations mentioned in or to be reasonably inferred from the bidding document in respect of the design, manufacture, including procurement and subcontracting (if any), delivery, construction,

installation and completion of the Plant. This includes all requirements under the Contractor's responsibilities for testing, pre-commissioning and commissioning of the Plant and, where so required by the bidding document, the acquisition of all permits, approvals and licenses, etc.; the operation, maintenance and training services and such other items and services as specified in the bidding document, all in accordance with the requirements of the General Conditions. Items against which no price is entered by the Bidder will not be paid for by the Employer when executed and shall be deemed to be covered by the prices for other items.

- 17.2 Bidders are required to quote the price for the commercial, contractual and technical obligations outlined in the bidding document.
- 17.3 Bidders shall give a breakdown of the prices in the manner and detail called for in the Price Schedules included in Section IV, Bidding Forms.
- 17.4 Depending on the scope of the Contract, the Price Schedules may comprise up to the six (6) schedules listed below. Separate numbered Schedules included in Section IV, Bidding Forms, from those numbered 1 to 4 below, shall be used for each of the elements of the Plant and Installation Services. The total amount from each Schedule corresponding to an element of the Plant and Installation Services shall be summarized in the schedule titled Grand Summary, (Schedule 5), giving the total Bid price(s) to be entered in the Letter of Bid. Bidders shall note that the plant and equipment included in Schedule Nos. 1 and 2 below exclude materials used for civil, building and other construction works. All such materials shall be included and priced under Schedule No. 4, Installation Services. The Schedules comprise:
- Schedule No. 1:** Plant (including Mandatory Spare Parts)  
Supplied from Abroad
  - Schedule No. 2:** Plant (including Mandatory Spare Parts)  
Supplied from within the Employer's Country
  - Schedule No. 3:** Design Services
  - Schedule No. 4:** Installation Services
  - Schedule No. 5:** Grand Summary (Schedule Nos.1 to 4)
  - Schedule No. 6:** Recommended Spare Parts
- 17.5 In the Schedules, Bidders shall give the required details and a breakdown of their prices as follows:

- (a) Plant to be supplied from abroad (Schedule No. 1):

The price of the Plant shall be quoted on CIP-named place of destination basis as specified **in the BDS**.
  - (b) Plant manufactured within the Employer's Country (Schedule No. 2):
    - (i) The price of the Plant shall be quoted on an EXW Incoterm basis (such as "ex-works," "ex-factory," "ex-warehouse" or "off-the-shelf," as applicable), including all customs duties, sales and other taxes already paid or payable on the components and raw materials used in the manufacture or assembly of the Plant;
    - (ii) Sales tax and all other taxes payable in the Employer's Country on the Plant if the contract is awarded to the Bidder;
  - (c) Design Services (Schedule No. 3);
  - (d) Installation Services shall be quoted separately (Schedule No. 4) and shall include rates or prices for local transportation to named place of final destination as specified **in the BDS**, insurance and other services incidental to delivery of the Plant, all labor, contractor's equipment, temporary works, materials, consumables and all matters and things of whatsoever nature, including operations and maintenance services, the provision of operations and maintenance manuals, training, etc., where identified in the bidding document, as necessary for the proper execution of the installation and other services, including all taxes, duties, levies and charges payable in the Employer's Country as of twenty-eight (28) days prior to the deadline for submission of Bids;
  - (e) Recommended spare parts shall be quoted separately (Schedule 6) as specified in either subparagraph (a) or (b) above in accordance with the origin of the spare parts.
- 17.6 The terms EXW, CIP, and other similar terms shall be governed by the rules prescribed in the current edition of Incoterms, published by the International Chamber of Commerce, as specified **in the BDS**.
- 17.7 The prices shall be either fixed or adjustable as specified **in the BDS**.
- 17.8 In the case of **Fixed Price**, prices quoted by the Bidder shall be fixed during the Bidder's performance of the contract and not subject to variation on any account. A Bid submitted with an adjustable price quotation will be treated as non-responsive and rejected.



- 17.9 In the case of **Adjustable Price**, prices quoted by the Bidder shall be subject to adjustment during performance of the contract to reflect changes in the cost elements such as labor, material, transport and contractor's equipment in accordance with the procedures specified in the corresponding Appendix to the Contract Agreement. A Bid submitted with a fixed price quotation will not be rejected, but the price adjustment will be treated as zero. Bidders are required to indicate the source of labor and material indices in the corresponding Form in Section IV, Bidding Forms.
- 17.10 If so indicated in ITB 1.1, Bids are being invited for individual lots (contracts) or for any combination of lots (packages). Bidders wishing to offer any price reduction (discount) for the award of more than one Contract shall specify in their Letter of Bid the price reductions applicable to each package, or alternatively, to individual Contracts within the package, and the manner in which the price reductions will apply. **However, discounts on condition of award of more than one contract will not be used for Bid evaluation purpose.**
- 17.11 Bidders wishing to offer any unconditional discount shall specify in their Letter of Bid the offered discounts and the manner in which price discounts will apply.
- 18. Currencies of Bid and Payment**
- 18.1 The currency(ies) of the Bid and the currency(ies) of payments shall be the same. The Bidder shall quote in the currency of the Employer's country the portion of the Bid price that corresponds to expenditures incurred in the currency of the Employer's Country, unless otherwise specified **in the BDS**.
- 18.2 The Bidder may express the Bid price in any currency. If the Bidder wishes to be paid in a combination of amounts in different currencies, it may quote its price accordingly but shall use no more than three foreign currencies in addition to the currency of the Employer's Country.
- 19. Period of Validity of Bids**
- 19.1 Bids shall remain valid until the date specified **in the BDS** or any extended date if amended by the Employer in accordance with ITB 8. A Bid that is not valid until the date specified **in the BDS**, or any extended date if amended by the Employer in accordance with ITB 8, shall be rejected by the Employer as nonresponsive.
- 19.2 In exceptional circumstances, prior to the date of expiration of the Bid validity, the Employer may request Bidders to extend the period of validity of their Bids. The request and the responses shall be made in writing. If a Bid Security is requested in accordance with ITB 20, the Bidder granting the request shall also extend the Bid Security for twenty-eight (28) days beyond the deadline of the

extended validity period. A Bidder may refuse the request without forfeiting its Bid Security. A Bidder granting the request shall not be required or permitted to modify its Bid, except as provided in ITB 19.3.

- 19.3 If the award is delayed by a period exceeding fifty-six (56) days beyond the expiry of the initial Bid validity specified in accordance with ITB 19.1, the Contract price shall be determined as follows:
- (a) in the case of **fixed price** contracts, the Contract price shall be the Bid price adjusted by the factor or factors specified in **the BDS**;
  - (b) in the case of **adjustable price** contracts, no adjustment shall be made; or
  - (c) in any case, Bid evaluation shall be based on the Bid price without taking into consideration the applicable correction from those indicated above.

## 20. Bid Security

- 20.1 The Bidder shall furnish as part of the Technical Part of its Bid, either a Bid-Securing Declaration or a Bid Security as specified in **the BDS**, in original form and, in the case of a Bid Security, in the amount and currency specified in **the BDS**.
- 20.2 A Bid-Securing Declaration shall use the form included in Section IV Bidding Forms.
- 20.3 If a Bid Security is specified pursuant to ITB 20.1, the Bid security shall be a demand guarantee in any of the following forms at the Bidder's option:
- (a) an unconditional guarantee issued by a bank or non-bank financial institution (such as an insurance, bonding or surety company);
  - (b) an irrevocable letter of credit;
  - (c) a cashier's or certified check; or
  - (d) another security indicated in **the BDS**,
- from a reputable source from an eligible country. If an unconditional guarantee is issued by a non-bank financial institution located outside the Employer's Country the issuing non-bank financial institution shall have a correspondent financial institution located in the Employer's Country to make it enforceable unless the Employer has agreed in writing, prior to Bid submission, that a correspondent financial institution is not required. In the case of a bank guarantee, the Bid Security shall be submitted either using the Bid Security Form included in Section IV, Bidding Forms, or in another

substantially similar format approved by the Employer prior to Bid submission. The Bid Security shall be valid for twenty-eight (28) days beyond the original date of expiry of the Bid validity, or beyond any extended date if requested under ITB 19.2.

- 20.4 If a Bid Security or a Bid-Securing Declaration is specified pursuant to ITB 20.1, any Bid not accompanied by a substantially responsive Bid Security or Bid-Securing Declaration shall be rejected by the Employer as nonresponsive.
- 20.5 If a Bid Security is specified pursuant to ITB 20.1, the Bid Security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's furnishing of the Performance Security pursuant to ITB 47.
- 20.6 The Bid Security of the successful Bidder shall be returned as promptly as possible once the successful Bidder has signed the Contract and furnished the required Performance Security.
- 20.7 The Bid Security may be forfeited:
- (a) if a Bidder withdraws its Bid prior to the expiry date of the Bid validity specified by the Bidder on the Letter of Bid or any extended date provided by the Bidder; or
  - (b) if the successful Bidder fails to:
    - (i) sign the Contract in accordance with ITB 46; or
    - (ii) furnish a Performance Security in accordance with ITB 47.
- 20.8 The Bid Security or the Bid-Securing Declaration of a JV shall be in the name of the JV that submits the Bid. If the JV has not been legally constituted into a legally enforceable JV at the time of bidding, the Bid Security or the Bid Securing Declaration shall be in the names of all future members as named in the letter of intent referred to in ITB 4.1 and ITB 11.5.
- 20.9 If a Bid Security is not required **in the BDS**: and
- (a) if a Bidder withdraws its Bid prior to the expiry date of the Bid validity specified by the Bidder on the Letter of Bid, or any extended date provided by the Bidder; or
  - (b) if the successful Bidder fails to:
    - (i) sign the Contract in accordance with ITB 46; or
    - (ii) furnish a Performance Security in accordance with ITB 47;

the Borrower may, if provided for **in the BDS**, declare the Bidder disqualified to be awarded a contract by the Employer for a period of time as stated **in the BDS**.

**21. Format and Signing of Bid**

- 21.1 The Bidder shall prepare the Bid, in accordance with this Instruction, ITB 11 and ITB 22.
- 21.2 Bidders shall mark as “CONFIDENTIAL” information in their Bids which is confidential to their business. This may include proprietary information, trade secrets or commercial or financially sensitive information.
- 21.3 The original and all copies of the Bid shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Bidder. This authorization shall consist of a written confirmation as specified **in the BDS** and shall be attached to the Bid. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Bid where entries or amendments have been made shall be signed or initialed by the person signing the Bid.
- 21.4 In the case that the Bidder is a JV, the Bid shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.
- 21.5 Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Bid.

**D. Submission of Bids**

**22. Submission, Sealing and Marking of Bids**

- 22.1 The Bidder shall deliver the Bid in two separate, sealed envelopes (the Technical Part and the Financial Part.). These two envelopes shall be enclosed in a separate sealed outer envelope marked “Original BID”. In addition, the Bidder shall submit copies of the Bid in the number specified **in the BDS**. Copies of the Technical Part shall be placed in a separate sealed envelope marked “COPIES: TECHNICAL PART”. Copies of the Financial Part shall be placed in a separate sealed envelope marked “COPIES: FINANCIAL PART”. The Bidder shall place both of these envelopes in a separate, sealed outer envelope marked “BID COPIES”. In the event of any discrepancy between the original and the copies, the original shall prevail.
- 22.2 If alternative Bids are permitted in accordance with ITB 13, the alternative Bids shall be submitted as follows: the original of the alternative Bid Technical Part shall be placed in a sealed envelope marked “ALTERNATIVE BID – TECHNICAL PART” and the Financial Part shall be placed in a sealed envelope marked “ALTERNATIVE BID – FINANCIAL PART” and these two separate sealed envelopes then

- enclosed within a sealed outer envelope marked “ALTERNATIVE BID – ORIGINAL”, the copies of the alternative Bid will be placed in separate sealed envelopes marked “ALTERNATIVE BID – COPIES OF TECHNICAL PART”, and “ALTERNATIVE BID – COPIES OF FINANCIAL PART” and enclosed in a separate sealed outer envelope marked “ALTERNATIVE BID - COPIES”.
- 22.3 The envelopes marked “ORIGINAL BID” and “BID COPIES” (and, if appropriate, a third envelope marked “ALTERNATIVE BID”) shall be enclosed in a separate sealed outer envelope for submission to the Employer.
- 22.4 The inner and outer envelopes shall:
- (a) bear the name and address of the Bidder;
  - (b) be addressed to the Employer in accordance with ITB 23.1;
  - (c) bear the specific identification of this Bidding process indicated in accordance with ITB 1.1; and
  - (d) bear a warning not to open before the time and date for Bid opening.
- 22.5 If all envelopes are not sealed and marked as required, the Employer will assume no responsibility for the misplacement or premature opening of the Bid.
- 23. Deadline for Submission of Bids**
- 23.1 Bids must be received by the Employer at the address and no later than the date and time indicated **in the BDS**. When so specified **in the BDS**, Bidders shall have the option of submitting their Bids electronically. Bidders submitting Bids electronically shall follow the electronic Bid submission procedures specified **in the BDS**.
- 23.2 The Employer may, at its discretion, extend the deadline for the submission of Bids by amending the bidding document in accordance with ITB 8, in which case all rights and obligations of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.
- 24. Late Bids**
- 24.1 The Employer shall not consider any Bid that arrives after the deadline for submission of Bids, in accordance with ITB 23. Any Bid received by the Employer after the deadline for submission of Bids shall be declared late, rejected, and returned unopened to the Bidder.
- 25. Withdrawal, Substitution, and Modification of Bids**
- 25.1 A Bidder may withdraw, substitute, or modify its bid after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITB 21.3, (except that withdrawal notices do not require copies). The corresponding substitution or

modification of the Bid must accompany the respective written notice. All notices must be:

- (a) prepared and submitted in accordance with ITB 21 and ITB 22 (except that withdrawals notices do not require copies), and in addition, the respective envelopes shall be clearly marked “Withdrawal,” “Substitution,” “Modification”; and
- (b) received by the Employer prior to the deadline prescribed for submission of Bids, in accordance with ITB 23.

25.2 Bids requested to be withdrawn in accordance with ITB 25.1 shall be returned unopened to the Bidders.

25.3 No Bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of Bids and the date of expiry of the Bid validity specified by the Bidder on the Letter of Bid or any extended date thereof.

#### **E. Public Opening of Technical Parts of Bids**

##### **26. Public Opening of Technical Parts of Bids**

26.1 Except as in the cases specified in ITB 24 and ITB 25.2, the Employer shall publicly open and read out all Bids received by the deadline at the date, time and place specified **in the BDS** in the presence of Bidders’ designated representatives and anyone who choose to attend. Any specific electronic Bid opening procedures required if electronic Bidding is permitted in accordance with ITB 23.1, shall be as specified **in the BDS**.

26.2 First, the written notice of withdrawal in the envelopes marked “Withdrawal” shall be opened and read out and the envelope with the corresponding Bid shall not be opened but returned to the Bidder. No bid withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Bid opening.

26.3 Next, envelopes marked “Substitution” shall be opened and read out and exchanged with the corresponding Bid being substituted, and the substituted Bid shall not be opened, but returned to the Bidder. No Bid substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Bid opening.

26.4 Next, envelopes marked “Modification” shall be opened and read out with the corresponding Bid. No Bid modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Bid opening.

- 26.5 Next, all other envelopes marked “TECHNICAL PART” shall be opened one at a time. All envelopes marked “SECOND ENVELOPE: Financial PART” shall remain sealed and kept by the Employer in safe custody until they are opened at a later public opening, following the evaluation of the Technical Part parts of the Bids. On opening the envelopes marked “TECHNICAL PART” the Employer shall read out: the name of the Bidder, the presence or the absence of a Bid Security, or Bid-Securing Declaration, if required, and whether there is a modification; and Alternative Bid - Technical Part; and any other details as the Employer may consider appropriate.
- 26.6 Only Technical Parts of Bids and Alternative Bid - Technical Parts that are read out at Bid opening shall be considered further for evaluation. The Letter of Bid- Technical Part and the separate sealed envelope marked “SECOND ENVELOPE: FINANCIAL PART” are to be initialed by representatives of the Employer attending Bid opening in the manner specified **in the BDS**.
- 26.7 The Employer shall neither discuss the merits of any Bid nor reject any Bid (except for late Bids, in accordance with ITB 24.1).
- 26.8 The Employer shall prepare a record of the Bid opening that shall include, as a minimum:
- (a) the name of the Bidder and whether there is a withdrawal, substitution, or modification;
  - (b) the receipt of envelopes marked “SECOND ENVELOPE: FINANCIAL PART”;
  - (c) if applicable, any alternative Bid-Technical Part; and
  - (d) the presence or absence of a Bid Security or a Bid-Securing Declaration.
- 26.9 The Bidders’ representatives who are present shall be requested to sign the record. The omission of a Bidder’s signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.

## **F. Evaluation of Bids- General Provisions**

- 27. Confidentiality** 27.1 Information relating to the evaluation of the Technical Part shall not be disclosed to Bidders or any other persons not officially concerned with the Bidding process until the notification of evaluation of the Technical Part in accordance with ITB 33. Information relating to the evaluation of Financial Part, the evaluation of combined Technical Part and Financial Part, and recommendation of contract award shall not be disclosed to Bidders or any other persons not officially concerned with the RFB

process until the Notification of Intention to Award the Contract is transmitted to Bidders in accordance with ITB 42..

- 27.2 Any effort by a Bidder to influence the Employer in the evaluation of the bids or Contract award decisions may result in the rejection of its Bid.
- 27.3 Notwithstanding ITB 27.2, from the time of Bid opening to the time of Contract Award, if any Bidder wishes to contact the Employer on any matter related to the Bidding process, it should do so in writing.

**28. Clarification of Bids**

28.1 To assist in the examination, evaluation, and comparison of the Bids, and qualification of the Bidders, the Employer may, at its discretion, ask any Bidder for a clarification of its Bid. Any clarification submitted by a Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change in the prices or substance of the Bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the Bids, in accordance with ITB 35.

28.2 If a Bidder does not provide clarifications of its Bid by the date and time set in the Employer's request for clarification, its Bid may be rejected.

**29. Deviations, Reservations, and Omissions**

- 29.1 During the evaluation of Bids, the following definitions apply:
- (a) "Deviation" is a departure from the requirements specified in the bidding document;
  - (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the bidding document; and
  - (c) "Omission" is the failure to submit part or all of the information or documentation required in the bidding document.
- 29.2 Provided that a Bid is substantially responsive, the Employer may waive any nonmaterial nonconformities in the Bid.
- 29.3 Provided that a Bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the Bid. Failure of the Bidder to comply with the request may result in the rejection of its Bid.



## **G. Evaluation of Technical Part of Bids**

- 30. Determination of Responsiveness of Technical Part**
- 30.1 The Employer’s determination of the Technical Part’s responsiveness shall be based on the contents of the Bid, as specified in ITB 11.
- 30.2 Preliminary examination of the Technical Part shall be carried out to identify proposals that are incomplete, invalid or substantially nonresponsive to the requirements of the Bidding documents. A substantially responsive Bid is one that materially conforms to the requirements of the Bidding document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,
- (a) if accepted, would:
    - (i) affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
    - (ii) limit in any substantial way, inconsistent with the Bidding document, the Employer’s rights or the Bidder’s obligations under the proposed Contract; or
  - (b) if rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive Bids.
- 30.3 If the Technical Part is not substantially responsive to the requirements of the Bidding document, it shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.
- 31. Eligibility and Qualifications of the Bidder**
- 31.1 The Employer shall determine to its satisfaction whether the Bidders that have been assessed to have submitted substantially responsive Bids are eligible, and either continue to meet (if prequalification applies) or meet (if prequalification has not been carried out), the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.
- 31.2 The determination shall be based upon an examination of the documentary evidence of the Bidder’s eligibility and qualifications submitted by the Bidder, pursuant to ITB 15. The determination shall not take into consideration the qualifications of other firms such as the Bidder’s subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the bidding document), or any other firm.
- 31.3 Prior to Contract award, the Employer will verify that the successful Bidder (including each member of a JV) is not disqualified by the Bank due to noncompliance with contractual SEA/SH prevention and response obligations. The Employer will conduct the same

verification for each subcontractor proposed by the successful Bidder. If any proposed subcontractor does not meet the requirement, the Employer will require the Bidder to propose a replacement subcontractor.

- 31.4 Only substantially responsive bids submitted by eligible and qualified bidders shall proceed to the detailed technical evaluation specified in ITB 32.
- 32. Detailed Evaluation of Technical Part**
- 32.1 The Employer’s evaluation of Technical Part will be carried out as specified in Section III, Evaluation and Qualification Criteria.
- 32.2 The scores to be given to technical factors and sub factors are specified in the **BDS**.

## **H. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts**

- 33. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts**
- 33.1 Following the completion of the evaluation of the Technical Parts of the Bids, the Employer shall notify in writing those Bidders whose Bids were considered substantially non-responsive to the bidding document or failed to meet the eligibility and qualification requirements, advising them of the following information:
- (a) the grounds on which their Technical Part of Bid failed to meet the requirements of the bidding document;
  - (b) their envelopes marked “SECOND ENVELOPE: FINANCIAL PART” will be returned to them unopened after the completion of the selection process and the signing of the Contract; and
  - (c) notify them of the date, time and location of the public opening of the envelopes marked “SECOND ENVELOPE: FINANCIAL PART”.
- 33.2 The Employer shall, simultaneously, notify in writing those Bidders whose Technical Part have been evaluated as substantially responsive to the bidding document and met the eligibility and qualification requirements, advising them of the following information:
- (a) their Bid has been evaluated as substantially responsive to the bidding document and met the eligibility and qualification requirements;
  - (b) their envelope marked “SECOND ENVELOPE: FINANCIAL PART” will be opened at the public opening of the Financial Parts; and

- (c) notify them of the date, time and location of the second public opening of the envelopes marked “SECOND ENVELOPE: FINANCIAL PART” as specified **in the BDS**.
- 33.3 The opening date shall be not less than ten (10) Business Days from the date of notification of the results of the technical evaluation, specified in ITB 33.1 and 33.2. However, if the Employer receives a complaint on the results of the technical evaluation within the ten (10) Business Days, the opening date shall be subject to ITB 48.1. The Financial Part of the Bid shall be opened publicly in the presence of Bidders’ designated representatives and anyone who chooses to attend.
- 33.4 At this public opening, the Financial Parts will be opened by the Employer in the presence of Bidders, or their designated representatives and anyone else who chooses to attend. Bidders who met the eligibility and qualification requirements and whose bids were evaluated as substantially responsive will have their envelopes marked “SECOND ENVELOPE: FINANCIAL PART” opened at the second public opening. Each of these envelopes marked “SECOND ENVELOPE: FINANCIAL PART” shall be inspected to confirm that they have remained sealed and unopened. These envelopes shall then be opened by the Employer. The Employer shall read out the names of each Bidder, the technical score and the total Bid prices, per lot (contract) if applicable, including any discounts and Alternative Bid - Financial Part, and any other details as the Employer may consider appropriate.
- 33.5 Only envelopes of Financial Part of Bids, Financial Parts of Alternative Bids and discounts that are opened and read out at Bid opening shall be considered further for evaluation. The Letter of Bid – Financial Part and the Priced Activity Schedules are to be initialed by a representative of the Employer attending the Bid opening in the manner specified **in the BDS**.
- 33.6 The Employer shall neither discuss the merits of any Bid nor reject any envelopes marked “SECOND ENVELOPE: FINANCIAL PART” at this public opening.
- 33.7 The Employer shall prepare a record of the Financial Part of the Bid opening that shall include, as a minimum: (a) the name of the Bidder whose Financial Part was opened; (b) the Bid price, per lot (contract) if applicable, including any discounts; and (c) if applicable, any Alternative Bid – Financial Part.
- 33.8 The Bidders whose envelopes marked “SECOND ENVELOPE: FINANCIAL PART” have been opened or their representatives who are present shall be requested to sign the record. The omission of a Bidder’s

signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.

## I. Evaluation of Financial Part of Bids

- 34. Adjustments for Non-material Noconformities** 34.1 Provided that a Bid is substantially responsive, the Employer shall rectify quantifiable nonmaterial nonconformities related to the Bid Price. To this effect, the Bid Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component by adding the average price of the item or component quoted by substantially responsive Bidders. If the price of the item or component cannot be derived from the price of other substantially responsive Bidders, the Employer shall use its best estimate.
- 35. Correction of Arithmetic Errors** 35.1 In evaluating the Financial Part of each Bid, the Employer shall correct arithmetical errors on the following basis:
- (a) where there are errors between the total of the amounts given under the column for the price breakdown and the amount given under the Total Price, the former shall prevail and the latter will be corrected accordingly;
  - (b) where there are errors between the total of the amounts of Schedule Nos. 1 to 4 and the amount given in Schedule No. 5 (Grand Summary) the former shall prevail and the latter will be corrected accordingly;
  - (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.
- 35.2 Bidders shall be requested to accept correction of arithmetical errors. Failure to accept the correction in accordance with ITB 35.1, shall result in the rejection of the Bid.
- 36. Evaluation Process, Financial Part** 36.1 To evaluate the Financial Part, the Employer shall consider the following:
- (a) the Bid price, excluding provisional sums and the provision, if any, for contingencies in the Price Schedules;
  - (b) price adjustment for correction of arithmetic errors in accordance with ITB 35.1;
  - (c) price adjustment due to discounts offered in accordance with ITB 17.11;

- (d) price adjustment due to quantifiable nonmaterial nonconformities in accordance with ITB 34.1;
  - (e) converting the amount resulting from applying (a) to (c) above, if relevant, to a single currency in accordance with ITB 36.2; and
  - (f) the evaluation factors indicated in the BDS and detailed in Section III, Evaluation and Qualification Criteria.
- 36.2 For evaluation and comparison purposes, the currency(ies) of the Bid shall be converted into a single currency **as specified in the BDS.**
- 36.3 No margin of domestic preference shall apply.
- 36.4 If price adjustment is allowed in accordance with ITB 17.7, the estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Bid evaluation.
- 36.5 If this bidding document allows Bidders to quote separate prices for different lots (contracts), each lot will be evaluated separately to determine the Most Advantageous Bid using the methodology specified in Section III, Evaluation and Qualification Criteria.  
**Discounts that are conditional on the award of more than one lot or slice shall not be considered for Bid evaluation.**
- 37. Abnormally Low Bids**
- 37.1 An Abnormally Low Bid is one where the Bid price, in combination with other elements of the Bid, appears so low that it raises material concerns as to the capability of the Bidder to perform the Contract for the offered Bid Price.
- 37.2 In the event of identification of a potentially Abnormally Low Bid, the Employer shall seek written clarifications from the Bidder, including detailed price analyses of its Bid price in correlation to the subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the bidding document.
- 37.3 After evaluation of the price analyses, in the event that the Employer determines that the Bidder has failed to demonstrate its capability to deliver the contract for the offered tender price, the Employer shall reject the Bid.
- 38. Unbalanced or Front Loaded Bids**
- 38.1 If the Bid that is evaluated as the lowest evaluated cost is, in the Employer’s opinion, seriously unbalanced or front loaded the Employer may require the Bidder to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the Bid prices with the scope of works, proposed

methodology, schedule and any other requirements of the bidding document.

- 38.2 After the evaluation of the information and detailed price analyses presented by the Bidder, the Employer may:
- (a) accept the Bid; or
  - (b) if appropriate, require that the total amount of the Performance Security be increased, at the expense of the Bidder, to a level not exceeding twenty percent (20%) of the Contract Price; or
  - (c) reject the Bid.

### **J. Evaluation of Combined Technical and Financial Parts, Most Advantageous Bid and Notification of Intention to Award**

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| <b>39. Evaluation of combined Technical and Financial Parts, Most Advantageous Bid</b> | <p>39.1 The Employer’s evaluation of responsive Bids will take into account technical factors, in addition to cost factors in accordance with Section III Evaluation and Qualification Criteria. The weight to be assigned for the Technical factors and cost is specified <b>in the BDS</b>. The Employer will rank the Bids based on the evaluated Bid score (B).</p> <p>39.2 The Employer shall determine the Most Advantageous Bid. The Most Advantageous Bid is the Bid of the Bidder that meets the Qualification Criteria and whose Bid has been determined to be substantially responsive to the Bidding document and is the Bid with the highest combined technical and financial score</p> <p>39.3 The capabilities of the manufacturers and subcontractors proposed in its Bid to be used by the Bidder with the Most Advantageous Bid for identified major items of supply or services will also be evaluated for acceptability in accordance with Section III, Evaluation and Qualification Criteria. Their participation should be confirmed with a letter of intent between the parties, as needed. Should a manufacturer or subcontractor be determined to be unacceptable, the Bid will not be rejected, but the Bidder will be required to substitute an acceptable manufacturer or subcontractor without any change to the Bid price. Prior to signing the Contract, the corresponding Appendix to the Contract Agreement shall be completed, listing the approved manufacturers or subcontractors for each item concerned.</p> |
| <b>40. Employer’s right to Accept Any</b>  | <p>40.1 The Employer reserves the right to accept or reject any Bid, and to annul the Bidding process and reject all Bids at any time prior to Contract Award, without thereby incurring any liability to Bidders.</p>   |

- Bid and to Reject Any or All Bids** In case of annulment, all Bids submitted and specifically, Bid securities shall be promptly returned to the Bidders.
- 41. Standstill Period** 41.1 The Contract shall not be awarded earlier than the expiry of the Standstill Period. The Standstill Period shall be ten (10) Business Days unless extended in accordance with ITB 45. The Standstill Period commences the day after the date the Employer has transmitted to each Bidder the Notification of Intention to Award the Contract. Where only one Bid is submitted, or if this contract is in response to an emergency situation recognized by the Bank, the Standstill Period shall not apply.
- 42. Notification of Intention to Award** 42.1 The Employer shall send to each Bidder the Notification of Intention to Award the Contract to the successful Bidder. The Notification of Intention to Award shall contain, at a minimum, the following information:
- (a) the name and address of the Bidder submitting the successful Bid;
  - (b) the Contract price of the successful Bid;
  - (c) the total combined score of the successful Bid;
  - (d) the names of all Bidders who submitted Bids, and their Bid prices as readout, and as evaluated and technical score;
  - (e) a statement of the reason(s) the Bid (of the unsuccessful Bidder to whom the notification is addressed) was unsuccessful;
  - (f) the expiry date of the Standstill Period; and
  - (g) instructions on how to request a debriefing and/or submit a complaint during the standstill period.

### **K. Award of Contract**

- 43. Award Criteria** 43.1 Subject to ITB 40, the Employer shall award the Contract to the successful Bidder. This is the Bidder whose Bid has been determined to be the Most Advantageous Bid.
- 44. Notification of Award** 44.1 Prior to the date of expiry of the bid validity, and upon expiry of the Standstill Period, specified in ITB 41.1 or any extension thereof, and upon satisfactorily addressing any complaint that has been filed within the Standstill Period, the Employer shall notify the successful Bidder, in writing, that its Bid has been accepted. The notification of award (hereinafter and in the Contract Forms called the “Letter of Acceptance”) shall specify the sum that the Employer will pay the Contractor in consideration of the execution of the contract (hereinafter and in the Conditions of Contract and Contract Forms called “the Contract Price”).

- 44.2 Within ten (10) Business Days after the date of transmission of the Letter of Acceptance, the Employer shall publish the Contract Award Notice which shall contain, at a minimum, the following information:
- (a) name and address of the Employer;
  - (b) name and reference number of the contract being awarded, and the selection method used;
  - (c) names of all Bidders that submitted Bids, and their Bid prices as read out at Bid opening, and as evaluated;
  - (d) names of all Bidders whose Bids were rejected either as nonresponsive or as not meeting qualification criteria, or were not evaluated, with the reasons therefor;
  - (e) the name of the successful Bidder, the final total contract price, the contract duration and a summary of its scope; and
  - (f) successful Bidder's Beneficial Ownership Disclosure Form.
- 44.3 The Contract Award Notice shall be published on the Employer's website with free access if available, or in at least one newspaper of national circulation in the Employer's Country, or in the official gazette. The Employer shall also publish the contract award notice in UNDB online.
- 44.4 Until a formal contract is prepared and executed, the Letter of Acceptance shall constitute a binding Contract
- 45. Debriefing by the Employer**
- 45.1 On receipt of the Employer's Notification of Intention to Award referred to in ITB 42, an unsuccessful Bidder has three (3) Business Days to make a written request to the Employer for a debriefing. The Employer shall provide a debriefing to all unsuccessful Bidders whose request is received within this deadline.
- 45.2 Where a request for debriefing is received within the deadline, the Employer shall provide a debriefing within five (5) Business Days, unless the Employer decides, for justifiable reasons, to provide the debriefing outside this timeframe. In that case, the standstill period shall automatically be extended until five (5) Business Days after such debriefing is provided. If more than one debriefing is so delayed, the standstill period shall not end earlier than five (5) Business Days after the last debriefing takes place. The Employer shall promptly inform, by the quickest means available, all Bidders of the extended standstill period.
- 45.3 Where a request for debriefing is received by the Employer later than the three (3)-Business Day deadline, the Employer should provide the debriefing as soon as practicable, and normally no later



than fifteen (15) Business Days from the date of publication of Public Notice of Award of contract. Requests for debriefing received outside the three (3)-day deadline shall not lead to extension of the standstill period.

- 45.4 Debriefings of unsuccessful Bidders may be done in writing or verbally. The Bidder shall bear their own costs of attending such a debriefing meeting.
- 46. Signing of Contract**
- 46.1 The Employer shall send to the successful Bidder the Letter of Acceptance including the Contract Agreement, and a request to submit the Beneficial Ownership Disclosure Form providing additional information on its beneficial ownership. The Beneficial Ownership Disclosure Form shall be submitted within eight (8) Business Days of receiving this request.
- 46.2 The successful Bidder shall sign, date and return to the Employer, the Contract Agreement within twenty-eight (28) days of its receipt.
- 46.3 Notwithstanding ITB 46.2 above, in case signing of the Contract Agreement is prevented by any export restrictions attributable to the Employer, to the country of the Employer, or to the use of the Plant and Installation Services to be supplied, where such export restrictions arise from trade regulations from a country supplying those Plant and Installation Services, the Bidder shall not be bound by its Bid, always provided, however, that the Bidder can demonstrate to the satisfaction of the Employer and of the Bank that signing of the Contract Agreement has not been prevented by any lack of diligence on the part of the Bidder in completing any formalities, including applying for permits, authorizations and licenses necessary for the export of the Plant and Installation Services under the terms of the Contract.
- 47. Performance Security**
- 47.1 Within twenty-eight (28) days of the receipt of the Letter of Acceptance from the Employer, the successful Bidder shall furnish the Performance Security in accordance with the General Conditions GCC 13.3, subject to ITB 38, using for that purpose the Performance Security Form included in Section X, Contract Forms, or another form acceptable to the Employer. If the Performance Security furnished by the successful Bidder is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Bidder to be acceptable to the Employer. A foreign institution providing a bond shall have a correspondent financial institution located in the Employer's Country, unless the Employer has agreed in writing that a correspondent financial institution is not required.

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- 47.2 Failure of the successful Bidder to submit the above-mentioned Performance Security or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Bid Security. In that event the Employer may award the Contract to the Bidder offering the next Most Advantageous Bid.
- 48. Procurement  
Related  
Complaint** 48.1 The procedures for making a Procurement-related Complaint are as specified in the BDS.

## Section II - Bid Data Sheet

The following specific data for the Facilities to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

<b>A. General</b>	
<b>ITB 1.1</b>	<p>The reference number of the Request for Bids (RFB) is: <b>SO-MOEWR-405898-CW-RFB</b></p> <p>The Employer is: <b>Ministry of Energy and Water Resources,</b></p> <p>The name of the RFB is <b>Design, supply, installation, testing and commissioning of hybrid/off-grid solar photovoltaic plants with battery energy storage systems for 30 Health Facilities in South West State of Somalia with 2 years of Operations and Maintenance (O&amp;M) Services with the possibility of contract extension subject to availability of budget.</b></p> <p>The number and identification of lots (contracts) comprising this RFB is: <b>None.</b></p>
<b>ITB 1.2 (a)</b>	<b>Not Applicable</b>
<b>ITB 2.1</b>	<p>The Borrower is: <b>Ministry of Energy and Water Resources, Federal Government of Somalia.</b></p> <p>The amount of the financing is: <b>USD 150 Million</b></p> <p>The name of the Project is: <b>Somali Electricity Sector Recovery Project</b></p>
<b>ITB 4.1</b>	Maximum number of members in the Joint Venture (JV) shall be <b>Three (3)</b>
<b>ITB 4.5</b>	A list of debarred firms and individuals is available on the Bank's external website: <a href="http://www.worldbank.org/debarr">http://www.worldbank.org/debarr</a>
<b>ITB 4.11</b>	This Bidding Process <b>is not</b> subject to prequalification.
<b>B. Bidding Document</b>	
<b>ITB 7.1</b>	<p>For <u>Clarification of Bid purposes</u> only, the Employer's address is:</p> <p><b>Attention: Interim Project Coordinator,</b></p> <p style="text-align: center;"><b>Project Implementation Unit "PIU" Office, Somali Electricity Sector Recovery Project, Ministry of Energy and Water Resources, 6<sup>th</sup> Block, 2<sup>nd</sup> Floor. Federal Government of Somalia.</b></p>

	<p><b>Airport Road, next to Ali Jim’ale Mosque, Waberi District, Mogadishu, Somalia</b>  <b>Tel Number: +252 770 980 456</b>  <b>Email address: <a href="mailto:sesrp.procurement@gmail.com">sesrp.procurement@gmail.com</a> copy: <a href="mailto:dg@moewr.gov.so">dg@moewr.gov.so</a></b>  <b>Web page: <a href="http://sesrp.moewr.gov.so">sesrp.moewr.gov.so</a></b></p> <p>Requests for clarification should be received by the Employer no later than: <b>twenty-one (21) days before the deadline for submission of bids.</b></p>
<p><b>ITB 7.4</b></p>	<p>A Pre-Bid meeting <b>SHALL</b> take place at the following date, time, and place:</p> <p>Date: <b>25<sup>th</sup> April 2024</b></p> <p>Time: <b>10:30 am, Mogadishu, local time</b></p> <p>Place: Conference Hall, Project Implementation Unit “PIU” Office, Ministry of Energy and Water Resources, Mogadishu, Somalia.</p> <p>A site visit conducted by the Employer <b>SHALL NOT BE</b> organized.</p> <p>Site Visits are Optional, but bidders are encouraged to make their own arrangements to visit sample sites for each type of Health Facility – General Hospital, Maternity Hospital and Health Centres to familiarize themselves fully with the site conditions and envisaged scope of work.</p> <p><b>Non-familiarity with the site conditions will not be acceptable for any type of extra claims or for not carrying out the scope of work in strict conformity with the Employer’s Requirements and Technical Specifications or for any delay in date of Commissioning.</b></p>
<p><b>ITB 7.6</b></p>	<p>Web page: Employer shall share minutes of the meeting, and all bid related clarifications with the bidders through their registered email addresses <a href="mailto:sesrp.procurement@gmail.com">sesrp.procurement@gmail.com</a> and Ministry’s Web page: <a href="http://sesrp.moewr.gov.so">sesrp.moewr.gov.so</a></p>
<p><b>C. Preparation of Bids</b></p>	
<p><b>ITB 10.1</b></p>	<p>The language of the Bid is: <b>English.</b></p> <p>Bidders shall not submit Bids in more than one language.</p> <p>All correspondence exchange shall be <b>in English language.</b></p> <p>Language for translation of supporting documents and printed literature is English.</p>
<p><b>ITB 11.2</b> <b>(i)</b></p>	<p>The Bidder <b>shall</b> submit the following additional documents in the Technical Part of its Bid:</p> <ul style="list-style-type: none"> <li>a) A company profile including registered business address.</li> <li>b) Business Registration/Incorporation Certification issued by the relevant authority of the country of registration.</li> <li>c) Current business license/Certificate/permit or equivalent issued by the relevant government Ministry/Institution of the domicile country.</li> </ul>

- d) Duly completed and signed Code of Conduct for Contractor's Personnel (ES).
- e) Experience in Previous and on-going Solar PV plant projects of a similar nature and size for the last five (5) years. Bidders must provide the contract value, copies of completion certificate, names and addresses of clients who shall be contacted for further information of these contracts in each of the works given. List the projects details which were accomplished in the past five (5) years.
- f) Manufacturer's authorization forms from Manufacturers using forms provided in Section IV – Bid Forms
  - a. Solar Photovoltaic Modules**
  - b. Inverters (all types String/Hybrid/central)**
  - c. Inverter Chargers**
  - d. Solar Charge controllers (if they are offered as individual equipment as part of the bidder's design)**
  - e. Batteries**
  - f. Cables**
- g) Bidder shall submit above equipment Manufacturer's ISO 9001:2008 or higher quality assurance certification or equivalent.
- h) Manufacturers' must have minimum 10 years' experience of manufacturing of the solar PV plant equipment such as Inverters, Solar Modules, Batteries, Cables etc. Documentary evidence shall be given.
- i) Manufacturer's Warranty as stipulated in Section VII- Employers Requirements and specifications.
  - a. Solar Photovoltaic Modules**
  - b. Inverters**
  - c. Inverter Chargers**
  - d. Solar Charge controllers (if they are offered as individual equipment as part of the bidder's design)**
  - e. Batteries**
  - f. Cables**
- j) Guaranteed Technical Particulars (GTPs) duly completed and signed by the bidder/manufacturer as shown in Volume II, Employer's Requirements, Technical Specifications and Drawings.

- k) Type Test Reports and certificates from accredited laboratories as required in the technical specifications. Copies of Type Test Reports shall be given for the major equipment and the Reports shall meet the following requirements:
- i. Type Test Reports shall have been carried out by a laboratory independent from the manufacturer or with the witness of independent laboratory. Accreditation certificate for the testing laboratory shall be presented.
  - ii. Type Test Reports shall include all items tested and results confirming that they meet the requirements of applied standards as stipulated in Tender Documents.
  - iii. Type Test reports shall have Report Numbers for authentication.
  - iv. Accreditation Certificate testing Laboratory that issued the type test certificate
  - v. The major equipment for the purposes of this clause are:
    - a. Solar Photovoltaic Modules**
    - b. Inverters (PV Inverters, Inverter Chargers, Hybrid Inverters as appropriate)**
    - c. Solar Charge controllers (if they are offered as individual equipment as part of the bidder's design)**
    - d. Batteries**
    - e. Cables**
- l) Technical data sheet (brochures, catalogues, drawings) describing in detail the offered items. The particulars and catalogue numbers of the proposed equipment shall be highlighted.
- m) Bidders to submit electrical schematic diagram of the proposed PV systems design and configuration showing the main equipment/components and control equipment/switchgear, their interconnection, and the power flows. One diagram for EACH type of facility; General Hospital, Maternity Hospital and Health Centre.
- n) Duly signed and stamped Forced Labor Performance Declaration and Forced Labor Declaration Forms provided in section IV - Bidding Forms. This applies to the bidders and all their proposed suppliers, subcontractors, or manufacturers.
- o) Proposed Construction Method statement as per evaluation criteria section
- p) Proposed Site organogram
- q) Detailed Implementation program (network chart) showing the start and finish date for Mobilization, design, manufacture, works testing and delivery for all goods, construction/Installations, testing, commissioning and start of Operation and Maintenance (O&M)

	<p>r) Proposed Risk Assessment plan and its mitigation including that of Environmental, Health and Safety (ESH) and Sexual Exploitation and Abuse (SEA)</p> <p>s) Grievance redress mechanism</p> <p>t) Bidder’s audited Financial Statements for the last five (5) years as stipulated in Section III of the Evaluation criteria.</p> <p>u) <b>Code of Conduct for Contractor’s Personnel (ES)</b></p> <p>The Bidder shall submit its Code of Conduct that will apply to the Contractor’s Personnel (as defined in GCC Sub- Clause 1) employed for the execution of Installation Services (defined in GCC Sub- Clause 1) at the Site (or other places in the country where the Site is located), to ensure compliance with the Contractor’s Environmental and Social (ES) obligations under the Contract. The Bidder shall use for this purpose the Code of Conduct form provided in Section IV. No substantial modifications shall be made to this form, except that the Bidder may introduce additional requirements, including as necessary to take into account specific Contract issues/risks.</p> <p><b>Management Strategies and Implementation Plans (MSIP) to manage the (ES) risks.</b></p> <p>The Bidder shall submit Management Strategies and Implementation Plans (MSIPs) to manage the following key Environmental and Social (ES) risks:</p> <p>a) Construction and Community Health and Safety Management Plans to ensure the safety of all construction workers and local host communities from project hazards,</p> <p>b) Environmental and Social Management Plans to safeguard against environmental pollution and construction induced social impacts,</p> <p>c) Human Resource and Labour Influx Management Plans to help manage all related social impacts,</p> <p>d) Sexual Exploitation and prevention response plans,</p> <p>e) Stakeholders’ engagement plan to guide communication with all and inclusion of the project affected persons (PAPs), the Vulnerable and Marginalized Groups (VMGs/IPs).</p>
<b>ITB 11.3(d)</b>	The Bidder shall submit the following additional documents in the Financial Part of its Bid: <b>None</b>
<b>ITB 13.1</b>	Alternative Bids <b>shall not be</b> considered.
<b>ITB 13.2</b>	Alternatives to the Time Schedule <b>shall not be</b> permitted.
<b>ITB 13.4</b>	Alternative technical solutions shall be permitted for the following parts of the Plant and Installation Services: <b>NOT APPLICABLE</b>

ITB 17.1	<p>Bidders shall quote for the following components or services on a single responsibility basis: <b>SO-MOEWR-405898-CW-RFB: Design, supply, installation, testing and commissioning of hybrid/off-grid solar photovoltaic plants with battery energy storage systems for 30 Health Facilities in South-West State of Somalia with 2 years of Operations and Maintenance (O&amp;M) Services with the possibility of contract extension subject to availability of budget.</b></p> <p>The following components or services will be provided under the responsibility of the Employer: <b>Not applicable.</b></p>
ITB 17.5 (a) and (d)	<p>Place of destination: <b>MOGADISHU, Somalia</b></p> <p>Final destination (Project Site): Health Facilities in SOUTHWEST STATE of Somalia as listed in Table 2, Section VII- Employers Requirements.</p>
ITB 17.6	The Incoterms edition is: <b>INCOTERMS 2020</b>
ITB 17.7	The prices quoted by the Bidder <b>shall not</b> be subject to adjustment during the performance of the Contract.
ITB 18.1	The Bidder <b>is not</b> required to quote in the currency of the Employer’s Country the portion of the Bid price that corresponds to expenditures incurred in that currency.
ITB 19.1	<i>The Bid shall be valid until: 15<sup>th</sup> October 2024</i>
ITB 19.3 (a)	The Bid price shall be adjusted by the following factor(s): <b>Not Applicable</b>
ITB 20.1	A Bid-Securing Declaration <b>SHALL BE</b> required.
ITB 20.3 (d)	Other types of acceptable securities: <b>NONE</b>
ITB 20.9	The Bidder shall be declared disqualified to be awarded a contract by the Employer for a period of 5 years.
ITB 21.3	<p>The written confirmation of authorization to sign on behalf of the Bidder shall consist of:</p> <ul style="list-style-type: none"> <li>a) Power of attorney</li> <li>b) For the JV case, parties shall submit an undertaking stating that the parties shall be jointly liable as required under ITB 4.1 and appoint a representative who shall have the authority to conduct all business on their behalf. The maximum number of members in a JV is Three (3)</li> </ul>



### D. Submission and Opening of Bids

<b>ITB 22.1</b>	<p>In addition to the original of the Bid, the number of copies is: Two (2) copies (hard) and a soft copy in a flash disk - with a password; all enclosed in separate envelopes marked "Original" "Copy 1", "COPY 2", and a soft copy in a Flash Disk.</p> <p>These inner envelopes containing the original and the copies shall then be enclosed in one single Outer envelope.</p> <p>In the event of any discrepancy between the original and the copies, the original shall prevail.</p>
<b>ITB 23.1</b>	<p>For <b><u>Bid submission purposes</u></b> only, the Employer's address is:</p> <p><b>Attention: Interim Project Coordinator,</b></p> <p style="text-align: center;"><b>Project Implementation Unit "PIU" Office, 6<sup>th</sup> Block, 2<sup>nd</sup> Floor. Somali Electricity Sector Recovery Project, Ministry of Energy and Water Resources, Federal Government of Somalia. Airport Road, Next to Ali Jim'ale Mosque, Waberi District, Mogadishu, Somalia Tel Number: +252 770 980 456 Email address: <a href="mailto:sesrp.procurement@gmail.com">sesrp.procurement@gmail.com</a> copy: <a href="mailto:dg@moewr.gov.so">dg@moewr.gov.so</a> Web page: <a href="http://sesrp.moewr.gov.so">sesrp.moewr.gov.so</a></b></p> <p><b>The deadline for Bid submission is:</b></p> <p><b>Date: 14<sup>th</sup> May 2024 at 10.30 am, Mogadishu local time.</b></p> <p>Bidders <b><i>shall not</i></b> have the option of submitting their Bids electronically.</p>

### E. Public Opening of Technical Parts of Bids

<b>ITB 26.1</b>	<p>The Bid opening shall take place at:</p> <p><b>Attention: Interim Project Coordinator,</b></p> <p style="text-align: center;"><b>Project Implementation Unit "PIU" Office, Somali Electricity Sector Recovery Project, 6<sup>th</sup> Block, 2<sup>nd</sup> Floor. Ministry of Energy and Water Resources, Federal Government of Somalia. Airport Road, next to Ali Jim'ale Mosque, Waberi District, Mogadishu, Somalia Tel Number: +252 770 980 456 Email address: <a href="mailto:sesrp.procurement@gmail.com">sesrp.procurement@gmail.com</a> copy: <a href="mailto:dg@moewr.gov.so">dg@moewr.gov.so</a> Web page: <a href="http://sesrp.moewr.gov.so">sesrp.moewr.gov.so</a></b></p> <p><b>Date: 14<sup>th</sup> May 2024 at 11.00 am, Mogadishu Local Time.</b></p>
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<b>ITB 26.6</b>	The Letter of Bid – Technical Part and the sealed envelope marked “SECOND ENVELOPE: FINANCIAL PART <i>shall</i> be initialed by representatives of the Employer conducting Bid opening. <i>Each Bid shall be initialed by at least 2(two) representatives and shall be numbered.</i>
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**G. Evaluation of Technical Part of Bids**

<b>ITB 32.2</b>	The technical factors and sub factors and the corresponding scores in % are:	
	<b>No.</b>	<b>Category</b>
	I	Overall effectiveness of proposed project regarding performance, capacity and required functional requirement.
	II	Quality of Technical Proposal and Proposed Methodology of Executing the Project
	III	Proposed Teams, Equipment and Tools
	IV	Environmental and Social compliance
		<b>Total%</b>
		<b>100</b>
	As described for each Category below, the Category technical score will be calculated by combining the sub-feature scores as weighted sum. The four categories of technical scores will be combined based on the weights for each Category in the table above.	
	<b>Category I: Overall effectiveness of proposed project regarding performance, capacity and required functional requirement.</b>	
	<b>No.</b>	<b>Sub Factors</b>
	1.1	Detailed design of the proposed system showing all necessary technical assumptions applied, calculations, system sizing, spare capacity, projected system losses etc. The design shall cover the major equipment/devices including Modules, Arrays, Mounting Module Structures, Combiners, Inverters, Battery Inverters, Batteries, Communication & Monitoring system, Switchgears, Protection, Earthing and Lightning Protection, Cables (both DC & AC), HVAC system and any other system required to make the plant functional.
	1.2	Appropriate Design drawings showing all layouts for the equipment. This shall be complete with Single Line Diagram showing power flow from Generation all the way to the load centers
	1.3	Documentary evidence of manufacturers years of experience in manufacturing solar PV plant equipment
		<b>Score %</b>
		12
		10
		8

1.4	Original Manufacturer's Warranty as stipulated in the specifications for Solar Photovoltaic Module, Inverter, Batteries, Cables,	6
1.5	Manufacturers submission of ISO 9001:2015 quality assurance certification or equivalent.	6
1.6	Technical data sheet (brochures, catalogues, drawings) describing in detail the offered items. The particulars and catalogue numbers of the proposed equipment shall be highlighted.	8
	<b>Total Scores %</b>	<b>50</b>
<b>Category II: Quality of Technical Proposal and Proposed Methodology of Executing the Project</b>		
No.	Sub Factors	Score
2.1	Detailed Construction Method statement	6
2.2	Appropriate Implementation Schedule which covers the life cycle of the project.	6
2.5	Quality of mandatory and recommended spare parts and maintenance services	8
2.6	Detailed Operational and Maintenance Plan for the Project	10
	<b>Total Scores %</b>	<b>30</b>
<b>Category III: Proposed Teams, Equipment and Tools</b>		
No.	Sub Factors	Score
3.1	Suitability of the proposed Equipment and Tools by the Contractor	4
3.2	Experience and competency of the key Personnel to the Project	3
3.3	Suitability of Proposed Subcontractors for Major Items of Plant and Installation Services	3
	<b>Total Scores %</b>	<b>10</b>
<b>Category IV: Environmental and Social Compliance</b>		
No.	Sub Factors	Score
4.1	Detailed Risk Assessment plan and its mitigation including that of Environmental, Health and Safety (ESH), Sexual Exploitation and Abuse (SEA).	5
4.2	Adequate contractor's code of conduct	5
	<b>Total Score %</b>	<b>10</b>

	<b>Total Weight of the Technical factors: 70%.</b> Subfactor and factor scores above shall be weighed against this Total Technical Factor Weight.
<b>H. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts</b>	
<b>ITB 33.5</b>	The Letter of Bid – Financial Part and Schedules shall be initialed by at least two (2) representatives of the Employer conducting Bid opening. <b><i>Each Financial Part of Bid shall be initialed by at least two (2) representatives and shall be numbered, any modification to the unit or total price shall be initialed by the Representative of the Employer.</i></b>
<b>I. Evaluation of Financial Part of Bids</b>	
<b>36.1(f)</b>	The adjustments shall be determined using the following criteria, from amongst those set out in Section III, Evaluation and Qualification Criteria: <ul style="list-style-type: none"> <li>a) Deviation in Time for Completion: <b>No</b></li> <li>b) Life cycle costs: the projected operating and maintenance costs during the life of the Facilities: <b>Yes</b></li> <li>c) Functional Guarantees of the Facilities: <b>No</b></li> <li>d) Work, services, facilities, etc., to be provided by the Employer: <b>No</b></li> </ul>
<b>ITB 36.2</b>	The currency that shall be used for Bid evaluation and comparison purposes to convert (at the selling exchange rate) all Bid prices expressed in various currencies into a single currency is: <b>United States Dollars (USD)</b> . The source of exchange rate shall be: <b>Central Bank of Somalia</b> . The date for the exchange rate shall be: <b>Closing date for Submission of Bids</b> .
<b>J. Evaluation of Combined Technical and Financial Parts and Most Advantageous Bid</b>	
<b>ITB 39.1</b>	The weight to be given for cost is: <b>30%</b>
<b>K. Award of Contract</b>	
<b>ITB 48.1</b>	The procedures for making a Procurement-related Complaint are detailed in the <a href="#">“Procurement Regulations for IPF Borrowers</a> (Annex III).” If a Bidder wishes to make a Procurement-related Complaint, the Bidder should submit its complaint following these procedures, in writing (by the quickest means available, that is either by email or fax), to: <b>For the attention: Abdullahi Hassan Aden,</b> <b>Title/position: Director General,</b> <b>Employer: Ministry of Energy and Water Resources, Federal Government of Somalia.</b>

**Email address:** [dg@moewr.gov.so](mailto:dg@moewr.gov.so). **Copy:** [Sesrp.procurement@gmail.com](mailto:Sesrp.procurement@gmail.com)

In summary, a Procurement-related Complaint may challenge any of the following:

1. the terms of the Bidding Documents; and
2. the Employer's decision to award the contract.



## **Section III - Evaluation and Qualification Criteria (Without prequalification)**

This section contains all the criteria that the Employer shall use to evaluate Bids and qualify Bidders. No other factors, methods or criteria shall be used other than specified in this Bidding document. The Bidder shall provide all the information requested in the forms included in Section IV, Bidding Forms.

Wherever a Bidder is required to state a monetary amount, Bidders should indicate the USD equivalent using the rate of exchange determined as follows:

- For turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted) was originally established.
- Value of single contract - Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified in the ITB 36.2. Any error in determining the exchange rates in the Bid may be corrected by the Employer.

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## 1. Qualification

### 1.1 Qualification Requirements

The Bidder's qualification shall be assessed in accordance with the Qualification table included in this section.

### 1.2 Contractor's Representative and other Key Personnel

The Bidder must demonstrate that it will have suitably qualified Contractor's Representative and other suitably qualified (and in adequate numbers) key personnel, as described in Section VII - Employer's Requirements.

The Bidder shall provide details of the Contractor's Representative and other key personnel, and such other key personnel that the Bidder considers appropriate, together with their academic qualifications and work experience. The Bidder shall complete the relevant Forms in Section IV, Bid Forms.

### 1.3 Equipment

The Bidder must demonstrate that it will have access to the key equipment required to perform the contract as described in Section VII- Employers Requirements.

The Bidder shall provide further details of proposed items of equipment using the relevant Form in Section IV.

#### (c) Subcontractors/Manufacturers

Subcontractors/manufacturers for major items of supply or services identified in the Initial Selection document must meet or continue to meet the minimum criteria specified therein for each item.

Subcontractors for the following additional major items of supply or services must meet the following minimum criteria, herein listed for that item:

Item No.	Description of Item	Minimum Criteria to be met
1	Solar PV Installation subcontractor	Must have completed at least two (2) projects of similar size and complexity. Demonstrate to have sufficient technical personnel with experience of similar services in Somalia. They must demonstrate that they have the necessary equipment required for the works.

2	Civil Subcontractor	<p>Must have completed at least two (2) civil projects of similar size and complexity.</p> <p>Demonstrate to have sufficient technical personnel with experience of similar services in Somalia.</p> <p>They must demonstrate that they have all the necessary equipment required for civil works.</p>
...		

Failure to comply with this requirement will result in rejection of the subcontractor.

In the case of a Bidder who offers to supply and/or install Plant and equipment under the contract that the Bidder did not manufacture or otherwise produce and/or install, the Bidder shall provide the manufacturer's authorization, using the form provided in Section IV, showing that the Bidder has been duly authorized by the manufacturer or producer of the related Plant and equipment or component to supply and/or install that item in the Employer's country. The Bidder is responsible for ensuring that the manufacturer or producer complies with the requirements of **ITB 4** and **ITB 5** and meets the minimum criteria listed above for that item.

## 2. Evaluation of Technical Part

The total technical points assigned to each Bid in the Evaluated Bid Formula will be determined by adding and weighting the scores assigned by an evaluation committee to technical factors of the Bid in accordance with the criteria set forth below.

The technical factors to be evaluated are generally defined below and specifically identified **in the BDS**:

- (i) to what extent that the performance, capacity, or functionality features meet or exceed the levels specified in the performance / functional requirements and/or influence the life-cycle cost and effectiveness of the Plant;
- (ii) degree of suitability of the Plant and Installation Services offered in relation to the environmental and climatic conditions prevailing at the site; and quality, function and operation of any process control concept included in the Technical Part of the Bid;
- (iii) quality of Technical Part in terms of risk assessment, method statement, key personnel, access to key equipment, work program and organization, and any other activities as specified by the Employer and based on the Bidder's experience;
- (iv) suitability of proposed subcontractors/manufacturers and completeness of required manufacturer's authorizations;
- (v) long-term availability of mandatory and recommended spare parts and maintenance services; and
- (vi) Any sustainable procurement requirement if specified in Section VII- Employer's Requirements.

**TECHNICAL PART SCORING METHODOLOGY**

Score (of the total score for the factor/subfactor as applicable)	Description	Remarks
0	Required feature does not meet the minimum specifications, absent; no relevant information to demonstrate how the requirement is met	
50%	Overall responsiveness to the equipment specifications.	
30%	Specific Experience in similar works, Quality of Technical Proposal and Proposed Methodology of Executing the Project.	
10%	Proposed Teams, Equipment and Tools including subcontractors.	
10%	Environmental and Social compliance	

The score for each sub- factor (i) within a factor (j) will be combined with the scores of sub-factors in the same factor as a weighted sum to form the Factor Technical Score using the following formula:

$$S_j \equiv \sum_{i=1}^k t_{ji} * w_{ji}$$

where:

$t_{ji}$  = the technical score for sub- factor “i” in factor “j”

$w_{ji}$  = the weight of sub- factor “i” in factor “j”,

$k$  = the number of scored sub-factors in factor “j”

and  $\sum_{i=1}^k w_{ji} = 1$

The Factor Technical Scores will be combined in a weighted sum to form the total Technical Part Score using the following formula:

$$T \equiv \sum_{j=1}^n S_j * W_j$$

where:

- $S_j$  = the Factor Technical Score of factor “j”  
 $W_j$  = the weight of factor “j” as specified in the BDS  
 $n$  = the number of Factors

$$\text{and } \sum_{j=1}^n W_j = 1$$

### 3. Evaluation of Financial Part

The following factors and methods will apply:

#### (a) Time Schedule

Time to complete the Plant and Installation Services from the effective date specified in Article 3 of the Contract Agreement for determining time for completion of pre-commissioning activities is: **8 Months**. No credit will be given for earlier completion.

#### (b) Life Cycle Costs

Since the operating and maintenance costs of the Facilities being procured form a major part of the **life cycle cost** of the Facilities, these costs will be evaluated according to the principles given hereafter, including the cost of spare parts for the initial period of operation stated below and based on prices furnished by each Bidder in Price Schedule Nos. 1 and 2, as well as on past experience of the Employer or other employers similarly placed. Such costs shall be added to the Bid price for evaluation.

#### Option 1:

The operating and maintenance costs factors for calculation of the life cycle cost are:

- (d) number of years for life cycle: *two (2)*
- (ii) operating costs **quoted by the bidder for 2 years O&M**
- (e) maintenance costs, including the cost of spare parts for the initial period of operation **quoted by the bidder for 2 years O&M**
- (f) Discount rate: **5%** to be used to discount to present value all annual future costs calculated under (ii) and (iii) above for the period specified in (i).

#### (c) Functional Guarantees of the Facilities

The minimum (or maximum) requirements stated in the Specification for functional guarantees required in the Specification are:

Functional Guarantee	Minimum (or Maximum, as appropriate) Requirement
1.	
2.	
3.	
...	

For the purposes of evaluation, for each percentage point that the functional guarantee of the proposed Plant and Installation Services is below the norm specified in the Specification and in the above table, but above the minimum acceptable levels also specified therein, an adjustment of **NOT APPLICABLE** will be added to the Bid price. If the drop below the norm or the excess above the minimum acceptable levels is less than one percent, the adjustment will be prorated accordingly.

**(d) Sustainable Procurement**

**Not Applicable**

**(e) Work, services, facilities, etc., to be provided by the Employer**

Where Bids include the undertaking of work or the provision of services or facilities by the Employer in excess of the provisions allowed for in the Bidding document, the Employer shall assess the costs of such additional work, services and/or facilities during the duration of the contract. Such costs shall be added to the Bid price for evaluation.

#### **4. Combined Evaluation**

The Employer will evaluate and compare the Bids that have been determined to be substantially responsive.

An Evaluated Bid Score (B) will be calculated for each responsive Bid using the following formula, which permits a comprehensive assessment of the evaluated cost and the technical merits of each Bid:

$$B \equiv \frac{C_{low}}{C} * X * 100 + \frac{T}{T_{high}} * (1 - X) * 100$$

where

- $C$  = Evaluated Bid Cost
- $C_{low}$  = the lowest of all Evaluated Bid Costs among responsive Bids
- $T$  = the total Technical Score awarded to the Bid
- $T_{high}$  = the Technical Score achieved by the Bid that was scored best among all responsive Bids
- $X$  = weight for Cost as **specified in the BDS**

The Bid with the best evaluated Bid Score (B) among responsive Bids shall be the Most Advantageous Bid provided the Bidder is qualified to perform the Contract.

.....

## 5. Multiple Contracts

If permitted under ITB 37.3, will be evaluated as follows:

Award Criteria for Multiple Contracts [ITB 37.3]: **Not Applicable**

If in accordance with ITB 1.1 Bids are invited for more than one lot or package, the contract will be awarded to the Bidder or Bidders with the Most advantageous Bid for the individual lots.

However, if a Bidder, with a Bid that is substantially responsive and with the highest evaluated score for individual lots, is not qualified for the combination of the lots, then the award will be made based on the highest total score for the combination of lots for which the Bidders are qualified.

**Cross discounts for the award of multiple lots shall not be considered.**

## (g) Qualification

Factor	1 Eligibility					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each Partner	At least one Partner		
<b>1.1 Nationality</b>	Nationality in accordance with ITB 4.4.	Must meet requirement	must meet requirement	Must meet requirement	N / A	Form ELI –1.1 and 1.2, with attachments
<b>1.2 Conflict of Interest</b>	No- conflicts of interests as described in ITB 4.2	Must meet requirement	must meet requirement	Must meet requirement	N / A	Letter of Bid
<b>1.3 Bank Ineligibility</b>	Not having been declared ineligible by the Bank as described in 4.5.	Must meet requirement	must meet requirement	Must meet requirement	N / A	Letter of Bid
<b>1.4 State Owned Enterprise or Institution</b>	Compliance with conditions of ITB 4.6.	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Form ELI –1.1 and 1.2, with attachments
<b>1.5 Ineligibility based on a United Nations resolution or Borrower's country law</b>	Not having been excluded as a result of the Borrower's country laws or official regulations, or by an act of compliance with UN Security Council resolution, in accordance with ITB 4.8 and Section V.	Must meet requirement	must meet requirement	Must meet requirement	N / A	Letter of Bid

Factor	2. Historical Contract Non-Performance					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member		



<b>2.1 History of non-performing contracts</b>	Non-performance <sup>1</sup> of a contract did not occur within the last <b>five (5) years</b> prior to the deadline for application submission, based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract, and where all appeal instances available to the Bidder have been exhausted.	Must meet requirement by itself or as member to past or existing JV	N / A	Must meet requirement <sup>2</sup>	N / A	Form CON – 2
<b>2.2 Suspension</b>	Not under suspension based on execution of a Bid Securing Declaration or Proposal Securing Declaration pursuant to ITB 4.7 and ITB 20.9	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Letter of Bid

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<sup>1</sup> Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e., dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

<sup>2</sup> This requirement also applies to contracts executed by the Bidder as JV member.

<b>2.3 Pending Litigation</b>	Bid's financial position and prospective long-term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Bidder	Must meet requirement.	N / A	Must meet requirement	N / A	Form CON – 2
<b>2.4 Litigation History</b>	No consistent history of court/arbitral award decisions against the Bidder <sup>3</sup> since <b>1<sup>st</sup> January 2019.</b>	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2
<b>2.5 Declaration: Environmental and Social (ES) past performance</b>	Declare any contract that has been suspended or terminated and/or performance security called by an employer for reasons of breach of environmental, or social (including Sexual Exploitation, and Abuse) contractual obligations in the past five years.	Must make the declaration. Where there are Specialized Subcontractor/s , the Specialized Subcontractor/s must also make the declaration.	N/A	Each must make the declaration. Where there are Specialized Subcontractor/s , the Specialized Subcontractor/s must also make the declaration.	N/A	Form CON-3 ES Performance Declaration
<b>2.6 Bank's SEA and/or SH Disqualification</b>	At the time of Contract Award, not subject to disqualification by the Bank for non-compliance with SEA/ SH obligations	Must meet requirement (including each subcontractor proposed by the Bidder)	N/A	Must meet requirement (including each subcontractor proposed by the Bidder)	N/A	Letter of Bid, Form CON-4

<sup>3</sup> The Bidder shall provide accurate information on the related Letter of Bid about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Bidder or any member of a joint venture may result in failure of the Bid.

Factor	3 Financial Situation					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each member	At least one member		
<b>3.1 Financial Capabilities</b>	Submission of audited balance sheets or if not required by the law of the Bidder's Country, other financial statements acceptable to the Employer, for the <b>last 5 years</b> (2019-2023) to demonstrate the current soundness of the Bidders financial position and its prospective long- term profitability.	Must meet requirement	N / A	Must meet requirement	N / A	Form FIN – 3.1 with attachments
<b>3.2 Average Annual Turnover</b>	Minimum average annual turnover in Solar Energy Sector of <b>USD \$4.5 M</b> calculated as total certified payments received for contracts in progress or <b>completed within the last five (5) years.</b>	Must meet requirement	Must meet requirement	Must meet Thirty percent <b>(30%)</b> of the requirement.	Must meet seventy percent <b>(70%)</b> of the requirement.	Form FIN –3.2

Factor	3 Financial Situation					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder			Documentation Required	
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member		
<b>3.3 Financial Resources</b>	The Bidder must demonstrate access to, or availability of, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments to meet: a) the following cash-flow requirement: <b>USD \$ 1.5 M</b> and (ii) the overall cash flow requirements for this contract and its current commitments.	Must meet requirement	Must meet requirement	N/A		N/A

Factor	4 Experience					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
<b>4.1 General Experience</b>	Experience in <b>Solar energy Projects</b> under contracts in the role of <i>contractor</i> , subcontractor, or management contractor for at least the last <b>5 years starting 1<sup>st</sup> January 2019</b> .	Must meet requirement	N / A	Must meet requirement	N / A	Form EXP-4.1
<b>4.2(a) Specific Experience</b>	(a)Participation as contractor, joint venture member <sup>4</sup> , management contractor, or subcontractor, in at least Two (2) contracts within the last five (5) years, each with a value of at least <b>USD 1,500,000 (United States Dollars one million and Five Hundred Thousand)</b> that have been successfully and	Must meet requirement	Must meet requirements <sup>6</sup>	N / A	N/A	Form EXP 4.2(a)

<sup>4</sup> For contracts under which the Bidder participated as a joint venture member or sub-contractor, only the Bidder's share, by value, shall be considered to meet this requirement

<sup>6</sup> In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

Factor	4 Experience					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member	At least one member	
	<p>substantially<sup>5</sup>completed and that are similar to the proposed Plant and Installation Services. The similarity of the contracts shall be based on capacity, complexity and technology as prescribed in Section VII, Employer's Requirements. Key considerations shall include:</p> <ul style="list-style-type: none"> <li>• Design and specification of PV Systems (off-grid/ grid-connected/ Hybrid of above 100kWp with battery storage</li> <li>• Design, Supply, Installation, Testing, Commissioning and Maintenance of PV systems (off-grid/ grid-connected/ Hybrid) of</li> </ul>					

<sup>5</sup> Substantial completion shall be based on 80% or more Plant and installation completed under the contract.

Factor	4 Experience					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
All members combined			Each member	At least one member		
	10kWp and above with battery storage.					
<b>4.2(b) Specific Experience</b>	(b) For the above or other contracts executed during the period stipulated in 4.2(a) above, a minimum experience in the following key activities: <ul style="list-style-type: none"> <li>• Design, supply, installation, testing and commissioning of at least five (3) solar PV plants over the last 5 years; at least one with a PV capacity of 100kWp and above; all others above 10 kWp. At least two (2) of the projects shall have incorporated Battery</li> </ul>	Must meet requirements.	Must meet requirements <sup>7</sup>	N / A	N/A	Form EXP-4.2(b)

<sup>7</sup> In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

Factor	4 Experience					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
	Energy Storage Systems (BESS). All these projects must be in proper and satisfactory operation for the past Six (6) months or more.  For clarity, PV power plants means Off-grid PV, Grid-connected PV, and PV hybrid power plants.			All members combined		Each member
<b>4.2 (c) Specific Experience in managing ES aspects</b>	For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between <i>1st January 2019</i> and Application submission deadline, experience in managing ES risks and impacts in the following aspects:	Must meet requirements	Must meet requirements	N/A	N/A	Form EXP – 4.2 (c)



Factor	4 Experience					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture (existing or intended)			
			All members combined	Each member		
	The contractor must have been involved in at least 1 solar project with environmental and social risk management actions by the contractor. Copy of the construction ESMPS produced by the contractor shall be submitted.					



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## Letter of Bid- Technical Part

*INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT*

*The Bidder must prepare this Letter of Bid on stationery with its letterhead clearly showing the Bidder's complete name and business address.*

Note: *All italicized text is to help Bidders in preparing this form.*

**Date of this Bid submission:** *[insert date (as day, month and year) of Bid submission]*

**RFB No.:** *[insert number of RFB process]*

**Alternative No.:***[insert identification No if this is a Bid for an alternative]*

We, the undersigned, hereby submit our Bid, in two parts, namely:

- (a) the Technical Part, and
- (b) the Financial Part

In submitting our Bid, we make the following declarations:

- (a) **No reservations:** We have examined and have no reservations to the bidding document, including Addenda issued in accordance with Instructions to Bidders (ITB 8);
- (b) **Eligibility:** We meet the eligibility requirements and have no conflict of interest in accordance with ITB 4;
- (c) **Bid-Securing Declaration:** We have not been suspended nor declared ineligible by the Employer based on execution of a Bid-Securing Declaration or Proposal-Securing Declaration in the Employer's country in accordance with ITB 4.7;
- (d) **Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH):** *[select the appropriate option from (i) to (v) below and delete the others].*

We *[where JV, insert: "including any of our JV members"]*, and any of our subcontractors:

- (i) [have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]
- (ii) [are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.] 3333
- (iii) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.]

- (iv) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have subsequently provided and demonstrated that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]
- (v) [had been subject to disqualification by the zBank for non-compliance with SEA/ SH obligations for a period of two years. We have attached documents demonstrating that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]
- (e) **Conformity:** We offer to provide design, supply and installation services in conformity with the bidding document of the following: *[insert a brief description of the Plant, Design, Supply and Installation Services]*.
- (f) **Bid Validity:** Our Bid shall be valid until *[insert day, month and year in accordance with ITB 19.1]*, and it shall remain binding upon us and may be accepted at any time on or before this date;
- (g) **Performance Security:** If our Bid is accepted, we commit to obtain a Performance Security in accordance with the bidding document;
- (h) **One Bid Per Bidder:** We are not submitting any other Bid(s) as an individual Bidder, and we are not participating in any other Bid(s) as a Joint Venture member, and meet the requirements of ITB 4.3, other than alternative Bids submitted in accordance with ITB 13;
- (i) **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (j) **State-owned enterprise or institution:** *[select the appropriate option and delete the other]* *[We are not a state-owned enterprise or institution]* / *[We are a state-owned enterprise or institution but meet the requirements of ITB 4.6];*
- (k) **Binding Contract:** We understand that this Bid, together with your written acceptance thereof included in your Letter of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- (l) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Bid, the Most Advantageous Bid or any other Bid that you may receive; and
- (m) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption.

;

**Name of the Bidder:** \*[insert complete name of the Bidder]

**Name of the person duly authorized to sign the Bid on behalf of the Bidder:** \*\*[insert complete name of person duly authorized to sign the Bid]

**Title of the person signing the Bid:** [insert complete title of the person signing the Bid]

**Signature of the person named above:** [insert signature of person whose name and capacity are shown above]

**Date signed** [insert date of signing] **day of** [insert month], [insert year]

\*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder

\*\* : Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid

## Technical Proposal

- Site Organization
- Method Statement
- Mobilization Schedule
- Construction Schedule
- ES Management Strategies and Implementation Plans
- Sustainable Procurement Proposal
- Risk Assessment and Proposed Management Plan
- Code of Conduct for Contractor's Personnel (ES)
- Plant
- Contractor's Equipment
- Personnel
- Proposed Subcontractors for Major Items of Plant and Installation Services
- Others



## **Site Organization**

## Method Statement

*[Note to the Bidder: (i) As required in BDS 11.2 (i), also include method statement, management strategies, implementation plans and innovations, to manage cyber security risks; (ii) if there are assessed supply chain risks, the method statement must include proposed supply chain risks management plans.]*

## **Mobilization Schedule**

# Construction Schedule

## **ES Management Strategies and Implementation Plans (ES-MSIP)**

The Bidder shall submit comprehensive and concise Environmental and Social Management Strategies and Implementation Plans (ES-MSIP) as required by ITB 11.1 (j) of the Bid Data Sheet. These strategies and plans shall describe in detail the actions, materials, equipment, management processes etc. that will be implemented by the Contractor, and its subcontractors.

In developing these strategies and plans, the Bidder shall have regard to the ES provisions of the contract including those as may be more fully described in the Employer's Requirements in Section VII. The bidder shall provide total price per lot for the implementation of the ES requirements prescribed in Section VII and whose responsibility falls under the "Contractor" in Section VII.

## Sustainable Procurement Proposal

*[Note to Bidder: In addition to submitting the **required** ES Management Strategies and Implementation Plans, the Bidder shall provide its proposal to demonstrate how additional sustainable procurement requirements, if any, specified in Section VII- Employer's Requirements would be addressed. The Bidder shall also provide its proposal, if any, for exceeding the sustainable procurement requirements.]*

## **Risk assessment and Proposed Management Plan**

The Bidder should submit a risk register identifying the hazards anticipated during the implementation of the contract.

For the key hazards ranked by impact, the risk register shall include a description of the hazard, an assessment of the potential impact on health and safety, environment, cost, program or other, and the proposed mitigation strategy for each hazard.

## Code of Conduct for Contractor's Personnel (ES) Form

**Note to the Bidder:**

**The minimum content of the Code of Conduct form as set out by the Employer shall not be substantially modified.** However, the Bidder may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Bidder shall initial and submit the Code of Conduct form as part of its bid.

We are the Contractor, *[enter name of Contractor]*. We have signed a contract with *[enter name of Employer]* for *[enter description of the Facilities]*. The Plant for the Facilities will be installed at *[enter the Site]*. Our contract requires us to implement measures to address environmental and social risks, related to the Installation Services i.e. services ancillary to the supply of the Plant for the Facilities, such as inland transportation, site preparation works/ associated civil works, installation, testing, precommissioning, commissioning, operations and maintenance etc. as the case may require.

This Code of Conduct is part of our measures to deal with environmental and social risks related to the Installation Services.

All personnel that we utilize in the execution of the Contract, including staff, labor and other employees of us and of each Subcontractor, and any other personnel assisting us in the execution of the Contract, are referred to as Contractor's Personnel.

This Code of Conduct identifies the behavior that we require from the Contractor's Personnel employed for the execution of Installation Services at the Site (or other places in the country where the Site is located).

Our workplace is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

### REQUIRED CONDUCT

Contractor's Personnel employed for the execution of Installation Services at the Site (or other places in the country where the Site is located) shall:

1. carry out his/her duties competently and diligently;



2. comply with this Code of Conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Contractor's Personnel and any other person;
3. maintain a safe working environment including by:
  - a. ensuring that workplaces, machinery, equipment and processes under each person's control are safe and without risk to health;
  - b. wearing required personal protective equipment;
  - c. using appropriate measures relating to chemical, physical and biological substances and agents; and
  - d. following applicable emergency operating procedures.
4. report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
6. not engage in any form of sexual harassment including unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other Contractor's or Employer's Personnel;
7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
8. not engage in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
9. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, and Sexual Exploitation and Abuse, and Sexual Harassment (SH);
11. report violations of this Code of Conduct; and
12. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Employer, or who makes use of the grievance mechanism for Contractor's Personnel or the project's Grievance Redress Mechanism.

### **RAISING CONCERNS**

If any person observes behavior that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [*enter name of the Contractor's Social Expert with relevant experience in handling sexual exploitation, sexual abuse and sexual harassment cases, or if such person is not required under the Contract, another individual designated by the Contractor to handle these matters*] in writing at this address [ ] or by telephone at [ ] or in person at [ ]; or

2. Call [ ] to reach the Contractor’s hotline (*if any*) and leave a message.

The person’s identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

### **CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT**

Any violation of this Code of Conduct by the Contractor’s Personnel may result in serious consequences, up to and including termination and possible referral to legal authorities.

FOR CONTRACTOR’S PERSONNEL:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [*enter name of Contractor’s contact person(s) with relevant experience*] requesting an explanation.

Name of Contractor’s Personnel: [insert name]

Signature:

\_\_\_\_\_

Date: (day month year): \_\_\_\_\_

Countersignature of authorized representative of the Contractor:

Signature: \_\_\_\_\_

Date: (day month year): \_\_\_\_\_

**ATTACHMENT 1:** Behaviors constituting SEA and behaviors constituting SH

**ATTACHMENT 1 TO THE CODE OF CONDUCT FORM****BEHAVIORS CONSTITUTING SEXUAL EXPLOITATION AND ABUSE (SEA) AND BEHAVIORS  
CONSTITUTING SEXUAL HARASSMENT (SH)**

The following non-exhaustive list is intended to illustrate types of prohibited behaviors.

(1) **Examples of sexual exploitation and abuse** include, but are not limited to:

- A Contractor's Personnel tells a member of the community that he/she can get them jobs related to the work site (e.g., cooking and cleaning) in exchange for sex.
- A Contractor's Personnel that is connecting electricity input to households says that he can connect women headed households to the grid in exchange for sex.
- A Contractor's Personnel rapes, or otherwise sexually assaults a member of the community.
- A Contractor's Personnel denies a person access to the Site unless he/she performs a sexual favor.
- A Contractor's Personnel tells a person applying for employment under the Contract that he/she will only hire him/her if he/she has sex with him/her.

(2) **Examples of sexual harassment in a work context**

- A Contractor's Personnel comment on the appearance of another Installation Services Personnel (either positive or negative) and sexual desirability.
- When a Contractor's Personnel complains about comments made by another Contractor's Personnel on his/her appearance, the other Contractor's Personnel comment that he/she is "asking for it" because of how he/she dresses.
- Unwelcome touching of a Contractor's Personnel or Employer's Personnel by another Contractor's Personnel.
- A Contractor's Personnel tells another Contractor's Personnel that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

## Plant

The bidder's description of the PV plant/plants being offered in the bid demonstrating how the plant/plants meets the technical and performance requirements described in Section VII: Employers Requirements; for EACH type of health facility, namely

- i) General Hospital
- ii) Maternity Hospital
- iii) Health Centre

To illustrate the suitability and adequacy of the proposed system design, accompanying the description and for each facility type, the bidder should provide the Plant electrical schematic diagram of the system configuration showing the main equipment/components, capacities and control equipment/switchgear, their interconnection, and the power flows.

## Contractor's Equipment

### Form EQU

The Bidder shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key Contractor's equipment listed in Section III, Evaluation and Qualification Criteria. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Bidder.

Item of equipment		
Equipment information	Name of manufacturer	Model and power rating
	Capacity	Year of manufacture
Current status	Current location	
	Details of current commitments	
Source	Indicate source of the equipment <input type="checkbox"/> Owned <input type="checkbox"/> Rented <input type="checkbox"/> Leased <input type="checkbox"/> Specially manufactured	

Omit the following information for equipment owned by the Bidder.

Owner	Name of owner	
	Address of owner	
	Telephone	Contact name and title
	Fax	Telex
Agreements	Details of rental / lease / manufacture agreements specific to the project	

## Functional Guarantees

### Form FUNC

The Bidder shall copy in the left column of the table below, the identification of each functional guarantee required in the Specification and stated by the Employer in para. 1.2 (c) of Section III, Evaluation and Qualification Criteria, and in the right column, provide the corresponding value for each functional guarantee of the proposed plant and equipment.

<b>Required Functional Guarantee</b>	<b>Value of Functional Guarantee of the Proposed Plant and Equipment</b>
1.	
2.	
3.	
...	

## Personnel

### Form PER -1

## Contractor's Representative and other Key Personnel Schedule

Bidders should provide the names of suitably qualified personnel to meet the specified requirements stated in Section VII. The data on their experience should be supplied using the Form below for each candidate.

#### Contractor' Representative and Key Personnel

1.	<b>Title of position:</b> Contractor's Representative	
	<b>Name of candidate:</b>	
	<b>Duration of appointment:</b>	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	<b>Time commitment for this position:</b>	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	<b>Expected time schedule for this position:</b>	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
2.	<b>Title of position:</b> [Environmental Specialist]	
	<b>Name of candidate:</b>	
	<b>Duration of appointment:</b>	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	<b>Time commitment for this position:</b>	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	<b>Expected time schedule for this position:</b>	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
3.	<b>Title of position:</b> [Health and Safety Specialist]	
	<b>Name of candidate:</b>	

	<b>Duration of appointment:</b>	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	<b>Time commitment for this position:</b>	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	<b>Expected time schedule for this position:</b>	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
<b>4.</b>	<b>Title of position: [Social Specialist]</b>	
	<b>Name of candidate:</b>	
	<b>Duration of appointment:</b>	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	<b>Time commitment for this position:</b>	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	<b>Expected time schedule for this position:</b>	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
<b>5.</b>	<b>Title of position: Sexual Exploitation, Abuse and Harassment Expert</b> <i>[Where a Project SEA risks are assessed to be substantial or high, key personnel shall include an expert/s with relevant experience in addressing sexual exploitation, sexual abuse and sexual harassment cases]</i>	
	<b>Name of candidate</b>	
	<b>Duration of appointment:</b>	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	<b>Time commitment for this position:</b>	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	<b>Expected time schedule for this position:</b>	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
<b>6.</b>	<b>Title of position: Cyber security Expert/s</b> <i>[Include as required]</i>	
	<b>Name of candidate</b>	
	<b>Duration of appointment:</b>	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	<b>Time commitment for this position:</b>	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>



	<b>Expected time schedule for this position:</b>	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
<b>7.</b>	<b>Title of position: [insert title]</b>	



## Proposed Subcontractors for Major Items of Plant and Installation Services

A list of major items of Plant and Installation Services is provided below.

- PV Modules and array support structures
- Inverters and associated monitoring and control systems
- Battery Energy Storage Systems
- Civil and structural works

Bidders who wish to subcontract any of above or other works should propose and indicate in the table below the names of Subcontractors and/or manufacturers for carrying out the item of the facilities indicated

<b>Major Items of Plant and Installation Services</b>	<b>Proposed Subcontractors/Manufacturers</b>	<b>Nationality</b>

## **Others - Time Schedule**

(to be used by Bidder when alternative Time for **Completion is invited in ITB 13.2**)

**NOT APPLICABLE**

## **Bidders Qualification without prequalification**

To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

## Form ELI 1.1

**Bidder Information Sheet**

Date: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

1. Bidder's Legal Name
2. In case of JV, legal name of each party:
3. Bidder's actual or intended Country of Registration:
4. Bidder's Year of Registration:
5. Bidder's Legal Address in Country of Registration:
6. Bidder's Authorized Representative Information Name: Address: Telephone/Fax numbers: Email Address:
7. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITB 4.4 <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITB 4.1 <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITB 4.6, documents establishing: <ul style="list-style-type: none"> <li>• Legal and financial autonomy</li> <li>• Operation under commercial law</li> <li>• Establishing that the Bidder is not under the supervision of the Employer</li> </ul>
8. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. The successful Bidder shall provide additional information on beneficial ownership, using the Beneficial Ownership Disclosure Form.

## Form ELI 1.2

**Party to JV Information Sheet**

Date: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

1. Bidder's Legal Name:
2. JV's Party legal name:
3. JV's Party Country of Registration:
4. JV's Party Year of Registration:
5. JV's Party Legal Address in Country of Registration:
6. JV's Party Authorized Representative Information Name: Address: Telephone/Fax numbers: Email Address:
7. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITB 4.4. <input type="checkbox"/> In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and that they are not under the supervision of the Employer, in accordance with ITB 4.6.
8. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. The successful Bidder shall provide additional information on beneficial ownership for each JV member using the Beneficial Ownership Disclosure Form.

## Form CON – 2

### Historical Contract Non-Performance, Pending Litigation and Litigation History

Bidder's Legal Name: \_\_\_\_\_

Date: \_\_\_\_\_

JV Member Legal Name: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

Non-Performed Contracts in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> Contract non-performance did not occur since 1 <sup>st</sup> January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 2.1.			
<input type="checkbox"/> Contract(s) not performed since 1 <sup>st</sup> January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 2.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No pending litigation in accordance with Sub-Factor 2.3.			
<input type="checkbox"/> Pending litigation in accordance with Sub-Factor 2.3 as indicated below.			



Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
		Contract Identification: _____ Name of Employer: _____ Address of Employer: _____ Matter in dispute: _____ Party who initiated the dispute: _____  Status of dispute: _____	
		Contract Identification: Name of Employer: Address of Employer: Matter in dispute: Party who initiated the dispute: Status of dispute:	
Litigation History in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No Litigation History in accordance with Sub-Factor 2.4. <input type="checkbox"/> Litigation History in accordance with Sub-Factor 2.4 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)

<i>[insert year]</i>	<i>[insert percentage]</i>	Contract Identification: [indicate complete contract name, number, and any other identification]  Name of Employer: <i>[insert full name]</i>  Address of Employer: <i>[insert street/city/country]</i>  Matter in dispute: <i>[indicate main issues in dispute]</i>  Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i>  Reason(s) for Litigation and award decision <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
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## Form CON – 3

### Environmental and Social Performance Declaration

*[The following table shall be filled in by the Bidder, each member of a Joint Venture and each Specialized Subcontractor]*

Bidder’s Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member’s or Specialized Subcontractor’s Name: *[insert full name]*

RFB No. and title: *[insert RFB number and title]*

Page *[insert page number]* of *[insert total number]* pages

<b>Environmental and Social Performance Declaration</b> in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> <b>No suspension or termination of contract:</b> An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 2.5.			
<input type="checkbox"/> <b>Declaration of suspension or termination of contract:</b> The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 2.5. Details are described below:			
Year	Suspended or terminated portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s) e.g., gender-based violence; sexual exploitation or sexual abuse breaches]</i>	<i>[insert amount]</i>
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i>	<i>[insert amount]</i>

		Reason(s) for suspension or termination: <i>[indicate main reason(s)]</i>	
...	...	<i>[list all applicable contracts]</i>	...
<b>Performance Security called by an employer(s) for reasons related to ES performance</b>			
Year	Contract Identification		Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for calling of performance security: <i>[indicate main reason(s) e.g., for gender-based violence; sexual exploitation, or sexual abuse breaches]</i>		<i>[insert amount]</i>

## Form CON – 4

### Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration

*[The following table shall be filled in by the Bidder, each member of a Joint Venture and each subcontractor proposed by the Bidder]*

Bidder's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's or Subcontractor's Name: *[insert full name]*

RFB No. and title: *[insert RFB number and title]*

Page *[insert page number]* of *[insert total number]* pages

#### SEA and/or SH Declaration

#### in accordance with Section III, Evaluation and Qualification Criteria

We:

- (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations
- (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations
- (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.

***[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]***

## Form CCC

**Current Contract Commitments / Works in Progress**

Bidders and each member to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

<b>Name of contract</b>	<b>Employer, contact address/tel/fax</b>	<b>Value of outstanding work (current US\$ equivalent)</b>	<b>Estimated completion date</b>	<b>Average monthly invoicing over last six months (US\$/month)</b>
1.				
2.				
3.				
4.				
5.				
etc.				

Form FIN – 3.1

## Financial Situation

### Historical Financial Performance

Bidder's Legal Name: \_\_\_\_\_

Date: \_\_\_\_\_

JV Member Legal Name: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

To be completed by the Bidder and, if JV, by each member

Financial information in US\$ equivalent	Historic information for previous _____ ( ) years (US\$ equivalent in 000s)						
	Year 1	Year 2	Year 3	Year ...	Year n	Avg.	Avg. Ratio
<b>Information from Balance Sheet</b>							
<b>Total Assets (TA)</b>							
<b>Total Liabilities (TL)</b>							
<b>Net Worth (NW)</b>							
<b>Current Assets (CA)</b>							
<b>Current Liabilities (CL)</b>							
<b>Information from Income Statement</b>							
<b>Total Revenue (TR)</b>							

Financial information in US\$ equivalent	Historic information for previous _____ ( ) years						
	(US\$ equivalent in 000s)						
	Year 1	Year 2	Year 3	Year ...	Year n	Avg.	Avg. Ratio
<b>Profits Before Taxes (PBT)</b>							

Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:

- (a) Must reflect the financial situation of the Bidder or member to a JV, and not sister or parent companies
- (b) Historic financial statements must be audited by a certified accountant
- (c) Historic financial statements must be complete, including all notes to the financial statements
- (d) Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted)



Form FIN – 3.2

**Average Annual Turnover**

Bidder’s Legal Name: \_\_\_\_\_

Date: \_\_\_\_\_

JV Member Legal Name: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

Annual turnover data		
Year	Amount and Currency	US\$ equivalent
	_____	_____
	_____	_____
	_____	_____
	_____	_____
	_____	_____
	_____	_____
*Average Annual Turnover	_____	_____

\*Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Section III, Evaluation Criteria, Sub-Factor 2.3.2.

## Form FIN3.3

**Financial Resources**

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total cash flow demands of the subject contract or contracts as indicated in Section III, Evaluation and Qualification Criteria

<b>Source of financing</b>	<b>Amount (US\$ equivalent)</b>
1.	
2.	
3.	
4.	

Form EXP 4.1

**Experience**

**General Experience**

Bidder's Legal Name: \_\_\_\_\_

Date: \_\_\_\_\_

JV Member Legal Name: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

Starting Month / Year	Ending Month / Year	Years*	Contract Identification	Role of Bidder
_____	_____		Contract name: Brief Description of the contract performed by the Bidder: Name of Employer: Address:	_____
_____	_____		Contract name: Brief Description of the contract performed by the Bidder: Name of Employer: Address:	_____
_____	_____		Contract name: Brief Description of the contract performed by the Bidder: Name of Employer: Address:	_____

Starting Month / Year	Ending Month / Year	Years*	Contract Identification	Role of Bidder
_____	_____		Contract name: Brief Description of the contract performed by the Bidder: Name of Employer: Address:	_____
_____	_____		Contract name: Brief Description of the contract performed by the Bidder: Name of Employer: Address:	_____
_____	_____		Contract name: Brief Description of the contract performed by the Bidder: Name of Employer: Address:	_____

\*List calendar year for years with contracts with at least nine (9) months activity per year starting with the earliest year

Form EXP -4.2(a)

**Specific Experience**

Bidder's Legal Name: \_\_\_\_\_

Date: \_\_\_\_\_

JV Member Legal Name: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

<b>Similar Contract Number: ___ of ___ required.</b>	<b>Information</b>			
Contract Identification	_____			
Award date	_____			
Completion date	_____			
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total contract amount	US\$ _____			
If member in a JV or subcontractor, specify participation of total contract amount	_____ %		_____	US\$ _____
Employer's Name:	_____			
Address:	_____			
Telephone/fax number:	_____			
E-mail:	_____			

**Form EXP –4.2(a) (cont.)**  
**Specific Experience (cont.)**

Bidder’s Legal Name: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

JV Member Legal Name: \_\_\_\_\_

<b>Similar Contract No. __[insert specific number] of [total number of contracts] __ required</b>	<b>Information</b>
Description of the similarity in accordance with Sub-Factor 4.2a) of Section III:	
Amount	_____
Physical size	_____
Complexity	_____
Methods/Technology	_____
Physical Production Rate	_____

Form EXP -4.2(b)

**Specific Experience in Key Activities**

Bidder's Legal Name: \_\_\_\_\_

Date: \_\_\_\_\_

JV Member Legal Name: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Subcontractor's Legal Name: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

	<b>Information</b>		
Contract Identification	_____		
Award date	_____		
Completion date	_____		
Role in Contract	Prime Contractor <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total contract amount	US\$ _____		
If member in a JV or subcontractor, specify participation of total contract amount	_____ %	_____	US\$ _____
Employer's Name:	_____		
Address:	_____ _____ _____		
Telephone/fax number:	_____ _____		
E-mail:	_____		

Form EXP –4.2 (b)(cont.)

**Specific Experience in Key Activities (cont.)**

Bidder’s Legal Name: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

JV Member Legal Name: \_\_\_\_\_

Subcontractor’s Legal Name: \_\_\_\_\_

	<b>Information</b>
Description of the key activities in accordance with Sub-Factor 4.2b) of Section III:	



## Form EXP -4.2(c)

## Specific Experience in Managing ES aspects and any additional sustainable procurement aspects

Bidder's Legal Name: \_\_\_\_\_

Date: \_\_\_\_\_

JV Member Legal Name: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Subcontractor's Legal Name: \_\_\_\_\_

Page \_\_\_\_\_ of \_\_\_\_\_ pages

1. Key Requirement no 1 in accordance with 4.2 (c): \_\_  
\_\_\_\_\_

Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount	US\$ _____			
Details of relevant experience				

2. Key Requirement no 2 in accordance with 4.2 (c): \_\_\_\_\_

3. Key Requirement no 3 in accordance with 4.2 (c): \_\_\_\_\_

## **Form of Bid Security**

### **Form of Bid Security – Bank Guarantee**

**NOT APPLICABLE**

**Form of Bid Security- Bid Bond**

**NOT APPLICABLE**

## Form of Bid-Securing Declaration

Date: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Alternative No.: \_\_\_\_\_

To: \_\_\_\_\_

We, the undersigned, declare that:

We understand that, according to your conditions, Bids must be supported by a Bid-Securing Declaration.

We accept that we will automatically be suspended from being eligible for Bidding or submitting proposals in any contract with the Employer for the period of time specified in Section II – Bid Data Sheet, if we are in breach of our obligation(s) under the Bid conditions, because we:

- (a) have withdrawn our Bid prior to the expiry date of the Bid validity specified in the Letter of Bid or any extended date provided by us; or
- (b) having been notified of the acceptance of our Bid by the Employer prior to the expiry date of the Bid validity in the Letter of Bid or any extended date provided by us, (i) fail or refuse to execute the Contract, if required, or (ii) fail or refuse to furnish the Performance Security, in accordance with the ITB.

We understand this Bid-Securing Declaration shall expire if we are not the successful Bidder, upon the earlier of (i) our receipt of your notification to us of the name of the successful Bidder; or (ii) twenty-eight days after the expiry date of the Bid validity.

Name of the Bidder\* \_\_\_\_\_

Name of the person duly authorized to sign the Bid on behalf of the Bidder\*\* \_\_\_\_\_

Title of the person signing the Bid \_\_\_\_\_

Signature of the person named above \_\_\_\_\_

Date signed \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

\*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder

\*\*: Person signing the Bid shall have the power of attorney given by the Bidder attached to the Bid

*[Note: In case of a Joint Venture, the Bid-Securing Declaration must be in the name of all members to the Joint Venture that submits the Bid.]*

## Manufacturer's Authorization

Date: \_\_\_\_\_

RFB No.: \_\_\_\_\_

To: \_\_\_\_\_

WHEREAS

We \_\_\_\_\_, who are official manufacturers of \_\_\_\_\_, having factories at \_\_\_\_\_, do hereby authorize \_\_\_\_\_ to submit a Bid the purpose of which is to provide the following goods, manufactured by us \_\_\_\_\_, and to subsequently negotiate and sign the Contract.

We hereby extend our full guarantee and warranty in accordance with Clause 27 of the General Conditions, with respect to the goods offered by the above firm.

Signed: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Duly authorized to sign this Authorization on behalf of:

\_\_\_\_\_

Dated on \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

## Forced Labor Declaration

Date: \_\_\_\_\_

RFB No.: \_\_\_\_\_

Alternative No.: \_\_\_\_\_

Contract Title: \_\_\_\_\_  
\_\_\_\_\_

To:

**We, the undersigned, declare that, if awarded the Contract, we, including our Subcontractors and suppliers/ manufacturers, are required to comply with the contractual Forced Labor obligations.**

**In this regard, we:**

- (a) accept that there will be no Forced Labor among the staff, employees, workers and any other persons employed or engaged by us;
- (b) accept that staff, employees, workers and any other persons employed or engaged, will be hired under employment conditions that meet the contractual obligations set out in the Contract;
- (c) will include in our contracts with Subcontractors/ suppliers/ manufacturers of *[solar panels]* *[solar panel components]* obligations to prevent Forced Labor among the staff, employees, workers and any other person employed or engaged by the Subcontractor/ supplier/ manufacturer;
- (d) will include in our contracts with Subcontractors/ suppliers/ manufacturers of *[solar panels]* *[solar panel components]*, that the Subcontractors/ suppliers/ manufacturers include an obligation to prevent Forced Labor in all contracts that they execute with their suppliers/ manufacturers of *[solar panel]**[solar panel components]*;
- (e) will monitor our Subcontractors/ suppliers/ manufacturers of *[solar panels]**[solar panel components]* on implementation of obligations to prevent Forced Labor among the staff, employees, workers and any other person employed or engaged by them;
- (f) will require our Subcontractors to monitor their suppliers/ manufacturers of *[solar panels]**[solar panel components]* on implementation of obligations to prevent Forced Labor among the staff, employees, workers and any other person employed or engaged by them;
- (g) will require our Subcontractors/ suppliers/ manufacturers to immediately notify us of any incidents of Forced Labor;
- (h) will immediately notify the Employer any incident of Forced labor on the site, or premises of Subcontractors/ suppliers/ manufacturers of *[solar panels]* *[solar panel components]*;

- (i) will include in periodic progress reports submitted in accordance with the contract sufficient details on our, including our Subcontractors/ suppliers/ manufacturers , compliance with Forced Labor obligations; and we
- (j) confirm that the Subcontractors/ suppliers/ manufacturers for *[solar panels][solar panel components]* for this contract are (or likely to be):

**[Provide each firm's name, address, primary contact, e-mail address, and the link to the firm's website]**

## **OR**

confirm that you have not yet finalized the Subcontractors/ suppliers/ manufacturers of solar panels/components, but when known the firm/s name(s), address(es), primary contact(s), e-mail address(es) and web site link(s) will be provided to the Employer, prior to signing the contract, with documentation demonstrating compliance with forced labor obligations to the Employer for approval].

## **THEN**

**If (c) above is applicable**, attach evidence of how these contract obligations are/will be made.

**If (d) above is applicable**, attach evidence of how these contract obligations are/will be made.

**If (e) above is applicable**, please attach evidence of how this monitoring/due diligence is/will be undertaken (such as your inspection protocols, use of inspection agents, frequency of inspections, examples of previous factory/labor inspection reports etc.).

**If (f) above is applicable**, please attach evidence of how this monitoring/due diligence is/will be undertaken by Subcontractors (such as their inspection protocols, use of inspection agents, frequency of inspections, examples of previous factory/labor inspection reports etc.).

**We declare all the information and statements made in this Form are true, and we accept that any misrepresentation contained in this Form may lead to our disqualification by the Employer and/or sanctions by the Bank.**

Name of the Bidder\* \_\_\_\_\_

Name of the person duly authorized to sign the Bid on behalf of the Bidder\*\* \_\_\_\_\_

Title of the person signing the Bid \_\_\_\_\_

Signature of the person named above \_\_\_\_\_

Date signed \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

\*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder

\*\* : Person signing the Bid shall have the power of attorney given by the Bidder attached to the Bid

*[Note: In case of a Joint Venture, the Forced Labor Declaration must be in the name of all members to the Joint Venture that submits the Bid.]*

## Forced Labor Performance Declaration

***[The following table shall be filled in by the Bidder, each member of a Joint Venture, each Subcontractor/ supplier/ manufacturer providing solar panels and/or solar panel components proposed by the Bidder]***

**Bidder's Name:** *[insert full name]*

**Date:** *[insert day, month, year]*

**Joint Venture Member's or Subcontractor's/supplier's/manufacturer's Name:** *[insert full name]*

**RFB No. and title:** *[insert RFB number and title]*

**Page** *[insert page number]* **of** *[insert total number]* **pages**

<b>Forced Labor Performance Declaration</b>			
<b>in accordance with Section III, Evaluation and Qualification Criteria</b>			
<b>We:</b>			
<input type="checkbox"/> (a) have not been suspended or terminated, and/or other contractual remedies applied including calling of performance security by an employer, for reasons of breach of forced labor obligations in the past five years. <i>[ if (a) is declared, state N/A for (b) below]</i>			
<input type="checkbox"/> (b) have been suspended or terminated, and/or other contractual remedies applied including calling of performance security by an employer, for reasons of breach of forced labor obligations in the past five years. Details are provided below:			
Year	Contract identification	Name of Employer	Reasons for suspension or, termination, and/or other contractual remedies applied including calling performance security
-	-	-	-
<input type="checkbox"/> (c) <i>[If (b) above is applicable, attach evidence demonstrating that adequate capacity and commitment to comply with Forced Labor obligations.]</i>			

**We declare that all the information and statements made in this Form are true, and we accept that any misrepresentation contained in this Form may lead to our disqualification by the Employer and/or sanctions by the Bank.**

Name of the Bidder/ JV member/ Subcontractor/ supplier/ manufacturer

\_\_\_\_\_

Name of the person duly authorized to sign on behalf of the Bidder/ JV member/ Subcontractor/ supplier/manufacturer

\_\_\_\_\_

\_\_\_\_\_

Title of the person signing on behalf of the Bidder/ JV member/ Subcontractor/ supplier/ manufacturer

\_\_\_\_\_



Signature of the person named above

\_\_\_\_\_

Date signed \_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_

Countersignature of authorized representative of the Bidder (for forms submitted by a JV member, Subcontractor/  
supplier/ manufacturer):

Signature:

\_\_\_\_\_

Date signed \_\_\_\_\_ day of \_\_\_\_\_,

\_\_\_\_\_

## Letter of Bid - Financial Part

*INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT*

*The Bidder must prepare this Letter of Bid on stationery with its letterhead clearly showing the Bidder's complete name and business address.*

*Note: All italicized text is to help Bidders in preparing this form.*

**Date of this Bid submission:** *[insert date (as day, month and year) of Bid submission]*

**Request for Bid No.:** *[insert identification]*

**Alternative No.:** *[insert identification No if this is a Bid for an alternative]*

To: ***[insert complete name of Employer]***

We, the undersigned, hereby submit the second part of our Bid, the Bid Price and Bill of Quantities. This accompanies the Letter of Bid- Technical Part.

In submitting our Bid, we make the following additional declarations:

(a) **Bid Validity:** Our Bid shall be valid until *[insert day, month and year in accordance with ITB 19.1]*, and it shall remain binding upon us and may be accepted at any time on or before this date;

(b) **Total Price:** The total price of our Bid, excluding any discounts offered in item (f) below is: *[Insert one of the options below as appropriate]*

*[Option 1, in case of one lot:] Total price is: [insert the total price of the Bid in words and figures, indicating the various amounts and the respective currencies];*

Or

*[Option 2, in case of multiple lots:] (a) Total price of each lot *[insert the total price of each lot in words and figures, indicating the various amounts and the respective currencies];* and (b) Total price of all lots (sum of all lots) *[insert the total price of all lots in words and figures, indicating the various amounts and the respective currencies];**

(c) **Discounts:** The discounts offered and the methodology for their application are:

(i) The discounts offered are: *[Specify in detail each discount offered]*

(ii) The exact method of calculations to determine the net price after application of discounts is shown below: *[Specify in detail the method that shall be used to apply the discounts];*

(d) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the Bidding process or execution of the Contract: *[insert*

*complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity].*

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate "none.")

**Name of the Bidder:** *\*[insert complete name of the Bidder]*

**Name of the person duly authorized to sign the Bid on behalf of the Bidder:** *\*\* [insert complete name of person duly authorized to sign the Bid]*

**Title of the person signing the Bid:** *[insert complete title of the person signing the Bid]*

**Signature of the person named above:** *[insert signature of person whose name and capacity are shown above]*

**Date signed** *[insert date of signing] day of [insert month], [insert year]*

\*: In the case of the Bid submitted by a Joint Venture specify the name of the Joint Venture as Bidder.

\*\* : Person signing the Bid shall have the power of attorney given by the Bidder. The power of attorney shall be attached with the Bid Schedules

## Schedule of Rates and Prices

### Schedule No. 1. Plant and Mandatory Spare Parts Supplied from Abroad

#### i) Schedule No.1 - General Hospitals

Item No	Description	Unit	Quantity <sup>8</sup>	CIP Unit Price (USD)	CIP Total Price (USD)
1	Supply and delivery of Solar PV modules/arrays with a minimum total installed capacity of 204 kWp for each General Hospital	Lot			
2	Supply and delivery of anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories with and fittings for ground or on-roof mounting	Lot			
3	Supply and delivery of Smart PV Inverters with a minimum total installed capacity of 204 kW and power output at 415V AC 3-phase per General Hospital	Lot			
4	Supply and delivery of Battery Inverter/Chargers with Minimum Power output delivery of 100 kW at 415 V AC 3-phase	Lot			
5	Supply and delivery of Lithium-Ion phosphate Battery Energy Storage System with a total minimum installed capacity of 1100 kWhrs c/w battery racks /boxes	Lot			
6	Supply and delivery of System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.	Lot			

<sup>8</sup> Unless otherwise stated, the quantity is the number of General Hospitals in the Lot.

<p><b>7</b></p>	<p>Supply and delivery of mandatory Spare Parts PER LOT as per Bills of Quantities:</p> <p>PV Modules (5%)</p> <p>PV Inverters (5%)</p> <p>Batteries (5%)</p> <p>Inverter Chargers (5%)</p> <p>Fuses (10%)</p> <p>Circuit Breakers (10%)</p>	<p>Lot</p>	<p>1</p>		
<p><b>8</b></p>	<p>Supply and delivery of 3-phase 415V AC main panel and distribution board complete with associated incomer and output protection and control switchgear</p>	<p>Lot</p>			
<p><b>9</b></p>	<p>Supply and delivery of All balance of system (BOS) components, fittings and accessories not stated elsewhere in this schedule but described in the BOQs and any other BOS items bidder deems fit for installing and interconnecting the equipment and subsystems above to completion.</p>	<p>Lot</p>			
<p><b>SUBTOTAL GENERAL HOSPITALS</b></p>					
<p><b>NAME OF BIDDER:</b></p> <p><b>SIGNATURE OF BIDDER:</b></p>					

## ii) Schedule No.1 - Maternity Hospitals

Item No	Description	Code <sup>9</sup>	Unit	Quantity <sup>10</sup>	CIP Unit Price (USD)	CIP Total Price (USD)
1	Supply and delivery of Solar PV modules/arrays with a minimum total capacity of 60 kWp for each Maternity Hospital		Lot			
2	Supply and delivery of anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories and stainless steels fittings (bolts, nuts etc) for ground or on-roof mounting		Lot			
3	Supply and delivery of Smart PV Inverters with a minimum total PV input capacity of 60 kW and output voltage of 415V AC, 3- phase		Lot			
4	Supply and delivery of Battery Inverter/Chargers with Minimum Power output delivery of 28 kW at 415V AC, 3-phase		Lot			
5	Supply and delivery of Lithium-Ion phosphate Battery Energy Storage System with a total minimum installed capacity of 320 kWhrs c/w battery racks /boxes		Lot			
6	Supply and delivery of System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.		Lot			

<sup>9</sup> Bidders shall enter a code representing the country of origin of all imported plant and equipment

<sup>10</sup> Unless otherwise stated Quantity should be the number of Maternity Hospitals in a Lot one is bidding for

7	Supply and delivery of mandatory Spare Parts PER LOT as per Bills of Quantities:  PV Modules (5%)  PV Inverters (5%)  Batteries (5%)  Inverter Chargers (5%)  Fuses (10%)  Circuit Breakers (10%)		Lot	1		
8	Supply and delivery of 3-phase 415V AC main panel and distribution board complete with associated incomer and output protection and control switchgear		Lot			
9	Supply and delivery of All balance of system (BOS) components, fittings and accessories not stated elsewhere in this schedule but described in the BOQs and any other BOS items bidder deems fit for installing and interconnecting the equipment and subsystems above to completion.		Lot			
<b>SUBTOTAL MATERNITY HOSPITALS</b>						
<b>NAME OF BIDDER:</b>   <b>SIGNATURE OF BIDDER:</b>						

### iii) Schedule No.1 - Health Centres

Item No	Description	Code <sup>11</sup>	Unit	Quantity <sup>12</sup>	CIP Unit Price (USD)	CIP Total Price (USD)
1	Supply and delivery of Solar PV modules/arrays with a minimum total capacity of 15 kWp for each Health Centre		Lot			
2	Supply and delivery of anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories and stainless steels fittings (bolts, nuts etc) for ground or on-roof mounting		Lot			
3	Supply and delivery of Smart PV Inverters with a minimum total PV input capacity of 15 kW and output at 240V AC, Single phase for each Health Centre		Lot			
4	Supply and delivery of Battery Inverter/Chargers with Minimum Power output delivery of 7 kW at 240V AC, Single phase		Lot			
5	Supply and delivery of Lithium-Ion phosphate Battery Energy Storage System with a total minimum installed capacity of 80 kWhrs c/w battery racks /boxes		Lot			
6	Supply and delivery of System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.		Lot			

<sup>11</sup> Bidders shall enter a code representing the country of origin of all imported plant and equipment

<sup>12</sup> Unless otherwise stated Quantity should be the number of Health Centres in the Lot one is bidding for



7	Supply and delivery of mandatory Spare Parts PER LOT as per Bills of Quantities:  PV Modules (5%)  PV Inverters (5%)  Batteries (5%)  Inverter Chargers (5%)  Fuses (10%)  Circuit Breakers (10%)		Lot	1		
8	Supply and delivery of main panel and distribution board complete with associated incomer and output protection and control switchgear		Lot			
9	Supply and delivery of All balance of system (BOS) components, fittings and accessories not stated elsewhere in this schedule but described in the BOQs and any other BOS items bidder deems fit for installing and interconnecting the equipment and subsystems above to completion.		Lot			
<b>SUBTOTAL HEALTH CENTRES</b>						
<b>NAME OF BIDDER:</b>   <b>SIGNATURE OF BIDDER:</b>						

**SCHEDULE No.1 TOTAL PRICE**

<b>Description</b>	<b>CIP Price (USD)</b>
<b>Subtotal - General Hospitals</b>	
<b>Subtotal – Maternity Hospitals</b>	
<b>Subtotal - Health Centres</b>	
<b>TOTAL (TO SCHEDULE NO.5 GRAND SUMMARY)</b>	
NAME OF BIDDER:  SIGNATURE OF BIDDER:	

### Country of Origin Declaration Form

Item	Description	Code	Country

## Schedule No. 2. Plant and Mandatory Spare Parts Supplied from Within the Employer's Country

### i) Schedule No.2 - General Hospitals

Item No	Description	Unit	Quantity <sup>13</sup>	Unit Price (USD)	Total Price (USD)
1	Supply and delivery of Solar PV modules/arrays with a minimum total installed capacity of 204 kWp for each General Hospital	No.			
2	Supply and delivery of anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories with and fittings for ground or on-roof mounting	No			
3	Supply and delivery of Smart PV Inverters with a minimum total installed capacity of 204 kW and output at 415V ac 3-phase per General Hospital	No			
4	Supply and delivery of Battery Inverter/Chargers with Minimum Power output delivery of 100 kW at 415 V AC 3-phase	No			
5	Supply and delivery of Lithium-Ion phosphate Battery Energy Storage System with a total minimum installed capacity of 1100 kWhrs c/w battery racks /boxes	No			
6	Supply and delivery of System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.	No			
7	Supply and delivery of mandatory Spare Parts PER LOT as per Bills of Quantities:	Lot	1		

<sup>13</sup> Unless otherwise stated, the quantity is the number of General Hospitals in the Lot.

	PV Modules (5%) PV Inverters (5%) Batteries (5%) Inverter Chargers (5%) Fuses (10%) Circuit Breakers (10%)				
<b>8</b>	Supply and delivery of 415V AC 3-phase main panel and distribution board complete with associated incomer and output protection and control switchgear	Lot.			
<b>9</b>	Supply and delivery of All balance of system (BOS) components, fittings and accessories not stated elsewhere in this schedule but described in the BOQs and any other BOS items bidder deems fit for installing and interconnecting the equipment and subsystems above to completion.	Lot			
<b>SUBTOTAL GENERAL HOSPITALS</b>					
<b>NAME OF BIDDER:</b>					
<b>SIGNATURE OF BIDDER:</b>					

## ii) Schedule No.2 - Maternity Hospitals

Item No	Description	Unit	Quantity <sup>14</sup>	Unit Price (USD)	Total Price (USD)
1	Supply and delivery of Solar PV modules/arrays with a minimum total capacity of 60 kWp for each Maternity Hospital	Lot			
2	Supply and delivery of anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories and stainless steels fittings (bolts, nuts etc) for ground or on-roof mounting	Lot			
3	Supply and delivery of Smart PV Inverters with a minimum total PV input capacity of 60 kW and output 415V AC, 3-phase	Lot			
4	Supply and delivery of Battery Inverter/Chargers with Minimum Power output delivery of 28 kW at 415V AC, 3-phase	Lot			
5	Supply and delivery of Lithium-Ion phosphate Battery Energy Storage System with a total minimum installed capacity of 320 kWhrs c/w battery racks /boxes	Lot			
6	Supply and delivery of System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.	Lot			
7	Supply and delivery of mandatory Spare Parts PER LOT as per Bills of Quantities:  PV Modules (5%)  PV Inverters (5%)	Lot	1		

<sup>14</sup> Unless otherwise stated Quantity should be the number of Maternity Hospitals in the Lot one is bidding for

	Batteries (5%) Inverter Chargers (5%) Fuses (10%) Circuit Breakers (10%)				
8	Supply and delivery of 415V AC 3-phase main panel and distribution board complete with associated incomer and output protection and control switchgear				
9	Supply and delivery of All balance of system (BOS) components, fittings and accessories not stated elsewhere in this schedule but described in the BOQs and any other BOS items bidder deems fit for installing and interconnecting the equipment and subsystems above to completion.	Lot			
<b>SUBTOTAL MATERNITY HOSPITALS</b>					
<b>NAME OF BIDDER:</b>					
<b>SIGNATURE OF BIDDER:</b>					

### iii) Schedule No.2 - Health Centres

Item No	Description	Unit	Quantity <sup>15</sup>	Unit Price (USD)	Total Price (USD)
1	Supply and delivery of Solar PV modules/arrays with a minimum total capacity of 15 kWp for each Health Centre	Lot			
2	Supply and delivery of anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories and stainless steels fittings (bolts, nuts etc) for ground or on-roof mounting	Lot			
3	Supply and delivery of Smart PV Inverters with a minimum total PV input capacity of 15kW and output 240V AC, Single phase	Lot			
4	Supply and delivery of Battery Inverter/Chargers with Minimum Power output delivery of 7 kW at 240V AC, Single phase	Lot			
5	Supply and delivery of Lithium-Ion phosphate Battery Energy Storage System with a total minimum installed capacity of 80 kWhrs c/w battery racks /boxes	Lot			
6	Supply and delivery of System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.	Lot			
7	Supply and delivery of mandatory Spare Parts PER LOT as per Bills of Quantities:  PV Modules (5%)  PV Inverters (5%)	Lot	1		

<sup>15</sup> Unless otherwise stated Quantity should be the number of Health Centres in LOT one is bidding for



	Batteries (5%) Inverter Chargers (5%) Fuses (10%) Circuit Breakers (10%)				
<b>8</b>	Supply and delivery of main panel and distribution board complete with associated incomer and output protection and control switchgear	<b>Lot</b>			
<b>9</b>	Supply and delivery of All balance of system (BOS) components, fittings and accessories not stated elsewhere in this schedule but described in the BOQs and any other BOS items bidder deems fit for installing and interconnecting the equipment and subsystems above to completion.	Lot			
<b>SUBTOTAL HEALTH CENTRES</b>					
<b>NAME OF BIDDER:</b>  <b>SIGNATURE OF BIDDER:</b>					

**SCHEDULE NO.2 - TOTAL PRICE**

<b>Description</b>	<b>CIP Price (USD)</b>
<b>Subtotal - General Hospitals</b>	
<b>Subtotal – Maternity Hospitals</b>	
<b>Subtotal - Health Centres</b>	
<b>TOTAL (TO SCHEDULE NO.5 GRAND SUMMARY)</b>	
<p>NAME OF BIDDER:</p>  <p>SIGNATURE OF BIDDER:</p>	

### Schedule No. 3. Design Services

Item No	Description	Unit	Quantity	Unit Price (USD)	Total Price (USD)
1	Field survey to ALL Health facilities to verify and confirm load assessments, power demands, site layouts and civil and structural works requirements for design purposes	Lot	1		
2	Design of 204kWp, 60 kWp and 15 kWp Solar PV Systems for General Hospitals, Maternity Hospitals and Health Centres respectively including sizing of main system components including PV Inverters, DC and AC switchgear and controls, cabling and production of electrical design drawings.	Lot	1		
3	Design Aluminium PV modules/Array mounting frames/structures for 204kWp, 60kWp and 15 kWp for General Hospitals, Maternity Hospitals and Health Centres respectively including civil works and production of relevant drawings	Lot	1		
4	Design of Battery Energy Storage Systems with a total minimum capacity of 1100 kWhrs, 320 kWhrs and 80kWhrs for General Hospitals, Maternity Hospitals and Health Centres respectively c/w Inverter/chargers, relevant switchgear, cabling, battery racks and production of drawings	Lot	1		
5	Design of System control and data acquisition (SCADA) systems for General Hospitals, Maternity Hospitals and Health Centres including production of drawings	Lot	1		
6	Design of main panel and distribution board complete with associated protection and	Lot	1		

	control switchgear (circuit breakers, relays, switches etc), 415V AC, 3-phase for General Hospitals and Maternity including production of drawings				
7	Design of distribution board complete with associated protection and control switchgear (circuit breakers, relays, switches etc), 240V AC, Single Phase for Health Centres including production of drawings	Lot	1		
TOTAL (SCHEDULE 3 DESIGN SERVICES, TAKEN TO 5. GRAND SUMMARY)					
NAME OF BIDDER:					
SIGNATURE OF BIDDER:					

## Schedule No. 4. Installation and Other Services

### i) Schedule No. 4 - Installation

Item No	Description	Unit	Quantity <sup>16</sup>	Unit Price (USD)	Total Price (USD)
1	Installation of Aluminium PV modules/Array mounting frames/structures for 204kWp PV system for General Hospitals including any civil/structural works	Lot			
2	Installation of Aluminium PV modules/Array mounting frames/structures for 60kWp PV system for Maternity Hospitals including any civil/structural works	Lot			
3	Installation of Aluminium PV modules/Array mounting frames/structures for 15kWp PV system for Health Centres including any civil/structural works	Lot			
4	Installation, erection, Testing and commissioning of 204 kWp modules/array including PV inverters, cabling and associated, switchgear, accessories and fittings at General Hospitals	Lot			
5	Installation, erection, Testing and commissioning of 60kWp modules/array including PV inverters, cabling and associated switchgear, accessories and fittings at Maternity Hospitals	Lot			
6	Installation, erection, testing and commissioning of 15 kWp modules/arrays including PV inverters, cabling and associated switchgear,	Lot			

<sup>16</sup> Unless stated otherwise, the quantity is the number of the type of facilities stated in the description in the lot one is bidding for

	accessories and fittings at Health Centres				
7	Installation, erection, testing, and commissioning of Battery Energy Storage Systems with a total minimum capacity of 1100 kWhrs, at General Hospitals, c/w Inverter/chargers, relevant switchgear, cabling, battery racks, etc.	Lot			
8	Installation, erection, testing, and commissioning of Battery Energy Storage Systems with a total minimum capacity of 320 kWhrs, at Maternity Hospitals, c/w Inverter/chargers, relevant switchgear, cabling, battery racks, etc.	Lot			
9	Installation, erection, testing, and commissioning of Battery Energy Storage Systems with a total minimum capacity of 80 kWhrs, at Health Centres, c/w Inverter/chargers, relevant switchgear, cabling, battery racks	Lot			
10	Installation, erection, testing and commissioning of 415V, AC 3-phase main panel/distribution board complete with associated protection and control switchgear (circuit breakers, relays, switches etc), for General Hospitals and Maternity Hospitals	Lot			
11	Installation, erection, testing and commissioning of 240V, AC single phase main panel/distribution board complete with associated protection and control switchgear (circuit breakers, relays, switches etc), for Health Centres	Lot			
12	Installation, testing and commissioning of System control and data acquisition	Lot			

	(SCADA) and peripherals equipment for General Hospitals and Maternity Hospitals				
13	Installation, testing and commissioning of System control and data acquisition (SCADA) and peripherals equipment for Health Centres	Lot			
14	Installation of All other balance of system (BOS) components, fittings and accessories to complete the construction of the plant at General Hospitals and maternity Hospitals	Lot			
15	Installation of All other balance of system (BOS) components, fittings and accessories to complete the construction of the plant at Health Centres	Lot			
16	Testing and Commissioning of the complete plant at General Hospitals and Maternity Hospitals including test runs and load trials prior to handover and commencement of maintenance contract	Lot			
17	Testing and Commissioning of the complete plant at Health Centres including test runs and load trials prior to handover and commencement of maintenance	Lot			
18	Environmental, Social, safety and Health management and mitigation plan implementation during the <i>installation/construction</i> phase of the project as per environmental and social management plan description in Section VII – Lumpsum for ALL facilities in the LOT	Lot	1		
<b>SUBTOTAL (Schedule 4 – Installation)</b>					

**Name of Bidder:**

**Signature of Bidder:**



## ii) Schedule No.4 - Other Services: Operation and Maintenance

All annual ESMP costs during the operation phase (2 years of O&M) should be included in this price schedule.

S/No	Description	Unit	Quantity	Unit Price (USD)	Total Price (USD)
1	O&M - General Hospitals Year 1	No			
2	O&M – Maternity Hospitals Year 1	No			
3	O&M – Health Centres Year 1	No			
<b>Total O&amp;M Year 1</b>					
1	O&M - General Hospitals Year 2	No			
2	O&M – Maternity Hospitals Year 2	No			
3	O&M – Health Centres Year	No			
<b>Total O&amp;M Year 2</b>					
<b>SUBTOTAL (Schedule 4 – Other Services; O&amp;M For 2 Years)</b>					
NAME OF BIDDER:					
SIGNATURE OF BIDDER:					

**SCHEDULE No.4 – INSTALLATION AND OTHER SERVICES TOTAL  
PRICE**

Description	Price (USD)
<b>SUBTOTAL (Schedule 4 – Installation)</b>	
<b>SUBTOTAL (Schedule 4 – Other Services; O&amp;M For 2 Years)</b>	
<b>TOTAL SCHEDULE No.4 (TO SCHEDULE NO.5 GRAND SUMMARY)</b>	
NAME OF BIDDER:	
SIGNATURE OF BIDDER:	

### Schedule No. 5. Grand Summary

Item	Description	Total Price <sup>1</sup>	
		Foreign	Local
	Total Schedule No. 1. Plant, and Mandatory Spare Parts Supplied from Abroad		
	Total Schedule No. 2. Plant, and Mandatory Spare Parts Supplied from Within the Employer's Country		
	Total Schedule No. 3. Design Services		
	Total Schedule No. 4. Installation and Other Services		
	<b>TOTAL (to Letter of Bid)</b>		

Name of Bidder \_\_\_\_\_

Signature of Bidder \_\_\_\_\_

<sup>1</sup>Specify currency in accordance with ITB 18. Create and use as many columns for Foreign Currency requirement as there are foreign currencies

### Schedule No. 6. Recommended Spare Parts

Item	Description	Qty.	Unit Price		Total Price
			CIF or CIP (foreign parts)	EXW (local parts)	
		<i>(1)</i>	<i>(2)</i>	<i>(3)</i>	<i>(1) x (2) or (3)</i>
-					

Name of Bidder \_\_\_\_\_

Signature of Bidder \_\_\_\_\_

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## Price Adjustment

If price adjustment applies in accordance with ITB 17.9, the Bidder shall indicate the sources of indices and source of exchange rate (if applicable) and the base date indices in its Bid.

**NOT APPLICABLE**



## Section V - Eligible Countries

### Eligibility for the Provision of Goods, Works and Non-Consulting Services in Bank-Financed Procurement

In reference to ITB 4.8 and 5.1, for the information of the Bidders, at the present time firms, goods and services from the following countries are excluded from this Bidding process:

Under ITB 4.8 (a) and 5.1:       None

Under ITB 4.8 (b) and 5.1: *None*

A list of the debarred firms and individuals is available on the Bank's external website:

<http://www.worldbank.org/debarr.>





# Section VI - Fraud and Corruption

(this Section shall not be changed)

## 1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

## 2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
  - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
  - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
  - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
  - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
  - v. "obstructive practice" is:
    - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
    - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly,

- engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
  - d. Pursuant to the Bank's Anti-Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;<sup>1</sup> (ii) to be a nominated<sup>2</sup> sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
  - e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect<sup>3</sup> all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

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<sup>1</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>2</sup> A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

<sup>3</sup> Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

## **PART II - Employer’s Requirements**

# Section VII - Employer’s Requirements

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## **Scope of Design and Supply of Plant and Installation Services**

### **1.1 Solar PV System General Scope**

The project scope in this bid includes the design, supply, installation, configuration, testing, commissioning, operating and maintenance of the various proposed Solar Proposed Generating Plants with its associated Battery Energy Storage Services. The Operation & Maintenance for the system is two (2) years starting from the date of hand over. All required accessories and materials on turnkey basis for the completeness and functionality of the system shall be provided.

The project scope includes the design, supply, installation, configuration, testing, commissioning, operation and maintenance of the various Solar Power Generating Plants (SPGP) with Battery Energy Storage Systems (BESS). The Operation & Maintenance for the systems is two (2) years starting from the date of hand over. All required accessories and materials on turnkey basis for the completeness and functionality of the system shall be provided. Table 1 describes the health facilities locations.

**Table 1: general scope of work**

<b>Project Name and Location</b>	<b>Scope of Work</b>
30 Health Facilities in South West State of Somalia.	Design, Supply, Installation, Configuration, Testing and Commissioning of Hybrid/Off-Grid Solar Generation Plants in Southwest State of Somalia with 2 years of Operations and Maintenance (O&M) Services with the possibility of contract extension subject to availability of budget. The scope comprises of 30 health facilities.

The scope incorporates all matters of bush and land clearing, design, production, pre shipment testing, supply, shipment, delivery to site, storage, setting out, installations, configuration, testing, commissioning both cold and hot, hand over, defect liability, warranty, operation, and maintenance as detailed in the specifications and/or schedules. All works not expressly called for in the Specification and/or Schedules but are necessary for the complete and proper supply, erection, operation, and maintenance of the Works shall be performed and furnished by the Contractor at no additional cost to the Employer. Their cost shall be incorporated in the relevant price schedules.

### **1.2 Solar PV Systems Scope of Supply and Installations**

The scope for supply, installation, testing, commissioning, operation and Maintenance of the Solar PV plants shall comprise of the following:

- Solar PV modules which are monocrystalline or polycrystalline, Mounting Module Structures (MMS), all necessary Aluminium support for both MMS and PV modules, reinforced concrete foundations (ground mount modules), reinforced roofs (roof mount modules), bolts, nuts and all interconnection necessary for modules.
  - Array Junction Boxes, combiner boxes, distribution boards containing all necessary protection devices including Breakers, cut outs, Fuses etc.
  - Modular Smart Inverters with the ability to be monitored remotely and data acquisition for checking solar irradiation, wind speed and ambient temperature. Inverters shall be in proper weather proofed container or cabinet.
  - DC cables including all termination accessories,
  - Modular BESS of Lithium Ion as per the specification including its inverter charging, Battery Management System capability etc. Batteries shall also be in an appropriate container with proper IP rating (IP 65 for outdoor mounted containers).
  - Control room containerized solution for all indoor equipment including BESS, Inverters, Air Conditioning, IT devices etc. The solutions shall be complete all necessary cabling works.
  - All AC cabling for the system including downstream cabling complete with proper containment such trays, ladders, trunking or conduits.
  - Provision of Earthing and Lightning system to protect the Solar PV plant.
  - Provision of Main Switchboards, Sub-boards, Distribution Boards, Consumer Units complete with all isolation devices, protection devices, controls etc.
  - All cable containment necessary to offer physical protection to the cables.
  - Fire Detection and Fire Suppression System especially in the containerized solutions and in the equipment rooms,
  - Remote Monitoring capability of the system
  - Any other item or material necessary for proper functioning of the system.
- 1.3.** The minimum capacities of PV systems meant for various types of facilities are summarized in table 2. Bidders must meet the minimum capacity requirements or propose higher capacities but not less.

**Table 2: Scope Details**

<b>Item No.</b>	<b>Location</b>	<b>Facility Name</b>	<b>Facility Type</b>	<b>Minimum Total PV Capacity (kWp)</b>	<b>Minimum Total PV Inverters Capacity (kWp)</b>	<b>Minimum Total Battery Inverter/Chargers Capacity (kW)</b>	<b>Minimum Total Battery Storage Capacity (kWh)</b>
1	South West	Bay Referral Hospital	General Hospital	204	204	100	1100
2	South West	BAIDAO DISTRICT HOSPITAL	Maternity Hospital	60	60	28	320
3	South West	SAHAL MOALIM ISSE HOSPITAL	Maternity Hospital	60	60	28	320
4	South West	BAIDAO MENTAL HEALTH	Maternity Hospital	60	60	28	320
5	South West	MARKO HOSPITAL	Maternity Hospital	60	60	28	320
6	South West	BARAWE HOSPITAL	Maternity Hospital	60	60	28	320
7	South West	BUULO-JADID HC	Health Centre	15	15	7	80
8	South West	SUUQA XOOLAHA HC	Health Centre	15	15	7	80
9	South West	BULOW HC	Health Centre	15	15	7	80
10	South West	MORAGABEY HC	Health Centre	15	15	7	80
11	South West	MARDO HC	Health Centre	15	15	7	80
12	South West	SHIIDLE HC	Health Centre	15	15	7	80
13	South West	MOH OFFICES	Health Centre	15	15	7	80

14	South West	ELBERDE HC	Health Centre	15	15	7	80
15	South West	WAJID HC	Health Centre	15	15	7	80
16	South West	WADAJIR HC	Health Centre	15	15	7	80
17	South West	WASHAGO HC	Health Centre	15	15	7	80
18	South West	BISHA CAS HC	Health Centre	15	15	7	80
19	South West	HAKABA HC	Health Centre	15	15	7	80
20	South West	WABERI HC (B/Hakaba)	Health Centre	15	15	7	80
21	South West	QANSAH DHERE HC	Health Centre	15	15	7	80
22	South West	AFGOYE HC	Health Centre	15	15	7	80
23	South West	DOLOW HC	Health Centre	15	15	7	80
24	South West	WABERI HC (Barawe)	Health Centre	15	15	7	80
25	South West	AYUB HC	Health Centre	15	15	7	80
26	South West	MALABLE HC	Health Centre	15	15	7	80
27	South West	WANLAWEYN HC	Health Centre	15	15	7	80
28	South West	QORYOLEY HC	Health Centre	15	15	7	80
29	South West	AFGOYE Hospital	Health Centre	15	15	7	80
30	South West	BERDALE HC	Health Centre	15	15	7	80



**1.4** Solar Hybrid Inverters are acceptable in place of separate PV Inverter and Battery Inverter/Chargers. They, however, **MUST SIMULTANEOUSLY** meet the specifications and performance requirements of both PV Inverters and Battery Inverter/Chargers as prescribed in the specifications.

**1.5.** The winning bidder shall undertake site survey for all project sites and collect load data and installation requirement including the appropriate and suitable array mounting structure (roof/ground) and confirm capacities indicated in tables above and undertake complete detailed design for each site.

**1.6.** The minimum nominal DC Battery Voltage for all systems is 48V DC.

**1.7.** The nominal AC output voltage shall be 240V for single phase systems (generally those of PV Capacities of below 30kWp) and 415 V for three phase systems (generally those with PV capacities of above 30kWp).

**1.8.** The PV Plants for all facilities should be AC coupled.

## **Standards and specification**

### **2.1 INTRODUCTION**

The specifications under this section are for the proposed of an Off-Grid/ Hybrid Solar PV Systems together with the Battery Energy Storage Systems. The scope is for the design, supply, installation, configuration, testing, commissioning, operating and maintenance of the various proposed Solar Proposed Generating Plants. The solar PV systems with their respective capacities are mentioned in the bid documents.

This document deals with the minimum required specifications for the various products to be installed.

### **2.2 PROJECT LOCATIONS**

The off-grid solar Photovoltaic (PV)Power Plant is to be supplied and set to work under this contract is to have an output of not less than kWp provided in tables 2 by the bidders and approved by the employer. Bids are for major hospitals, Maternity facilities, and health centers respectively.

This specification is for the Design and Build tender for designing, Procuring, Delivering, Installing, Configuring, Testing and commissioning of an Off-Grid/Hybrid Solar PV System complete with all necessary items necessary for system to operate and function such as solar modules, Hybrid inverters, battery inverters, switchgears, DC and AC cables etc. Lithium iron Phosphate batteries for back-up with the respective capacity for the respective lots, intelligent managers, mounting support structures, electrical switchgears, protection and control, cabling, earthing and bonding, installation audit and renovation for the existing electrical installations, operate and maintain the system etc. Projects are located across the country in all regional states and in the capital. Finer details about the exact locations are shown in 1.1 sections under General Scope of the employer’s requirement.

### **2.3 SYSTEM DESCRIPTION**

The Off-Grid/Hybrid Solar System is designed to generate power that will feed directly to the active Loads in real time while at the same time charging batteries continuously. During the day when insolation is adequate, the system shall be able to fully charge the batteries so that in the nights and when the sun rays are not adequate to power the active loads, batteries can kick in to support the continuous operation of the load.

The critical components of the solar plants include Solar PV Modules, mounting structures, PV/Spring inverters, Battery Inverter/Chargers, switchgears, breakers and fuses, DC and AC cables etc.

### 2.3.1 SOLAR PV MODULES.

The solar PV modules performance at Standard Test condition is based on an irradiance of 1000W/mm<sup>2</sup>, Temperature of 25°C, Air Mass of 1.5 spectra.

The proposed Solar PV modules shall comply all the necessary and relevant standards such as the following:

- a) IEC 61215 -Terrestrial photovoltaic (PV) modules -Design qualification and type approval
- b) UL 1703 (Type 2 UL Module Fire Rating), IEC61215, IEC1215, IEC61730, Class, IEC Fire Rating or Equivalent European and UK Tests.
- c) Standard Tests for VC Modules Only: UL 1991, UL1703 (Type 2 UL Module Fire Rating), IEC1741 or Equivalent European and UK Tests.
- d) IEC61701-Salt Mist Corrosion Testing of PV Modules
- e) IEC 61853-Part 1: PV Module Performance Testing and Energy Rating-Irradiance and Temperature Performance Measurement and Power Rating.
- f) IEC 62804: PV Modules, Test Methods for the Detection of Potential Induced Degradation (PID)
- g) IEC62759-1: PV Modules, Transportation Testing, Shipping of Modules Package Units
- h) IEC62716: PV Modules Ammonia Corrosion Testing
- i) Quality Tests: ISO 9001:2015, ISO 14001:2015
- j) EHS Compliance – RoHS, OHSAS 18001:2007, C2C (Cradle to Cradle), PV Cycle
- k) BS7430-1999: Code of Practice for Earthing
- l) BS7354: Code of Practice for Design of Open Terminal Station
- m) BS7671-2008 17th Edition: Requirement of Electrical Installations IEEE Wiring Regulations
- n) Operating Temperature: -40C to +85C
- o) 15-year material and workmanship warranty.
- p) Connectors: Compatible with cable lengths

The Photovoltaic PV module shall consist of Silicon mono/polycrystalline (Mono PERC) Photovoltaic modules of capacity rated at STC. The PV modules have to comply with the standard norms IEC 61215 and IEC 61730. An aluminum frame is applied around each module to protect the module from any damage during transport, installation and operation.

The junction box behind the module with their positive and negative terminals has to be equipped with bypass diodes and with at least IP 68 protection and UV resistant.

The DC cable used with the PV module must be able to withstand thermal and mechanical loads. The insulation and jacket material has to be extremely resistant to weathering, UV-radiation, and abrasion. Further, the cables must resist temperature up to 90°C. In general, the wiring on the DC side is required to be double insulated and with UV stable cables. It is recommended to use cable that is flexible suitable for fixed installation as well as for thermal movement of modules.

#### **2.3.1.1 IDENTIFICATION OF THE PV SOLAR PV**

Each PV Module shall have Radio Frequency Identification (RFID) tag. The below data shall be shown in the RFID tag of each module. The tag shall be weatherproof to withstand the harsh severe environmental conditions.

- a) Name of the Manufacturer of the Panel
- b) Country of Origin
- c) Date of Production
- d) Electrical Parameters such as Pmax, Vmp, Voc, Imp, Isc, Module efficiency, Operating Temperature, Maximum System Voltage, Temperature Coefficient etc.
- e) IEC and ISO certifications

### **2.3.1.2 WARRANTIES FOR MODULES**

#### **2.3.1.2.1 Product Warranty**

The successful EPC contractor shall warrant the PV Modules to be free from defects arising out of the manufacturing process or due to the quality of the materials or deviation from the approved specifications.

#### **2.3.1.2.2 Performance Warranty**

The successful EPC contractor shall warrant the PV modules against degradation of power generated not exceeding by 0.5% per annum or guaranteed performance of 90% after 25 years of service.

### **2.3.2 PV MODULE MOUNTING STRUCTURES**

The Mounting Structure shall be Fixed-tilt type Azimuth 0° true South/north as per the project location. Tilt angle of 5°-10 ° requires to be considered for the sites with adequate spacing to avoid an imposing shadow from the arrays.

All mounting steel structures shall be of galvanized type in compliant with IEC 61215& 61646.

The mounting structure shall be properly designed to withstand for mechanical and electrical installation. It shall support Solar PV modules at a given orientation, absorb and transfer the mechanical loads along with applicable wind loads to the base properly. On designing of mounting structures, it is needed to ensure that the material size used is capable to withstand the wind forces generated by heavy wind speed. In order to reduce the pressure on structure and foundation, clear spacing between two adjacent modules shall be sufficient to allow wind passage. The minimum clearance between the lower edge of the modules and developed ground level shall be adequately elevated above relevant flood plain. Between 800mm- 1000mm is recommended. The structure shall be designed to allow replacement of any module. Nuts & bolts, supporting structures including module mounting structures shall have to be adequately protected against all climatic conditions prevailing in the area. All fasteners shall be of stainless steel of grade SS 304 or suitable equivalent. The mounting structure shall be grounded properly using maintenance-free earthing kit.

### **2.3.3 SMART PV/STRING INVERTERS**

The smart inverter converts direct current from Solar PV into an alternating Current (AC) and supplied to the active AC loads. The units shall be designed to be of smart complete with all communication port such as RS485 and USB ports for communication and data management. It must be capable of supporting WLAN, Ethernet, and 4G communication supported for remote monitoring. The inverters shall be capable of showing I-V performance curve, maximum efficiency of > 95%, equipped with type II surge arresters for both DC and AC.

For Battery Inverters- units shall be integrated with appropriate MPPT charge controller, DC –coupling technology. The inverters need to have a high yield with relevant MPP tracker, required inputs for strings, IP65, anti PID and AFCI functions. The inverters shall comply of the relevant standards and certification such as CQC, CE, VDE 0126 11, VDE AR N4105, EN5043B, IEC61000-6-2, IEC61000-6-3, IEC61000-3-11, IEC61000-3-12, IEC/EN 62109-1, IEC/EN 62109-2, EN 50438, EN50549, CEI 0-21, VDE-AR-N-4105, AS 4777, C10/11, IEC 61727, IEC62116.

Permissible Voltage variation is +/-10%, Frequency variation +/-2%, Total Harmonic Distortion of less than 3%. The inverter shall have high overload capacity.

Inverters with String terminal provisions shall be of requisite numbers having equal to total AC capacity as per requirement of each site at a suitable DC/AC ratio as specified for the inverter. A Maximum Power Point Tracker (MPPT) shall be integrated into the inverter to maximize energy drawn from the solar PV array. MPPT shall be microprocessor/micro controller based to minimize power losses and maximize energy utilization. The MPPT unit shall be integrated in to the inverter or conform to IEC 62093 for design qualification. Inverter should comply with IEC 61683 for efficiency and measurements and should comply with IEC 60068-2 for environmental testing. The inverter shall be capable of controlling power factor dynamically and be equipped with components required to support reactive power. The Inverters shall be designed for continuous, reliable power supply as per specification and shall have internal protection arrangement against any sustained fault in the feeder line and against lightning strikes in the feeder line. The inverter shall have the required protection arrangements against earth leakage faults. The inverter shall be suitably designed for parallel inverter as well as smooth functioning of the inverter. The inverter must have the feature to work in tandem with other similar inverters and be able to be successively & automatically switched “ON” and “OFF” based on solar radiation variations during the day.

The system should be capable of providing all the data including that of SPV energy meter and inverter to the central software on IEC-104 protocol. All the equipment/hardware /software for complying with the same.

Communication interface shall be an integral part of inverter and shall be suitable to be connected to local data monitoring system and also remotely via the web. The inverter shall have the capability to store data for at least 12 months. Inverter front panel, supplemental metering, or remote monitoring interface shall be provided with display or remote monitoring of the following:

- i) DC power input
- ii) DC input voltage
- iii) DC current
- iv) AC output power
- v) AC voltage
- vi) AC current
- vii) Efficiency
- viii) Frequency
- ix) Power factor
- x) THD

Both AC & DC lines shall have suitable fuses, surge arrestors and contactors to allow safe start up and shut down of the system. Fuses used in the DC circuit should be DC rated.

Minimum Protection required in Inverter are as below:

- i) Input side disconnection switch
- ii) Ground fault monitoring
- iii) DC reverse polarity protection
- iv) DC over voltage / current limitation protection
- v) AC short circuit protection
- vi) AC over voltage / current limitation protection
  - i) DC and AC side surge protection (Metal Oxide Varistor (MOV) built-in)
- viii) Any other protection in view of battery.
- ix) Anti-islanding protection

The inverter shall include appropriate self-protective and self-diagnostic features to protect itself and the PV array from damage in the event of inverter component failure or from parameters – beyond the inverter’s safe operating range due to internal or external causes.

Inverter shall go to shutdown/standby mode, with its contacts open, under the following conditions before attempting an automatic restart after an appropriate time delay.

i) When the power available from the PV array is insufficient to supply the losses of the inverter, the inverter shall go to standby/shutdown mode.

ii) The inverter control shall prevent excessive cycling of shut down during insufficient

Solar irradiance.

Operation outside the limits of power quality as described in the technical data sheet should cause the inverter to disconnect the grid. Additional parameters requiring automatic disconnections are:

- i) Neutral voltage displacement
- ii) Over current
- iii) Earth fault
- iv) And reverse power

In each of the above cases, tripping time should be low enough to protect the inverter. Internal surge protection shall consist of three MOV type surge-arrestors connected from positive and negative terminals to earth (via Y arrangement).

#### **2.3.4 BATTERY INVERTER/ CHARGER**

Solar PV systems shall be AC-coupled hence the design should incorporate battery Inverter charger in addition to the PV Inverters.

Battery Inverter/charger to be bi-directional with power factor capability of at least 0.90 lagging to 0.90 leading. Inverters to have pure sinewave output waveform.

Permissible Voltage variation is +/-5%, Frequency variation +/-2%, Total Harmonic Distortion of less than 3%. The inverter shall have high overload capacity.

Inverter should comply with IEC 61683 for efficiency and measurements and should comply with IEC 60068-2 for environmental testing. The inverter shall be capable of controlling power factor dynamically and be equipped with components required to support reactive power. The Inverters shall be designed for continuous, reliable power supply as per specification



and shall have internal protection arrangement against any sustained fault in the feeder line and against lightning strikes in the feeder line. The inverter shall have the required protection arrangements against earth leakage faults. The inverter shall be suitably designed for parallel operation. Each solid-state electronic device shall have to be protected to ensure long life of the inverter as well as smooth functioning of the inverter.

The system should be capable of providing all the data including that of SPV energy meter and inverter to the central software on IEC-104 protocol. All the equipment/hardware /software for complying with the same.

Communication interface shall be an integral part of inverter and shall be suitable to be connected to local data monitoring system and also remotely via the web. The inverter shall have the capability to store data for at least 12 months. Inverter front panel, supplemental metering, or remote monitoring interface shall be provided with display or remote monitoring of the following:

- i) DC power input
- ii) DC input voltage
- iii) DC current
- iv) AC output power
- v) AC voltage
- vi) AC current
- vii) Efficiency
- viii) Frequency
- ix) Power factor
- x) THD

Both AC & DC lines shall have suitable fuses, surge arrestors and contactors to allow safe start up and shut down of the system. Fuses used in the DC circuit should be DC rated.

Minimum Protection required in Inverter are as below:

- i) Input side disconnection switch
- ii) Ground fault monitoring
- iii) DC reverse polarity protection
- iv) DC over voltage / current limitation protection
- v) AC short circuit protection

- vi) AC over voltage / current limitation protection
- vii) DC and AC side surge protection (Metal Oxide Varistor (MOV) built-in)
- viii) Any other protection in view of battery.
- ix) Anti-islanding protection

The inverter shall include appropriate self-protective and self-diagnostic features to protect itself and the PV array from damage in the event of inverter component failure or from parameters – beyond the inverter’s safe operating range due to internal or external causes.

Inverter shall go to shutdown/standby mode, with its contacts open, under the following conditions before attempting an automatic restart after an appropriate time delay.

i) When the power available from the PV array is insufficient to supply the losses of the inverter, the inverter shall go to standby/shutdown mode.

ii) The inverter control shall prevent excessive cycling of shut down during insufficient solar irradiance. Operation outside the limits of power quality as described in the technical data sheet should cause the inverter to disconnect the grid. Additional parameters requiring automatic disconnections are:

- i) Neutral voltage displacement
- ii) Over current
- iii) Earth fault
- iv) And reverse power
- v) In each of the above cases, tripping time should be low enough to protect the inverter. Internal surge protection shall consist of three MOV type surge-arrestors connected from positive and negative terminals to earth (via Y arrangement).

### **2.3.5 BATTERY ENERGY STORAGE SYSTEM (BESS)**

The BESS shall be of modular type, scalable, flexible compatible with the common inverters in the market. It is Cobalt Free Lithium Ion Phosphate (LFP) batteries with maximum safety and shall operate with an external inverter. BESS system shall be installed in well air-conditioned and insulated rooms or containers. Depth of Discharge (DOD) shall be more than 95%, battery life cycle > 5000 cycles @ 95% DOD. Guaranteed minimum service life of the BESS shall be 10 years with replacement warranty of 10 years.

There shall be no environmental degradation arising out of its disposal, maintenance or any other defects. Contractor is required to submit disposal plan with the bid. BESS shall have proper fire protection mechanism in line with the NFPA and country's regulations.

BESS shall be complete with all necessary controls and regulation, protection against surges, short circuit etc. All accessories for correct installation, foundation, connection, controls, and operation of BESS shall be provided.

The Inverter Battery shall be arranged in a way it can have master and slave protocol.

All BESS mounted inside racks or enclosures shall be IP65 rated and support structures shall be high enough not to allow water seepage. The system should allow for the load current to be supplied at the same time as the battery charging current, whether AC- or DC- coupled.

BESS conforming to International Safety and Electrical Standards shall be Complete in all

Respect consisting of:

- i) Battery inverter/charger for supply voltage supposed to be wave type sinusoidal.
- ii) Complete with programmable control and regulation parameters, protection system, Control system, surge protection system etc.
- iii) Site Master Controller System. Charge controller for DC coupled systems.
- vi) All accessories and connection for correct installation and operation of BESS.
- vii) All cables for inter connection with main AC distribution board.
- viii) Support structure to keep battery at a suitable clearance level from ground to take care of water flooding etc. BESS shall be compliant of VDE2510-50 /IEC62619/CE/CEC/UN38.3, IEC 62133, IEC 61959 and IEC 61960.

### **2.3.6 LOW VOLTAGE DC/AC CABLES**

The EPC Contractor shall design, supply and install new XLPE/ PVC insulated or armoured cables with stranded copper conductors for the installations. All low voltage cables shall be rated at 600/1000 Volts and shall comply with the relevant British Standard or other approved international standards. The EPC Contractor shall supply, install, test, and commission the entire sub-main cable system. All the sub-main cables to sub-boards or panels shall be drawn in cable trays, trunking, conduit/ducts etc to offer mechanical protection.

Cables of appropriate size to be used in the system shall have the following characteristics:

- i) Shall meet IEC 60227/IS 694, IEC 60502/IS1554 standards
- ii) Temp. Range:  $-10^{\circ}\text{C}$  to  $+90^{\circ}\text{C}$
- iii) Excellent resistance to heat, cold, water, oil, abrasion, UV radiation.
- iv) Flexible.
- v) Sizes of cables between array interconnections, array to junction boxes, junction boxes to inverter etc. shall be so selected to keep the voltage drop (power loss) of the entire solar system to the minimum. The cables (as per BS) should be insulated with a special grade PVC compound formulated for outdoor use.

All the cables shall conform to the requirements of the related standards and codes for:

- a) DC cable for photovoltaic system
- b) XLPE / PVC insulated (heavy duty) electric cables for working voltages up to and including 1000V.
- c) Recommended current ratings
- d) Low carbon galvanized steel wires, formed wires and tapes for armoring of cables
- e) VC insulation and sheath
- f) Cross linked polyethylene insulated PVC sheathed cables
- g) Conductors for insulated electrical cables and flexible cords.
- h) Standard test method for density of smoke from the burning or decomposition of plastics.
- i) Tests on gases evolved during combustion of electric cables.
- j) Tests on electric cables under fire conditions

### **2.3.7 SWITCHGEAR PANELS**

L.V. Switchboard panels shall be designed, supplied, installed, tested and commissioned by a specialist switchboard manufacturer complete with the automatic change over switches, ACBs, MCCB (Molded

case circuit breakers), instruments etc. and all relays, metering and items necessary for the complete installation and setting to work.

The main /sub L.V. Switchboard shall be of the industrial/enclosed cubicle type, constructed and installed as described below. The main L.V. switchboard, suitable for floor mounting, comprising of a sheet steel cubicle with front access, complete with busbars, incoming switch ACB, MCCB's etc. The switchgear shall be heavy duty, cast metal, enclosed type, dust proof, and capable of operating on load at the rated current. Contacts shall be heavy duty silver surfaced type. The cubicles shall be rigidly constructed and shall be provided with an angle iron or heavy gauge folded steel framework, panelled in zinc anneal or galvanneal of not less than 1.6 mm gauge. The doors shall be of similar rigid construction free from twists and warps. The hinges and locks or latches shall be brass, and attached by brass screws. The locks shall be spring types, provided with two keys, and unless otherwise specified, all locks on the one installation shall have identical keys.

The exposed unpainted metal shall be chrome plated, and removable panels where used, shall be attached by chrome plated captive milled headed brass screws and felt washers. The Contractor should ensure that entry of cables, ducts, and conduits shall be neatly made and head boxes provided as required. All entries and openings shall be vermin-proof. The floor mounted panel shall be erected on a 150 mm raised built-in base treated to be impervious to corrosion by rust.

All mounting brackets and additional items shall be supplied and installed to suitably support the switchboard in the position in which it is to be erected. In general mounting height to the top shall be 2.0 m. Adequate ventilation shall be provided as necessary, and bronze mesh and suitable trim fitted to prevent entry of insects. Enclosures shall comply with IEC publication 144 IP 32 for indoor equipment and IP 54 for outdoor equipment and be **FORM 3B Fully Type – Tested Assemblies (TTA)** or equal but approved by the Engineer and manufactured in accordance with standards ICE 439-1 or BS 5486. The bus-bars and connections shall be completely screened within the switchboard. Technical brochure. **All MCCB units rated 160A and above shall be adjustable types** and sample test certificates from switchboard manufacturers to be submitted the tender.

### **2.3.8 DISTRIBUTION BOARDS**

The Contractor shall design, supply, install, test and commission a new distribution boards of the surface/flush mounted metal enclosure type complete with MCBs. The Contractor shall ensure that each distribution board is complete with hinged lid and is so constructed that the circuit breaker toggles are concealed when the lid is closed. The distribution boards shall be controlled by an isolating switch integral with the board.

The Contractor shall ensure that all circuit breakers are provided with thermal overload and magnetic short-circuit tripping and a quick trip-free mechanism. The Contractor shall ensure that the necessary discrimination between each main panel and final sub-circuit is provided. The Contractor shall ensure that circuit breaker and distribution boards used throughout the installation are of the same pattern, range and manufacture and all MCBs have short circuit capacity not less than 10 kA. A spare capacity space equivalent to at least 25% of the provided MCBs must be provided in the distribution boards for future installations/ alterations.

The switchgear used in the distribution boards shall, as far as possible, be from one manufacturer. The technical details of the proposed switchgear shall be approved by the engineer prior to confirming orders. The final fixing and mounting height of each board and other associated switchgear shall be agreed on site to suit the plant, benches, trunking, services,

Cupboards etc. The rating of all distribution boards’ incomer breakers shall not be less than 125Amp. All circuit breakers on distribution boards must be numbered with suitable engraved printed black on white plastic or ivorene plates indicating the circuits controlled. All equipments to be individually labelled, indicating the function. Engraved plates shall be fixed on the panel by means of screws or channeling. Glued on plates and embossed plastic tape labels are not acceptable. Self-tapping screws are not acceptable. A legend card covered by a removable glass or 1.5mm “Perspex” sheet shall be installed on the inside of the door of the distribution boards and the circuits shall be designated in detail on this card. The inside of the door of the distribution boards and the circuits shall be designated in detail on this card. The terms “LIGHTS”, “PLUGS”, “AIR CONDITIONER”, etc are not acceptable.

The wiring within the boards shall have sufficient slack tidily laced in the board. The loading on three phase boards must be balanced between phases. The distribution boards shall have an epoxy/polyester powder coated baked enamel finish to an approved colour finish.

### **2.3.9 EARTHING SYSTEM**

The Contractor shall design, supply, install, test and commission the entire earthing installation in accordance with the IEE Wiring regulations BS 7671. The entire system of Solar PV modules, Aluminium Mounting structures, Inverters, BESS, metallic conduits and trunking, metallic sheaths of cables, cases and enclosures of switch-gear and electrical apparatus shall be connected to the earth point, according to the current rules and regulations. The Contractor is reminded that the resistance of the earth conductor from the earth-electrode to any point in the earthing system shall not exceed 0.5 ohms. The Contractor shall supply and install all additional conductors, cables, tapes, earthing rods, inspection pits and all associated items for the installation of the complete earthing system. The

Contractor shall carry out earth resistivity tests to determine the best location for the main earth electrode. The results of such tests shall be clearly indicated on a site plan and submitted to the Engineer for approval. The earth tape or cable between the main earth terminal and the earth electrodes shall be of high conductivity copper. The earthing shall be carried out to an externally positioned inspection/earthing rod pits by means of copper tape (25 x 3.5mm) or the equivalent stranded copper conductor. The pits shall be complete with an inspection lid such that access to the rods shall be readily available. The number of pits or rods will be dependent upon the values recorded during the testing and it shall be deemed that the Contractor has included in his tender for all necessary materials to meet the requirements. The earthing requirements, while being in accordance with the power supply authority regulations, shall also be fully in accordance with the requirements of the Institution of Electrical Engineers, Wiring Regulations BS 7671: 1992, requirements for electrical installations, together with the British Standard Code of Practice BS 7430: 1991.

The Contractor shall include for the bonding up complete of all sinks, taps, and pipework, metal branches and other similar items as required by the IEE Wiring Regulations, all details shall be agreed, this being particularly important in kitchen areas, pump houses and toilets.

#### **2.3.10 LIGHTING PROTECTION SYSTEM FOR THE OFF-GRID SOLAR SYSTEM**

The System shall have an air terminal system installed on its roof or in the middle of the solar farm. The building's roof shall have a hot dipped galvanized arrester connected all the way around it and supported using fasteners. The perimeter conductor shall be connected to down conductors (hot dipped galvanized steel conductors  $\Phi 10\text{mm}$ ) that shall route through the structural columns to the earthing of the building. This shall be done in accordance of BS EN 62305 – Protection against lightning.

#### **2.3 11. AUTOMATIC DATA ACQUISITION MONITORING SYSTEM**

To achieve a high performance of the solar PV hybrid/off-grid power plant, the incorporation of automatic data acquisition and monitoring technology is essential. This allows that the yield of the PV plant can be monitored easily and compared with calculations made from solar irradiation data to raise warnings on a daily basis in case of a shortfall. Important information on State of Charge of the battery storage and other relevant energy and power value from the system including time stamps of grid operation can be detected and rectified before they have an appreciable effect on system performance. A data monitoring system shall be installed to meet the requirements above and has to give the opportunity to receive the system data via GSM and to allow remote access to the solar PV hybrid

power plant. The electrical power supply of the data monitoring system shall be from DC power of the battery. Corresponding electrical adaption of the monitoring to the DC power supply level shall be installed. Remote monitoring and data acquisition through Remote Monitoring System Software at the MoEWR headquarters in the region and in the capital with latest software/hardware configuration and service connectivity for online / real time data monitoring complete to be supplied by the supplier. Reliable sensors for solar radiation, temperature & other electrical parameters are to be supplied with the data logger unit. Communication interface the entire system can be operated and monitored via various interface via RS232, RS485, MPI, Profit-bus, Telephone modem, in addition to the information indicated on the operator panel. Remote Monitoring may be achieved directly via inverter interface or via third party data acquisition system. Remote monitoring system should allow for rudimentary parameter adjustment.

### **2.3.12 SITE VISITS**

Most facilities have existing internal electrical wiring and some facilities may not have any internal electrical wiring especially the Health Centres. There might be a need for the rehabilitation or repair of internal electrical installation in some cases. There also might be a need for new internal electrical wiring for facilities that do not have any existing electricity.

Site visits are not mandatory but Bidders are strongly advised to make site visits to a sample of health facilities of each type (General Hospitals, Maternity Hospitals and Health Centres) to familiarize themselves with the facility layouts, current status and gauge the scope of work envisaged and ensure that where internal wiring needs rehabilitation or new internal wiring is needed is factored into their quotations and the associated costs included under the Balance of System (BOS) items in the Price Schedules.

The employer will enter into fixed price contracts and shall not entertain any requests for price variations arising from failure to undertake reasonable site visits surveys to establish the site requirements including internal wiring.

### **2.3.13 GUARANTEED TECHNICAL PARTICULARS**

The Bidder must submit duly filled Guaranteed Technical Particulars (GTP) for each major equipment; duly completed and signed by the Manufacturer of the equipment and submitted together with relevant copies of the Manufacturer’s Technical data sheet, catalogues, brochures, drawings, technical data & calculations, documentary evidence indicating manufacturer’s supplies record, details of manufacturing capacity, the manufacturer’s experience, copies of complete type test reports and accreditation



certificate for the testing laboratory for tender evaluation, all in English language.

The foregoing technical specifications requirements contained in this chapter shall guide the filling in of the GTPs.

Where there is more than one unique capacity/size of an equipment offered, (i.e. where a single unit may have multiple sizes), a separate GTP is to be filled for each different capacity/size e.g. a bidder offering 50kW PV inverters for General Hospitals and 10 kW inverters for Maternity Hospitals should complete two separate GTPs one for the 50kW Inverter and the other for the 10kW Inverter. This should be done for all different capacities of key equipment offered. The key equipment whose GTPs must be provided are PV Modules, PV Inverters, Inverter/Chargers; Hybrid Inverters (if offered), and Batteries. For each of these, the tables below, that contain the GTPs should be copied, completed, signed and be submitted with the bid.

**i) GTPs: SOLAR PV MODULES**

<b>ITEM NO.</b>	<b>SPECIFICATION OF THE PV MODULES</b>	<b>MINIMUM REQUIREMENT</b>	<b>BIDDER'S RESPONSE</b>
1	Product Certification as per IEC 61215	Must be compliant	To be Indicated
2	Cell Type	Mono Crystalline / Polycrystalline	To be Indicated
3	Weight	-	To be Indicated
4	Dimensions	-	To be Indicated
5	No of Cells	-	To be Indicated
6	Cable Cross Section area	6mm <sup>2</sup> (IEC)	To be Indicated
7	Junction Box	IP68 (with diodes)	To be Indicated
8	Connectors	MC4 Plug (IP65)	To be Indicated
9	Front glass coating	Minimum 3.2mm anti reflect coating	To be Indicated
10	Front glass Material	High Tempered Glass	To be Indicated

11	Frame	Anodized Alumunium	To be Indicated
12	Maximum Power Rated (Pmax) @ STC	-	To be Indicated
13	Open Circuit Voltage (Voc)V	-	To be Indicated
14	Maximum Power Voltage (Vmp) V	-	To be Indicated
15	Short Circuit Current(Isc)A	-	To be Indicated
16	Maximum Power Current (Imp)A	-	To be Indicated
17	PV Module efficiency (%)	Minimum 20%	To be Indicated
18	Power Tolerances	0-/+3%	To be Indicated
19	Temperature Coefficient of Isc	-	To be Indicated
20	Temperature Coefficient of Voc	-	To be Indicated
21	Temperature Coefficient of Pmax	-	To be Indicated
22	Operating Temperature	-15°C≤T≤45°C	To be Indicated
23	Mechanical Load as per IEC 61215	-	To be Indicated
24	Protection Class as per IEC 61730	-	To be Indicated

25	Salt Mist Corrosion Test as per IEC 61701	-	To be Indicated
26	Wind Load Withstand	-	To be Indicated
28	Load Pressure Withstand	-	To be Indicated
29	Warranty	Minimum 15 years	To be Indicated
30	Linear Performance Guarantee	90% up to 15 years and 88% up to 25 years)	To be Indicated
31	CE Conformity	Required	To be Indicated
NAME OF BIDDER:			
SIGNATURE OF BIDDER:			

**ii) GTPs: SMART PV/STRING INVERTERS**

ITEM NO.	SPECIFICATION OF THE INVERTER	MINIMUM REQUIREMENT	BIDDER’S RESPONSE
<b>INPUT (DC SIDE OF THE INVERTER)</b>			
1	Maximum Efficiency	95%	To be Indicated
2	Maximum Power Rating (kW)	-	To be Indicated
3	Maximum Input Voltage	-	To be Indicated
4	Rated Voltage	Minimum 48V DC	To be Indicated
5	Start Voltage	-	To be Indicated
6	MPPT Operating Voltage Range	-	To be Indicated
7	Maximum Short Circuit Current per MPPT	-	To be Indicated
8	No of String Inputs	-	To be Indicated
9	No. of MPP Tracker	-	To be Indicated
<b>OUT PUT (AC SIDE OF THE INVERTER)</b>			
10	Rated Power (continuous) in kW	-	To be Indicated
11	Maximum Power (Surge Power) in kW	-	To be Indicated
12	Rated Output Voltage	-	To be Indicated
13	Rated Output Current	-	To be Indicated
14	Maximum Output Current	-	To be Indicated

15	Adjustable Power Range	-	To be Indicated
16	Power Factor	0.80 lagging to 0.80 leading.	To be Indicated
17	THD	Max 3%	To be Indicated
<b>IN BUILT PROTECTION MECHANISM</b>			
19	DC Surge Arresters	Type II surge protection	To be Indicated
20	AC Surge Arresters	Type II surge protection	To be Indicated
21	PV array string Fault Detector	Included	To be Indicated
22	DC reverse Polarity detector	Included	To be Indicated
23	AC over current Protection	Included	To be Indicated
24	Input Side Disconnection Switches	Included	To be Indicated
25	Communication compatibility	RS485, Ethernet port, USB, monitoring bus, LCD graphics	To be Indicated
26	Warranty	Minimum 10 years	
NAME OF BIDDER:			
SIGNATURE OF BIDDER:			

iii) **GTPs: BATTERY INVERTER CHARGER**

ITEM NO.	SPECIFICATION OF THE INVERTER CHARGER	MINIMUM REQUIREMENT	BIDDER’S OFFER (Indicate)
	<b>INVERTER</b>		
1	Rated Continuous AC Power Output (kW)	-	
2	Maximum (Surge) Power output (% of rated power)	Minimum 200%	
3	Output Waveform	Pure Sinewave	
4	Efficiency	Minimum 90%	To be Indicated
5	Nominal Battery DC input voltage	Minimum 48V	To be Indicated
	Input DC Voltage range	-	
6	Rated Output AC Voltage (V)	240V for Single Phase and 415V for 3-phase	To be Indicated
7	Maximum Output AC Current (A)	-	To be Indicated
8	Power Factor	0.80 lagging to 0.80 leading.	To be Indicated
9	Frequency	50 Hz	
	THD	Max 3%	To be Indicated
	<b>BATTERY CHARGER</b>		

	Nominal Input AC Voltage	240V for Single Phase and 415V for 3-phase	Indicate
	Input AC Voltage Range		
	Input AC current (for maximum charging)		
	DC nominal output Voltage	Minimum 48V	
	DC Output Current (Continuous at 25 deg. C)	-	
	Charging Efficiency	Minimum 85%	
	Charging Algorithm / control	Three stage	
	Compatible Battery type	Minimum Lithium-ion phosphate	
10	<b>IN BUILT PROTECTION MECHANISM</b>		
11	DC Surge Arresters	Type II surge protection	To be Indicated
12	AC Surge Arresters	Type II surge protection	To be Indicated
13	DC reverse Polarity detector	Included	To be Indicated
14	AC overload/short circuit Protection	Included	To be Indicated
	DC Overvoltage Protection		

15	Input Side Disconnection Switches	Included	To be Indicated
16	Communication compatibility	RS485, Ethernet port, USB, monitoring bus, LCD graphics	To be Indicated
17	Warranty	Minimum 10 years	To be Indicated
NAME OF BIDDER:			
SIGNATURE OF BIDDER:			

**iv) GTP – BATTERY ENERGY STORAGE SYSTEM (BESS)**

ITEM NO.	SPECIFICATION OF THE BESS	MINIMUM REQUIREMENT	BIDDER’ S OFFER (State)
1	Battery Type	-	To be Indicated
2	Technology	Lithium-ion Phosphate	To be Indicated
3	Battery Capacity (kWhrs capacity of battery cell and voltage)	kWh capacity- Battery Voltage -	To be Indicated
4	Maximum Continuous Output Current (A)	-	To be Indicated
5	Peak Output Current	-	To be Indicated
6	Dimensions	-	To be Indicated
7	Nominal Voltage	Minimum 51.2V DC	To be Indicated
8	Operating Voltage	Minimum 48V DC	To be Indicated
9	Operating Temperature	-10°C-50°C	To be Indicated



10	Efficiency	90%	To be Indicated
11	Max DOD	80%	To be Indicated
12	Cycle Life (Cycles to 80% at 25 deg.C)	5000	To be Indicated
13	Self-Discharge per Month	Max 3% @ 20°C	To be Indicated
14	Protection	Against over charge, over discharge, over/under Temperature	To be Indicated
15	In case there is fault	Alert shall be issued	To be Indicated
16	Communication	RS485, Ethernet	To be Indicated
17	Warranty	Minimum 10 years	To be Indicated
NAME OF BIDDER:			
SIGNATURE OF BIDDER:			

**v) GTPs: SOLAR HYBRID INVERTERS**

Bidders who wish to offer solar hybrid inverters instead of separate PV Inverters and Battery Inverter chargers can do so BUT they MUST ensure that the hybrid Inverter simultaneously meets the technical specifications and functional requirements of both the PV Inverter and the Battery Inverter Charger. Consequently, bidders MUST complete both the PV Inverter and the Battery Inverter/Charger GTPS for the Hybrid Inverter.

### **3.0 OPERATIONAL AND MAINTENANCE SCOPE**

#### **3.1 INTRODUCTION**

The overall objective of this scope is coming up with the strategy and laying out an effective O&M plans that can make Solar PV system effective, durable, enhance system performance and environmental benefits. It is also developing a uniform plans that can be easily tracked, monitored and followed by all interested parties on system robustness and response level of service providers during downtimes.

It is part of the transparency and accountability measures which upon successful implementation can increase energy deliveries, extend system life time to even beyond warranted period of its productivity, reduce annual cost for both preventive and corrective maintenance, reduce risk related exposures, and by extension deliver and enhance service delivery to the communities who are seeking various services offered by Health facilities and Hospitals.

This scope shall cover Post Commissioning Hand over Documentation, Asset Management, Operationalization of the PV System, Health and Safety procedures, Qualification and requirement of the prospective Service providers, System Performance Monitoring, Maintenance Strategy both preventive, Corrective and conditioned based maintenance, Expiry of the Service providers’ proposed 5 years’ plan, take over by client, and necessary checklist and disposition plans.

#### **3.2 POST COMMISSIONING HAND OVER DOCUMENTATION REQUIREMENTS**

The project cycle covers from inception all the way to the hand over and close out. The aspect of project implementation plan and method statements shall be prepared as part of the submission of the bid by the bidders as per the requirement indicated in the BDS. However, in the hand over process, it is imperative that the Design & Build contractors keenly follow and comply with the requirement of the hand over stage documentation. This process is crucial to pave way for successful implementation of the planned O&M strategy and plans. It is mandatory that the successful contractor for the Supply and installation of the Solar PV Plant system shall be the one to handle the implementation of the 2-year O&M plans for the lots being given to them. Any rejection of undertaking the O&M scope shall disqualify them from the award of the project.

The below items shall be delivered and handed over to the client for purposes of curation and inventory. The EPC contractor who is again supposed to be undertaking the 2 Years plan of an O&M scope of the PV System shall also keep copies of these documents both in hard and soft copies.

- a) As built drawings for the system in A1 format properly stamped as received by the client. These drawings shall be in 3 hard copies properly laminated and in paginated form. The document shall be firmly bound and shall not have any loose pages. Same shall also be submitted in soft copy stored in portable flash disc properly marked and labelled.
- b) List of the Equipment and tools clearly shown their serial numbers, make, date of manufacturing, where was it bought, receipts showing clearly bought for the project, warranty document, licenses, software and all that needed to make the tools and equipment to function.
- c) All operational and manual documents for all the equipment, appliances, tools, devices and any other material that was installed as part of the PV System.
- d) Original Warranty documents, Manufacturer's Authorization letters from the respective manufacturers or their approved agents which are all supposed to be valid in the respective warranty duration shown in the BDS and in the specifications.
- e) All Test and Commissioning reports duly signed and witnessed by all interested parties including the client.
- f) Required Attic stock properly put into the inventory for use during O&M duration. This shall be 5% of all the PV Panels, Batteries DC and AC breakers, etc.as shown in the BDS.
- g) Duly executed Contract with the client. The duration of the of the contract shall cover the project duration including 2 years O&M scope.
- h) Performance bond issued for the project from Tier 1 Bank shall cover the O&M period.
- i) CV of the Technical personnel who are supposed to handle the O&M services. The required qualification and experience is clarified and detailed in the subsequent chapters.
- j) Contact person for the O&M related services. Contacts given shall be included that of the Partner or company Director. All their addresses including an alternative contacts incase primary contacts aren't working shall be provided. This shall be accompanied by Power of attorney indicating and confirming the directorship level of the company and whether the said director is duly authorized to execute decisions on behalf of the company. This Power of Attorney shall be witnessed by Commissioner of Oaths.
- k) All Check list and Log sheets needed for O&M, Job Cards and Time sheets
- l) Software Licenses and validity periods

### **3.3 HEALTH AND SAFETY REQUIREMENT FOR THE O&M STAGE**

Working, testing and commissioning of a Solar PV Plant shall be undertaken by qualified and certified electricians. The individuals shall have an adequate exposure to install, test, and commission, operate and trouble shoot the plant since the system poses a greater high risk and hazard. Working on PV systems involves exposure to energized circuits with high voltages and potentially lethal currents, presenting electrical shock hazards. Battery systems and higher voltage installations can also present electrical burn and arc flash hazards. When these electrical hazards are combined other hazards such as working at heights and in difficult locations exposed to the elements, it is imperative for those installing and servicing PV systems to follow all applicable safety standards and guidelines.

Qualified person is a person who has skills and knowledge related to the installations, operation-ability of electrical equipment and appliances and has acquired safety training that can enable him/her about recognizing and avoiding an impending risks.

The PV System installer and operators shall have an extensive experience on installations and operations in line with IEC, BS standards and the local codes and regulations.

Occupational Safety and Health Regulations dictate that the employers in this case Service Providers or EPC contractors shall provide a safe and healthy work place free of hazards and adhere to the applicable OSHA standards. Employers must provide safety training to their technical personnel addressing all potential hazards and mitigating probable risks that may arise in the course of their work. Workers are also responsible in complying employer’s safety and health rules, wearing and maintaining all necessary PPE as instructed. Training of employees shall cover all electrical safety, personal protective equipment (PPE), fall protection systems, stairways and ladders, hand and power tools, cranes and lifts, excavations, scaffolding, and others. Best practices for preventing electrical hazards and other common safety hazards associated with PV installations include the following:

- a) Carry out a risk assessment before conducting any work at the site.
- b) Working on electrical equipment and circuits in a de-energized state using documented lockout and tag-out procedures.
- c) Wearing the appropriate PPE, including protective clothing, nonconductive Class E
- d) Hard hat, electrical hazard (EH) rated foot protection, and safety glasses at all times.
- e) Using electrically insulated hand tools and properly grounded or double-insulated power tools maintained in good condition.
- f) Avoiding contact with overhead power lines and buried electrical conductors.
- g) Using ladders with wooden or fiberglass rails when working on or near energized conductors.

- h) Mitigating fall hazards and using personal fall arrest systems (PFAS) whenever working at unprotected heights of 6 feet or more.

Maintaining an orderly work site and cautious approach. In some cases, working on energized equipment is unavoidable, for example when making measurements on PV arrays that are always energized when exposed to sunlight. Certain test equipment, such as megohmmeters and insulation testers also produce high test voltages, and, appropriate safety precautions must be observed when using this equipment. Proper electrical insulating gloves and other applicable PPE should always be worn when working on or testing energized circuits. The level of PPE required depends on the voltage levels and fault currents for the circuits under test. Particular care should be exercised whenever touching a PV array or associated conductive surfaces to protect against electrical shock, especially when faults are suspected.

General safety recommendations for using electrical test equipment include:

- a) Follow manufacturer's instructions for the safe operation of any test instruments.
- b) Only use test instruments for their intended purpose, within their established limits and ratings.
- c) Carefully inspect test equipment and leads prior to each use.
- d) Properly maintain test instruments and recommended calibrations.
- e) Plan and review all testing, safety and emergency procedures in advance.
- f) Use appropriate personal protective equipment, including electrical insulating gloves.
- g) Work with a partner

**Note:**

- a) All bidders shall submit with the bid their HSE policy and plan which they will execute in case they succeed in getting the project including O&M stage.**
- b) All bidders shall submit a valid WIBA policy which shall ensure all employees working in the projects against any kind of injury or disablement or occupational related illness or death that may occur during the execution of the project.**
- c) All bidders shall submit third party liability cover which shall ensure any kind of liability that may arise during project execution.**
- d) Risk Identification Plan and its mitigation**
- e) Calibration Certificates from a reliable and credible third party calibrator for the major test instruments, lifting equipment etc. These items shall be renewed their calibration in every year.**

- f) The work place shall be of duly OSHA certified/registered or by the relevant authority in the country as a work place.**
- g) The bidder shall submit a comprehensive detailed O&M strategy complete with required checklist that shall be executed upon the completion of the project implementation stage.**

### **3.4 OVERVIEW OF OPERATIONAL AND MAINTENANCE STRATEGY**

Since the strategy is to have a workable, effective, durable, performance oriented O&M model which involves proper resource management, timely data acquisition and analysis, real time response to the down times to avoid longer down times which may compromise the overall utilization of the Solar PV plants and by extension on the service delivery to the communities which can endanger the lives of the end users of health facilities’ service.

The strategy involves in coming up with a dependable model, how the model shall be implemented, reporting structure, documentations, response level and performance monitoring etc.

The below proposed points constitute the foundation of the O&M Strategy which shall be monitored its execution by the parties involved.

- a) The model shall be that the design and build contractor who emerges successful in the bid to undertake the Design, Supply, Installation, Configuration, Test and Commission shall be the one to undertake the Operation and Maintenance of the Plant. ***The Contractor shall enter a contract with the employer which becomes effective upon successful commissioning of the system. The project contract covers the entire scope including the O&M scope.*** Design and Build contractor shall keenly read and understand the content of the contract and O&M scope before submitting its bid.
- b) The contractor shall commit by providing ***dedicated resources including technical personnel*** for managing and overseeing the O&M activities round the clock 24/7 during 2 years’ O&M period.
- c) Contract to be signed is for two (2) years.
- d) The Contractor shall raise a performance bond of 10% of the total amount of the awarded figure. The bond shall be an irrevocable unconditional from reputable Tier 1 Bank in the country approved by the employer.

- e) Payment shall be done in *quarterly basis* as shown in the SLA contract draft upon raising certificate showing all deliverables plus the invoice.
- f) The EPC shall come an effective plan of managing the system during the O&M period. However, for any downtime of the system reported shall be attended to within 24 hours. Failures to which can lead by client imposing a liquidated damage of \$ 500 dollars for

every day the system remains un-attended to. For non-attendance of more than a week shall lead to automatic commencement of the SLA contract termination and the forfeiture of the bond by the contractor.

- g) Weekly reports on the Solar PV system performance shall be shared with employer on every Thursday before Close of Business 17:00PM. Report shall be well detailed and cover all the system components including the PV arrays, Combiner boxes, cables, Batteries, inverters, switchgears, system faults, rectifications, available attic stock and spare parts, validity of system licenses etc. The report shall be shared with the Health Facility Manager, Regional representative of the MoEWR, PIU and the DG of the MoEWR at Federal Level. Reports shall contain reports extracted from the system software including curves and graphics.
- h) Monthly reports on the Solar PV system performance shall be shared with employer on 1<sup>st</sup> of every Month before Close of Business 17:00PM. Report shall be well detailed and cover all the system components including the PV arrays, Combiner boxes, cables, Batteries, inverters, switchgears, system faults, rectifications, available attic stock and spare parts, validity of system licenses etc. The report shall be shared with the Health Facility Manager, Regional representative of the MoEWR, PIU and the DG of the MoEWR at Federal Level. Reports shall contain reports extracted from the system software including curves and graphics.
- i) Quarterly reports on the Solar PV system performance shall be shared with employer on the end of the every 4<sup>th</sup> months on 30<sup>th</sup> or 31<sup>st</sup> depending on the end date of the month before Close of Business 17:00PM. Report shall well detailed and cover all the system components including the PV arrays, Combiner boxes, cables, Batteries, inverters, switchgears, system faults, rectifications, available attic stock and spare parts, validity of system licenses etc. The report shall be shared with the Health Facility Manager, Regional representative of the MoEWR, PIU and the DG of the MoEWR at Federal Level. Reports shall contain reports extracted from the system software including curves and graphics. Annual report filing shall not be later than 15<sup>th</sup> of January of the new year.

- j) Access rights without editing permission shall be given to the regional representative of the MoEWR, PIU and the DG at Federal level to just monitor the system performance. These rights shall be Web based which can be accessed from anywhere in this globe.
- k) All Operational activities and Maintenance shall have Job cards which shall be recorded and signed off by the Health facility manager, regional representative of the MoEWR. All approval shall be given by the employer representative from MoEWR. Draft job card shall be annexed with this document as a guide.
- l) Contractor shall follow and comply all the operational and maintenance requirement as indicated by manufacturers in the manuals, catalogues, data sheets of the equipment, appliances, devices etc. Any deviations shall be to the liability of the contractor.
- m) Contractor shall comply and follow all warranty terms and conditions as shown in the warranty documentations. Any deviations shall be liable by the contractor.
- n) All required tools, equipment, attic stock, spare parts, consumables shall be provided by the contractor.
- o) Any additional load connection to the system, or modification, changes, upgrade shall be undertaken by the contractor under strict approval of the employer in writing. The contractor shall submit well detailed report and analysis showing the impact of the additional load or changes may have on the system.

### **3.5 RESOURCES REQUIRED FOR O&M IMPLEMENTATION**

#### **3.5.1 TECHNICAL PERSONNEL REQUIRED AND THEIR QUALIFICATIONS**

The contractor shall avail One Senior Person as Project Manager and three Solar PV Technicians. The below qualifications require to be satisfied by the respective candidates who are proposed for the said positions.

#### **Qualification of the Project Manager**

- PM shall be holder of a minimum B.SC in Electrical, Mechanical Engineering, Renewable Energy or energy engineering with proven relevant experience in the field of Solar PV Design, Installations, Configuration, Testing & Commissioning, Operation and Maintenance.
- PM shall be in charge of day to day routine management of the project, preparing reports, issuing Request for Approvals (RFA), Request for Clarifications, System Performance Monitoring, Asset Register management, enforcement of warranties etc.
- Management of O&M expenses and associated costs.



- In charge of all preventive, conditioned and corrective maintenance
- Quality assurance and quality control of the Solar PV systems
- Optimizations and monitoring of the System responses and Performances
- Tracking Key Performance Indicators such as that of the system uptime, both scheduled and scheduled downtime, system losses, total energy generated, power consumption, losses, load behaviors etc.
- Managing system resources in prudent manner, logs of operational issues, maintenance issues etc.
- Any other issues arising out of the system which require an attendance from the contractor side.

#### **Qualification of the Solar PV Technicians**

- Capable of assembling, installing, testing, configuring, testing and commissioning of Solar PV systems of different magnitude and size including inverters and BESS
- Interpretation of Technical drawings, Single Line Diagrams, Block Diagrams, knowledgeable on standards, codes and regulations.
- Fault finding and troubleshooting of all the SPV and BESS related faults.
- Good understanding on the health and safety requirement for such projects
- Required proven relevant experience of minimum three years.
- Certificate or Diploma with proof on Solar PV training is a must

In addition to the above technical personnel, contractor shall also hire security personnel to guard and protect the facility round the clock. Minimum 2 people is recommended for the day and night shifts.

#### **3.5.2 TOOLS, EQUIPMENT AND INSTRUMENTS REQUIRED FOR THE O&M SCOPE**

For Design and Build contractor to qualify to undertake the O&M scope shall be able to show the ownership or the ability to lease the below listed equipment, tools and instruments which are crucial for the execution of the job. Proof of ownership is required for the tools, instruments and equipment and materials in the form of receipts clearly showing the owner ship details by the company including date of purchases, supplier details etc. or an existing contract with a supplier who can lease them on need basis.

The below are minimum requirement for the O&M Scope to be satisfied by the contractor and shall form part of the list of the tools, instruments and equipment to be submitted as part of the bid documentation. Failure to comply which can disqualify the bidder from the bidding process.

ITEM NO.	ITEM DESCRIPTIONS	UNIT	QUANTITY

1.	First Aid Kit	Set	2
2.	System Service Log Book	No	5
3	Drilling Machines both for Steel and Masonry bits	No	2
4	Megger Insulation Tester	No	1
5	Energy Logger	No	1
6	Earth Loop Impedance Meter	No	1
7	Digital Multifunction Meter	No	1
8	Earth Electrode Tester	No	1
9	Clamp meter	No	1
10	Hydraulic Crimping tools of up to 630mm <sup>2</sup>	Set	1
11	Manually Crimping tools of all sizes	Set	1
12	Hydrometer	No	1
13	Battery Terminal Cleaners	Set	1
14	Battery Terminal Pullers	Set	1
15	Cleaning Brushes	Set	1
16	Battery Maintenance Kits	Set	1
17	Battery Safety Accessories	Set	1
18	Toolbox containing all necessary tools including spanners of all sizes, types, different types of screw drivers etc.	Set	1

19	Sun Path Finder	No	1
20	Bolt type Hole Cutter	No	1
21	Frequency Tester	No	1
22	8ft Alumunium Ladders	No	1
23	20ft Alumunium Ladders	No	1

### 3.5.3 TRANSPORT FACILITATIONS AND OFFICE SET UP

As part of smooth running of the O&M scope, the contractor shall provide a project vehicle of Probox family for projects located in cities and towns with passable and paved roads. However, a 4-wheel drive double cabin shall be availed for areas where the terrain is rough and roads aren't paved. The vehicle shall be dedicated for the project and to be availed during the 2-year period. The vehicle shall be maintained, insured and fueled by the contractor. Contractor can show ownership or proof of leasing for the project.

In addition to the above, the contractor shall avail a full furnished office with clear physical addresses for the project. The office shall be located not far from the project locations. If the awarded lot is in certain region, then the recommended location of the office shall be the headquarter of the hosting regional state. The office shall have a computer, printer and all necessary furniture.

### SUMMARY OF THE RESOURCE REQUIREMENT

ITEM NO.	ITEM DESCRIPTIONS	UNITS	QUANTITY
1	Project Manager	No	1
2	Solar PV Technicians	No	3
3	Security Guard	No	2
4	Fully furnished Office	No	1
5	Probox or 4 wheel drive double cabin car (depending on site location)	No	1

6	Computer of high specification	No	1
7	Printer	No	1

### 3.6 MONITORING OF SOLAR PV SYSTEM PERFORMANCE DURING O&M SCOPE

#### 3.6.1 INTRODUCTION

Operations and Maintenance (O&M) is an integral part of any Solar PV Generation Plants. Solar PV plant requires little day-to-day maintenance; it is important to ensure that the system is well maintained and is performing at an optimal level. The objective of an effective O&M plan is to increase plant’s lifetime, maintain efficiency, elimination of downtimes, increase energy generation and improve system safety.

The duration of the O&M contract is 2 years including the defect liability period.

The scope of the O&M activities comprises of the following:

- The scope covers managing and monitoring of daily activities of the Solar PV system.
- Daily, weekly, monthly, quarterly and annual maintenance (both schedules and unscheduled) activity of the plant.
- Proper caring and up keeping of the plant.
- Attending to daily and periodical system malfunctioning and breakdowns.
- Timely replacement and repair of the equipment, appliances, devices, materials and availing necessary spares and attic stock in timely manner.
- Periodic maintenance of the plant buildings, structures, jumpers, connections, consumables, attic stocks, tools, instruments etc.
- Provision of 24/7 security to the facility
- Provision of system software and its annual license renewals
- Requisite insurance for both the system and employees.
- Availing of qualified technical staff for the facility, security personnel and cleaners for the system. The contractor shall ensure that the staff specified in chapter 3 are available 24/7 in order to operate and maintain each lot.
- Availing all operational and maintenance manuals for all the equipment, materials, devices in the plant which are necessary to operate the plant.

- Maintain at the Plant correct and up-to-date operating logs, records and weekly, monthly, quarterly and annual reports regarding the operation and maintenance of the Plant which shall include the detail of power output, other operating data, repairs performed and status of equipment, all such records to be maintained for the complete O&M period. Upon expiry of term, the Contractor shall hand over such records to the employer. However, employer shall have access to all such records at any time during O&M period.
- Implement, update & handle equipment repair or replacement plan that comply with the requirement, specifications of the equipment manufacturers and the recommendations of the manufacturers.
- Perform periodic scheduled/ preventive maintenance and overhauls required for the plant in accordance with the recommendations of equipment manufacturers.
- Attend any break down in the Solar PV Plant promptly within 24 hours.
- Updates of all these activities (maintenance & attending to breakdowns) shall be shared/submitted to Employer, under periodic reports as indicated in this scope.
- Ensure to operate and maintain the plant's fire protection, fire suppression and safety of the equipment at all time.
- Any other additional activities or provision of additional item and materials that can make the Solar PV system to function as intended including that of checking and troubleshooting of all electrical connections, upkeep of the lighting systems, distribution lines etc. wherever required or any other activity that may be required for proper functioning of the SPV plant and Associated Electrical and Mechanical services.
- The Contractor is fully responsible for defects and equipment failure during the 2 years O&M period.
- If any jobs covered in O&M Scope are not carried out by the contractor during the O&M period, pro-rata deduction will be made based on the quantum of work from the O&M contract bills.

### **3.6.2 PLANNING AND MONITORING SYSTEM PERFORMANCES**

A number of key performance indicators (KPI) have been proposed. These are set of key performance indicator (KPI) that minimizes cost but ensures optimal system performance under varying conditions. Solar PV system performance is based on how much time is lost when a system is not available and on the performance of the system when it is available. A system may have an availability of 95%, but a performance ratio (weather adjusted) of 100%.

The contractor will have a much better assessment of the system if they measure performance based on both metrics—downtime and energy delivery.

- Solar PV systems are designed to produce a specified electrical output under certain operating conditions. Performance testing and monitoring verifies the system power output and energy production as expected, based on component and system ratings and the given operating conditions. Performance data can also help identify problems that require service or maintenance, and may also be used for determining system ROI and warranty provisions.

### **3.6.3 VERIFYING POWER AND ENERGY PRODUCTION PERFORMANCE**

Power and energy production are the fundamental metrics for PV system performance. The methods and procedures to verify PV system performance are based on the principles of PV device operation, and accounting for the effects of temperature and solar radiation. Many parameters may be monitored automatically and displayed by meters, inverters, controllers or other power processing equipment in the system. For interactive PV systems, the key indicators for system performance are ac power output (kW) and energy production (kWh).

Measurement and verification of ac power output can be done at any time when the system is operating under steady sunlight conditions near peak output levels. Energy production is measured over longer periods of weeks, months and years to compare with expectations and the available solar resource. Performance verification for off-grid stand-alone PV systems is inherently more complex, involving more measurements and multiple interactions between energy storage, charge control and system loads that affect PV system production. Battery health is the key to off-grid stand-alone PV system performance, and battery failure is often the indicator of many other system problems. Usually solar off-grid stand-alone systems are designed to produce a specified amount of energy on an average daily basis to meet system loads. Measurements of daily energy consumption can be used by the system owner/operators to control their loads and manage the available energy. Measurements of daily minimum daily battery voltage and the net amp-hours delivered to and withdrawn from a battery can also be used to assess whether the battery is receiving enough charge. Deficit charging will usually be indicated by declining minimum battery voltages. The performance of electrical loads can be verified by measuring their current or power consumption, and if they function as intended.

Key Performance Indicators which are a must for monitoring include the following:

- a) Solar PV system Uptime (measured in %) which is the percentage of time that the system is operating by producing the expected energy without any downtime at all.

- b) Energy Performance Index which is a comparison on the energy produced by the plant with the energy that was expected to be produced based on measured weather and irradiance parameters. This comparison can be in the form of hourly, daily, weekly, monthly or annually.
- c) Energy Delivery which is the measured MWh/weekly, month/annum.
- d) Plant Specific Performances which the energy delivered by the plant compared with the plant rated capacity in kWh/kW/month/yearly. This is also referred to Array Output Energy.
- e) Capacity Test of the Plant which is the delivered by the inverter (kW) compared with that of the power of the PV System calculated as a function of the prevailing environmental conditions.
- f) The EPC Contractor ensures the Performance of the installed equipment in the system shall meet the ratings and performance requirements stipulated for various equipment covered in the specifications and in the O&M manuals.
- g) The contractor shall demonstrate and achieve guaranteed values during the performance guarantee test period which is the first 6 months at site in the presence of the Employer for the complete system.
- h) The Contractor shall supervise and direct the operation during performance guarantee test and shall take complete responsibility in this regard. During performance guarantee test, the Contractor shall make available necessary experienced operating & maintenance personnel.
- i) The Contractor shall provide and install all measuring instruments with required calibration for checking the guaranteed generation during performance guarantee test.
- j) The below instruments shall be provided by the contractor for Solar PV performance measurements.

<b>ITEM NO.</b>	<b>DESCRIPTION OF THE INSTRUMENTS</b>	<b>UNITS</b>	<b>QUANTITIES</b>
1	Solar Meter at delivery Point	No	1
2	Power Meter for each inverter for reference purposes	Each	1
3	Calibrated Pyrometer for irradiance	No.	1
4	Thermocouple for measure module temperature	No	3

5	Anemometer mounted on 12 meter post for measuring wind speed	No	1
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Applicable penalties for failure to meet the above KPIs shall be as per O&M contract and as indicated in the above section.

### 3.6.5 PERFORMANCE MEASUREMENT PROCEDURE FOR THE KPI OF SOLAR PV SYSTEM

There is a required performance guarantee test which is aimed at evaluating and comparing the actual plant energy production with the guaranteed value for a limited operation time of the plant for 60 days.

Upon expiry of the first year of correct operation of the plant and after submitting all required operational performance test by the contractor, there shall be continuous monitoring of the performance of the plant for an additional 30 days. This shall be undertaken under the supervision of the employer’s representatives.

The final performance guaranteed test shall be conducted at site by the contractor under the supervision of the employer’s representatives. The process shall commence immediately after the date of system commissioning and making system live. The process is binding for both parties for determining the system compliance as envisaged in the contract.

The test will consist of guaranteeing the correct operation of each plant individually over 30 days, by the way of the efficiency rate (performance ratio) based on the reading of the energy produced and measured at Solar Meter and the average incident solar radiation.

Performance ratio is calculated as

$$PR = \frac{\text{Actual reading of the plant in kwh per anum}}{\text{Calculated nominal plant in kWh per annum}}$$

The closer the PR value determined for a PV plant approaches 100 %, the more efficiently the respective PV plant is operating. In real life, a value of 100 % cannot be achieved, as unavoidable losses always arise with the operation of the PV plant (e.g. thermal loss due to heating of the PV modules). High-performance PV plants can however reach a performance ratio of up to 80 %.

The plant performance will be evaluated through Performance ratio test as per IEC 61724 and Capacity Utilization Factor calculation. Therefore, take note that:

- a) The minimum acceptable PR of the plant is 0.80.



- b) As the PR of the Plant is dependent on the quality of plant equipment and optimum design of the plant, the contractor shall ensure that the PR of 0.80 as per the procedure mentioned above to be met.
- c) The acceptance of the plant will be evaluated during commissioning by measuring PR for continuous 7 days. However, contractor must demonstrate the PR for a period of 30 days as per the PR test procedure specified above.

### **3.6.6 SOLAR PV SYSTEM MAINTENANCE**

Generally, System maintenance is categorized into two namely scheduled maintenance and non-scheduled maintenance. Scheduled maintenance is further split into which are Preventive maintenance and condition based maintenance. While is non-scheduled maintenance is the commonly known corrective maintenance.

Scheduled Maintenance as the name suggests is planned in advance and based on routine maintenance, repair and prevents faults from occurring. SM is done on a periodic basis. While performing maintenance, it is essential to refer to the component datasheet provided by the supplier so that one is properly familiar with the component and safety measures, which must be followed while maintaining the component. Under SM there are two general approaches to maintenance management:

- a) Preventive Maintenance
- b) Condition based Maintenance

#### **a) Preventive Maintenance**

Preventive Maintenance (PM) involves routine inspection, servicing and cleaning of solar PV system at a scheduled interval of time. It is done in order to minimize downtime and unnecessary production losses. It improves performance, increases the availability and reduces the probability of the equipment failures. In preventive maintenance, a routine maintenance strategy is followed for plant inspection and is done during non-peak hours so that the generation doesn't get affected.

The scheduling and frequency of PM are dictated by a number of factors such as environmental conditions, technology selected and warranty terms. Optimal equilibrium must be desired between the cost of scheduled maintenance and increased yield through the life of the system.

The main activities under PM include:

- a) Mounting structure integrity

- b) Module cleaning
- c) Hotspots detection
- d) Junction box servicing
- e) Inverter servicing
- f) Cabling connections
- g) Balance of plant
- h) Inverter Servicing
- i) Earthing protection
- j) Vegetation control

All cleaning related services is recommended to be undertaken early morning hours or in the evening hours. For major maintenance works mainly in the late hours of the night especially nonpeak hours.

a) **Condition Based Maintenance**

Condition-based maintenance involves monitoring of equipment condition and plant operations on a real-time basis and addresses a potential problem at an early stage to prevent downtime. This approach uses periodic measurements to detect evidence that equipment is deteriorating, with the aim of extending service life by avoiding impending problems. It improves system performance and efficiency by anticipating failures and catching them early. This kind of maintenance requires a special diagnostic equipment and a robust plant performance monitoring system which can extend and improve system life

**Non-Scheduled Maintenance**

Unscheduled maintenance addresses system and component failures after they have occurred. The key parameters are diagnosis, repair time and speed of response. Depending on the nature of fault an indicative response time may be within 24 hours. Under unscheduled maintenance there is one general approach to maintenance management. Corrective Maintenance is generally non-scheduled maintenance.

a) **Corrective Maintenance**

Corrective maintenance includes repair of broken down equipment and is usually reactive. In short run, this saves staff time and expenses but over the long run, it can turn out to be costly in terms of unplanned equipment downtime, repairs, and shorter equipment life. It includes:

- Tightening loose connections

- Replacing damaged modules
- Replacing blown fuses
- Repairing blown fuses
- Rectifying inverter faults
- Repairing equipment damaged by intruders.
- Replacing blown connectors
- Rectifying SCADA faults
- Rectifying mounting structure faults

### **3.6.7 REQUIRED DOCUMENTATION IN THE O&M PERIOD**

It is significant to have up to-date and effective documentation control mechanism in place in order to keep tabs on all the project documentation and communication. Various System, product and maintenance documentation shall be kept in safe custody by the contractor over the O&M period.

**Copies shall be availed in the MoEWR headquarter, regional offices and on project site office. Documents are supposed to be both in hard and in soft. The below are samples of the document to be kept at all time on site and in the employer's office**

<b>ITEM NO.</b>	<b>DESCRIPTION OF THE ITEM</b>	<b>MoEWR Headquarter</b>	<b>MoEWR regional Office</b>	<b>Project Site Office</b>
1	Catalogues/Data sheets of all products	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
2	Copies of all Manufacturers' Test certificates including Routine, TT and partial type test	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
3	Factory Acceptance Test for all products by Employer	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)

4	Copy of signed and agreed SLA contract	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
5	Copy of approved set of shop drawings by employer	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
6	Approved set of as built drawings Including all SLAs, Schematics and layouts	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
7	Approved Warranties and guarantees of all products	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
8	Approved annual/quarterly/monthly/weekly O&M plans and scheduled by employer	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
9	Copies of valid insurance policies including CAR, WIBA, 3 <sup>rd</sup> party liability, material insurance	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
10	All statutory documentations including that of Business Permits, registration with the relevant authority as a contractor, Tax Compliance certificate etc.	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)
11	Weekly/monthly/quarterly and annual log sheets and records for system performances, operational and maintenance activities	1 Copy (both hard and soft)	1 Copy (both hard and soft)	1 Copy (both hard and soft)

**Note: Weekly/monthly/quarterly and annual filing shall be as indicated under 3.4.**

### **3.6.8 PAYMENT TERMS DURING O&M PERIOD**

The contractual fee payable for Operation and Maintenance and all Associated Services during 2 years of O&M period shall be per respective Schedule of Prices in the main bid. This includes supply and installation of all necessary replacement equipment and materials, spares & consumable spares and all services detailed above and in the specifications section which is enclosed in the main bid document. All preventive/routine maintenance and breakdown/corrective maintenance required for ensuring maximum uptime of the SPV system and all associated services shall have to be performed by the Contractor without any cost liability to be incurred by the Employer except that of contractual fee.

Contractor is to note that the Employer will pay Annual fee only quarterly as per the respective price schedule.

### **3.6.9 LIQUIDATED DAMAGES FOR NON-COMPLIANCE OF THE REQUIRED PERFORMANCE LEVEL**

During the O&M period of 2 years after the commissioning of the plant, the contractor need to maintain a minimum of 95% uptime of the plant to achieve the proposed CUF at the end of each year. Any repair, replacement, overhauling of DC area of SPV system etc., are to be performed during night times or off-peak hours as far as possible so that no generation loss will be there in daytime.

Contractors are expected to make their own study of solar profile and other related parameters of the area & make sound commercial judgment about the Performance ratio. It shall be the responsibility of the contractor to access the corresponding solar insolation values and related factors of solar plant.

### **3.6.10 REMEDIAL MEASURES TO DEMONSTRATE PERFORMANCE GUARANTEE OF THE SOLAR PV**

In case of contractor could not be able to demonstrate the Performance Acceptance Test, the bidder will be allowed to relocate the solar modules and install at different places in the same land at their own cost ensuring guaranteed PR of plant. The entire cost dismantling and erection etc, will be borne by the contractor. To ensure PG of plant after first year and every year thereafter, the contractor will be allowed to erect additional number of solar modules without extra cost to Employer.

### **3.6.11 LIQUIDATED DAMAGES FOR SHORTFALL IN PERFORMANCE DURING THE PERFORMANCE ACCEPTANCE OF PLANT**

During the Performance Acceptance of plant, any shortfall in the Performance Ratio (PR) as determined through the PR Test Procedure specified above will attract imposition of liquidated damages. For every

shortfall in PR below 0.80 by the Contractor, a penalty of 1% of the contract amount for every week the poor performance persists. The total damages shall not exceed 10% of the contract amount. Failure to rectify within a month, then employer will send an intent notice of terminating the contract in which contractor has 28 working days to respond and show remedial measures to be executed to rectify the situation. If contractor fails to respond satisfactorily, then the employer has all rights to fully initiate the termination which shall be an official through contractual mechanism provided in the main contract.

### **3.6.12 PERFORMANCE MONITORING REPORTING AND O&M CHECKLISTS**

Performance Work based Job cards and statements covers all labor, supplies and materials, replacement parts, equipment used to provide the services, transportation to the site, and any other goods and services required to provide preventive and corrective maintenance on this PV system.

Performance is defined as maintaining the ability of the solar systems to provide power according to specifications and considering solar and temperature conditions as well as de-rated for expected inefficiencies such as dirt on the collector. The intent is to perform preventive maintenance and to replace failed components, and some small degradation of performance is expected over time. Solar system output shall be no less than 80% of the rated output of the PV system, corrected for solar and temperature conditions at the time of the test. System shall be tested annually. Key to the performance definition is that all components be capable of accomplishing their intended purpose within specifications. The definition of the PV system to be maintained shall include PV modules, the support structure, disconnects, inverter(s), monitoring equipment, and all other appurtenances to make the PV system complete, grid-connected, and operational.

Contractor shall provide is provided sample for checklists for preventive maintenance, performance monitoring reporting checklists, field service management checklist, daily plant operational checklists.

## **Environmental and Social (ES) requirements**

*(The ES requirements should be prepared in a manner that does not conflict with the relevant General Conditions of Contract (and the corresponding Particular Conditions of Contract if any) and other parts of the specifications).*

Bidders must familiarize themselves with the project Environmental and Social Management Plan (ESMP) requirements and fully incorporate them in their proposal. The general ESMP is available through the following link: <http://sesrp.moewr.gov.so>





## Bill of Quantities (BOQ)

### (i) **BILLS OF QUANTITIES – GENERAL HOSPITAL**

A standard system package for all general hospital has been developed and its bill of quantities for the is provided in the table below. The remarks column are highlights only. Details are provided in the specifications.

Item No	Description	Unit	Quantity	Remarks
1	Solar PV array with a total installed capacity of 204 kWp	Lot	1	Bidders to determine the number of PV modules. Individual module rating should be above 400Wp
2	Anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories and stainless steels fittings (bolts, nuts etc) for ground or on-roof mounting	Lot	1	
3	Smart PV Inverters with a minimum total installed capacity of 204kW and output at 415V AC 3-phase	Lot	1	Both String and Hybrid Inverters acceptable. Bidders to determine the number of Inverters. Individual Inverter PV input power rating should be above 30 kW
4	Battery Inverter/Chargers with Minimum Power output delivery of 100kW at 415 V AC 3-phase	Lot	1	Bidders to determine the number of individual Inverters. Individual Inverter output power should be 30 kW and above.  Hybrid Inverters acceptable. But must simultaneously meet the functional requirements of the PV Inverter and the Inverter Charger. In this Case PV DC Input Power Capacity 250kW and minimum AC Output Power to the Load 170 kW in additional to the various Inverter/Charger monitoring and control functions.
5	Lithium- Ion Battery Energy Storage System with a total minimum installed capacity of 1100 kWhrs c/w battery racks	Lot	1	Bidders to determine the number of individual batteries to provide depending on capacity and voltage. High-capacity batteries preferred.
6	System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.	Lot	1	
7	Mandatory Spare Parts PER LOT:  PV Modules	%	5%	

	PV Inverters Batteries Inverter Chargers Fuses Circuit Breakers	% % % % %	5% 5% 5% 10% 10%	Percentage of the total number units supplied under 1,3,4,5.  Percentage of each type and rating of fuses and breakers installed in the plant.
<b>8</b>	Main panel and Distribution board complete with associated incomer and output protection and control switchgear (circuit breakers, relays, switches etc), 415V AC, 3Ph+N+E.			
<b>10</b>	All balance of system components for installing and interconnecting the battery and solar array with their inverters and the 415V three-phase 50 Hz AC main panel and distribution board, including but not limited to: AC & DC wiring, breakers, fuses, combiner boxes, recombiner boxes, panel boards, conduits, cables and cable trays, relays, enclosures, foundations, earthing and grounding electrodes, grounding conductors, lighting arrestors, lightning rods, insulators, surge protection, sensors, and any other fixtures required for a safety, reliability, and compliance with relevant codes and standards at the time of installation.	Lot	1	
11	Field surveys, systems and equipment design, installation, configuration, testing and Commissioning of all the equipment and the completed plant to acceptance.	Lot	1	
12	Operation and maintenance of the plant for 2 years including any items listed under O&M in the specifications (labour, transport and any other consumables and tools other than the mandatory spare parts)	Lot	1	

**ii) BILLS OF QUANTITIES – MATERNITY HOSPITAL**

A standard system package has been designed for all MATERNITY hospitals and its bill of quantities is provided in the table below. The remarks column are highlights only. Details are provided in the specifications.

Item No	Description	Unit	Quantity	Remarks
1	Solar PV array with a total installed capacity of 60 kWp	Lot	1	Bidders to determine the number of PV modules. Individual module rating should be above 200Wp
2	Anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories and stainless steels fittings (bolts, nuts etc) for ground or on-roof mounting	Lot	1	
3	Smart PV Inverters with a minimum total installed capacity of 60kW and output at 415V AC 3-phase	Lot	1	Both String and Hybrid Inverters acceptable. Bidders to determine the number of Inverters. Individual Inverter PV input power rating should be 5 kW and above
4	Battery Inverter/Chargers with Minimum Power output delivery of 28kW at 415V AC 3-phase	Lot	1	Bidders to determine the number of individual Inverters. Individual Inverter output power should be 5 kW and above.  Hybrid Inverters acceptable. But must simultaneously meet the functional requirements of the PV Inverter and the Inverter Charger. In this Case PV DC Input Power Capacity 60kW and minimum AC Output Power to the Load 28 kW in additional to the various Inverter/Charger monitoring and control functions.
5	Lithium- Ion Battery Energy Storage System with a total minimum installed capacity of 320 kWhrs c/w battery racks	Lot	1	Bidders to determine the number of individual batteries to provide depending on capacity and voltage. High-capacity batteries preferred.
6	System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.	Lot	1	
7	Mandatory Spare Parts PER LOT:  PV Modules	%	5%	

	PV Inverters Batteries Inverter Chargers Fuses Circuit Breakers	% % % % %	5% 5% 5% 10% 10%	Percentage of the total number units supplied under 1,3,4,5.  Percentage of each type and rating of fuses and breakers installed in the plant
<b>8</b>	Main panel and Distribution board complete with associated incomer and output protection and control switchgear (circuit breakers, relays, switches etc), 415V V AC, 3-phase.			
<b>10</b>	All balance of system components for installing and interconnecting the battery and solar array with their inverters and the 415V AC 3-phase distribution panel, including but not limited to: AC & DC wiring, breakers, fuses, combiner boxes, recombiner boxes, panel boards, conduits, cables and cable trays, relays, enclosures, foundations, earthing and grounding electrodes, grounding conductors, lighting arrestors, lightning rods, insulators, surge protection, sensors, and any other fixtures required for a safety, reliability, and compliance with relevant codes and standards at the time of installation.	Lot	1	
11	Field surveys, systems and equipment design, installation, configuration, testing and Commissioning of all the equipment and the completed plant to acceptance.	Lot	1	
12	Operation and maintenance of the plant for 2 years including any items listed under O&M in the specifications (labour, transport and any other consumables and tools other than the mandatory spare parts)	Lot	1	

### iii) BILLS OF QUANTITIES – HEALTH CENTRE

A standard system package has been designed for all Health Centers and its bill of quantities is provided in the table below. The remarks column are highlights only. Details are provided in the specifications.

Item No	Description	Unit	Quantity	Remarks
1	Solar PV array with a total installed capacity of 15 kWp	Lot	1	Bidders to determine the number of PV modules. Individual module rating should be above 200Wp
2	Anodised Aluminium PV modules/Array mounting frames/structures for 1 above c/w accessories and stainless steels fittings (bolts, nuts etc) for ground or on-roof mounting	Lot	1	
3	Smart PV Inverters with a minimum total installed capacity of 15kW and 240V AC single phase output	Lot	1	Both String and Hybrid Inverters acceptable. Bidders to determine the number of Inverters. Individual Inverter PV input power rating should be 3 kW and above
4	Battery Inverter/Chargers with Minimum Power output delivery of 7kW at 240 V AC single phase	Lot	1	Bidders to determine the number of individual Inverters. Individual Inverter output power should be 3 kW and above.  Hybrid Inverters acceptable. But must simultaneously meet the functional requirements of the PV Inverter and the Inverter Charger. In this Case PV DC Input Power Capacity 15kW and minimum AC Output Power to the Load 7 kW in additional to the various Inverter/Charger monitoring and control functions.
5	Lithium- Ion Battery Energy Storage System with a total minimum installed capacity of 80 kWhrs c/w battery racks	Lot	1	Bidders to determine the number of individual batteries to provide depending on capacity and voltage. High-capacity batteries preferred.
6	System control and data acquisition (SCADA) and peripherals equipment with remote monitoring and control capability.	Lot	1	

7	<p>Mandatory Spare Parts PER LOT:</p> <p>PV Modules</p> <p>PV Inverters</p> <p>Batteries</p> <p>Inverter Chargers</p> <p>Fuses</p> <p>Circuit Breakers</p>	<p>%</p> <p>%. </p> <p>%</p> <p>%</p> <p>%</p> <p>%</p>	<p>5%</p> <p>5%</p> <p>5%</p> <p>5%</p> <p>10%</p> <p>10%</p>	<p>Percentage of the total number units supplied under 1,3,4,5.</p> <p>Percentage of each type and rating of fuses and breakers installed in the plant</p>
8	<p>Main panel and Distribution board complete with associated incomer and output protection and control switchgear (circuit breakers, relays, switches etc), 240 AC, single phase</p>			
10	<p>All balance of system components for installing and interconnecting the battery and solar array with their inverters and the 240V single 50 Hz AC distribution panel, including but not limited to: AC &amp; DC wiring, breakers, fuses, combiner boxes, recombiner boxes, panelboards, conduits, cables and cable trays, relays, enclosures, foundations, earthing and grounding electrodes, grounding conductors, lighting arrestors, lightning rods, insulators, surge protection, sensors, and any other fixtures required for a safety, reliability, and compliance with relevant codes and standards at the time of installation.</p>	Lot	1	
11	<p>Field surveys, systems and equipment design, installation, configuration, testing and Commissioning of all the equipment and the completed plant to acceptance.</p>	Lot	1	
12	<p>Operation and maintenance of the plant for 2 years including any items listed under O&amp;M in the specifications (labour, transport and any other consumables and tools other than the mandatory spare parts)</p>	Lot	1	



## Contractor’s Representative and Key Personnel

Complete form PER1 and provide Resume in Form PER 2 in Section IV – Bidding Forms. Attach Copies of main Qualification certificates of each person.

### Contractor’s Representative and Key Personnel.

Item No.	Position /specialization	Relevant academic qualifications	Number of Personnel	Minimum General Work Experience	Minimum years of relevant work experience
1	Project Manager	Degree in either Electrical or Mechanical Engineering or Renewable Energy proof of project management specializations	1	10	5 years in energy projects
2	Design and Quality Control Engineer	Degree in either Electrical or Mechanical Engineering with Solar PV specializations/certification	1	6	4 years in solar projects
3	Construction Engineer - Electrical	Degree in Electrical Engineering	1	6	4 years in Electrical/PV Installtion
4	Civil & Structural Engineer	Degree or Diploma in Civil and Structural Engineering	1	6	4 years
5	Environmental Social, Health, and Safety (ESHS) officer	Degree in environmental/safety/Health /Social sciences or related courses.	1	6	4 years
6	Senior Site Foreman	Diploma in Electrical Engineering	2	6	4 years in Electrical and PV Installtion

*Note: The Ministry of Energy and Water Resources (MoEWR) strongly advocates the promotion of a workforce composition of 10-15% women, especially in STEM and administrative roles, as part of the Ministry’s commitment to gender inclusivity. In advancing this agenda, the MoEWR/SES RP project highly encourages the composition of female personell in the contractors workforce. .*



## Contractor's Key Construction Equipment

The Bidder must demonstrate that it will have access to the key Contractor's equipment list as listed below:

No.	Equipment Type and Characteristics	Minimum Number require
1	One 5 Ton Truck/Mobile Crane	per installation Team
2	One Forklift	per installation Team
3	Toolbox - Solar PV technician toolbox with standard tools	per installation Team
4	IR thermometer	per installation Team
5	IV curve tracer /analyser kit	Solar tools per installation Team
6	Battery capacity tester	per installation Team
7	Loop impedance tester	per installation Team
8	Insulation resistance tester	per installation Team
9	Cordless drills	per installation Team
10	Electric hand air blower	per installation Team
11	PPE and safety gear for technicians	per installation Team
12	Pyranometer	per installation Team
13	PV testing kit	per installation Team

The Bidder shall provide further details of proposed items of equipment using the relevant Form in Section IV in Section IV – Bidding Forms.

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# Forms and Procedures

## Form of Completion Certificate

Date: \_\_\_\_\_

Loan/Credit N°: \_\_\_\_\_

RFB N°: \_\_\_\_\_

\_\_\_\_\_

To: \_\_\_\_\_

Dear Ladies and/or Gentlemen,

Pursuant to GCC Clause 24 (Completion of the Facilities) of the General Conditions of the Contract entered into between yourselves and the Employer dated \_\_\_\_\_, relating to the \_\_\_\_\_, we hereby notify you that the following part(s) of the Facilities was (were) complete on the date specified below, and that, in accordance with the terms of the Contract, the Employer hereby takes over the said part(s) of the Facilities, together with the responsibility for care and custody and the risk of loss thereof on the date mentioned below.

1. Description of the Facilities or part thereof: \_\_\_\_\_
2. Date of Completion: \_\_\_\_\_

However, you are required to complete the outstanding items listed in the attachment hereto as soon as practicable.

This letter does not relieve you of your obligation to complete the execution of the Facilities in accordance with the Contract nor of your obligations during the Defect Liability Period.

Very truly yours,

\_\_\_\_\_

Title

(Project Manager)

## Form of Operational Acceptance Certificate

Date: \_\_\_\_\_  
Loan/Credit N°: \_\_\_\_\_  
RFB N°: \_\_\_\_\_

\_\_\_\_\_  
To: \_\_\_\_\_

Dear Ladies and/or Gentlemen,

Pursuant to GCC Sub-Clause 25.3 (Operational Acceptance) of the General Conditions of the Contract entered into between yourselves and the Employer dated \_\_\_\_\_, relating to the \_\_\_\_\_, we hereby notify you that the Functional Guarantees of the following part(s) of the Facilities were satisfactorily attained on the date specified below.

1. Description of the Facilities or part thereof: \_\_\_\_\_
2. Date of Operational Acceptance: \_\_\_\_\_

This letter does not relieve you of your obligation to complete the execution of the Facilities in accordance with the Contract nor of your obligations during the Defect Liability Period.

Very truly yours,

\_\_\_\_\_  
Title

(Project Manager)

## Change Order Procedure and Forms

Date: \_\_\_\_\_

Loan/Credit N°: \_\_\_\_\_

RFB N°: \_\_\_\_\_

### CONTENTS

1. General
2. Change Order Log
3. References for Changes

### ANNEXES

- Annex 1 Request for Change Proposal
- Annex 2 Estimate for Change Proposal
- Annex 3 Acceptance of Estimate
- Annex 4 Change Proposal
- Annex 5 Change Order
- Annex 6 Pending Agreement Change Order
- Annex 7 Application for Change Proposal

## Change Order Procedure

### 1. General

This section provides samples of procedures and forms for implementing changes in the Facilities during the performance of the Contract in accordance with GCC Clause 39 (Change in the Facilities) of the General Conditions.

### 2. Change Order Log

The Contractor shall keep an up-to-date Change Order Log to show the current status of Requests for Change and Changes authorized or pending, as Annex 8. Entries of the Changes in the Change Order Log shall be made to ensure that the log is up-to-date. The Contractor shall attach a copy of the current Change Order Log in the monthly progress report to be submitted to the Employer.

### 3. References for Changes

- (1) Request for Change as referred to in GCC Clause 39 shall be serially numbered CR-X-nnn.
- (2) Estimate for Change Proposal as referred to in GCC Clause 39 shall be serially numbered CN-X-nnn.
- (3) Acceptance of Estimate as referred to in GCC Clause 39 shall be serially numbered CA-X-nnn.
- (4) Change Proposal as referred to in GCC Clause 39 shall be serially numbered CP-X-nnn.
- (5) Change Order as referred to in GCC Clause 39 shall be serially numbered CO-X-nnn.

Note: (a) Requests for Change issued from the Employer’s Home Office and the Site representatives of the Employer shall have the following respective references:

Home Office          CR-H-nnn

Site                      CR-S-nnn

- (b) The above number “nnn” is the same for Request for Change, Estimate for Change Proposal, Acceptance of Estimate, Change Proposal and Change Order.

## Annex 1. Request for Change Proposal

(Employer's Letterhead)

To: \_\_\_\_\_

Date: \_\_\_\_\_

Attention: \_\_\_\_\_

Contract Name: \_\_\_\_\_

Contract Number: \_\_\_\_\_

Dear Ladies and/or Gentlemen:

With reference to the captioned Contract, you are requested to prepare and submit a Change Proposal for the Change noted below in accordance with the following instructions within \_\_\_\_\_ days of the date of this letter \_\_\_\_\_.

1. Title of Change: \_\_\_\_\_

2. Change Request No. \_\_\_\_\_

3. Originator of Change: Employer: \_\_\_\_\_

Contractor (by Application for Change Proposal No. \_\_\_\_\_<sup>20</sup>:

4. Brief Description of Change: \_\_\_\_\_

5. Facilities and/or Item No. of equipment related to the requested Change: \_\_\_\_\_

6. Reference drawings and/or technical documents for the request of Change:

Drawing No./Document No.

Description

\_\_\_\_\_

7. Detailed conditions or special requirements on the requested Change: \_\_\_\_\_

8. General Terms and Conditions:

- (a) Please submit your estimate to us showing what effect the requested Change will have on the Contract Price.
- (b) Your estimate shall include your claim for the additional time, if any, for completion of the requested Change.
- (c) If you have any opinion negative to the adoption of the requested Change in connection with the conformability to the other provisions of the Contract or the safety of the Plant or Facilities, please inform us of your opinion in your proposal of revised provisions.
- (d) Any increase or decrease in the work of the Contractor relating to the services of its personnel shall be calculated.
- (e) You shall not proceed with the execution of the work for the requested Change until we have accepted and confirmed the amount and nature in writing.

\_\_\_\_\_  
(Employer’s Name)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)



## Annex 2. Estimate for Change Proposal

(Contractor's Letterhead)

To: \_\_\_\_\_

Date: \_\_\_\_\_

Attention: \_\_\_\_\_

Contract Name: \_\_\_\_\_

Contract Number: \_\_\_\_\_

Dear Ladies and/or Gentlemen:

With reference to your Request for Change Proposal, we are pleased to notify you of the approximate cost of preparing the below-referenced Change Proposal in accordance with GCC Sub-Clause 39.2.1 of the General Conditions. We acknowledge that your agreement to the cost of preparing the Change Proposal, in accordance with GCC Sub-Clause 39.2.2, is required before estimating the cost for change work.

1. Title of Change: \_\_\_\_\_
2. Change Request No./Rev.: \_\_\_\_\_
3. Brief Description of Change: \_\_\_\_\_
4. Scheduled Impact of Change: \_\_\_\_\_
5. Cost for Preparation of Change Proposal: \_\_\_\_\_<sup>21</sup>

(a) Engineering	(Amount)
(i) Engineer	_____ hrs x _____ rate/hr = _____
(ii) Draftsperson	_____ hrs x _____ rate/hr = _____
Sub-total	_____ hrs _____
Total Engineering Cost	_____

---

<sup>21</sup> Costs shall be in the currencies of the Contract.

(b) Other Cost	_____
Total Cost (a) + (b)	_____

\_\_\_\_\_  
(Contractor’s Name)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)

### Annex 3. Acceptance of Estimate

(Employer's Letterhead)

To: \_\_\_\_\_

Date: \_\_\_\_\_

Attention: \_\_\_\_\_

Contract Name: \_\_\_\_\_

Contract Number: \_\_\_\_\_

Dear Ladies and/or Gentlemen:

We hereby accept your Estimate for Change Proposal and agree that you should proceed with the preparation of the Change Proposal.

1. Title of Change: \_\_\_\_\_
2. Change Request No./Rev.: \_\_\_\_\_
3. Estimate for Change Proposal No./Rev.: \_\_\_\_\_
4. Acceptance of Estimate No./Rev.: \_\_\_\_\_
5. Brief Description of Change: \_\_\_\_\_
6. Other Terms and Conditions: In the event that we decide not to order the Change accepted, you shall be entitled to compensation for the cost of preparation of Change Proposal described in your Estimate for Change Proposal mentioned in para. 3 above in accordance with GCC Clause 39 of the General Conditions.

\_\_\_\_\_  
(Employer's Name)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Name and Title of signatory)

### Annex 4. Change Proposal

(Contractor’s Letterhead)

To: \_\_\_\_\_

Date: \_\_\_\_\_

Attention: \_\_\_\_\_

Contract Name: \_\_\_\_\_

Contract Number: \_\_\_\_\_

Dear Ladies and/or Gentlemen:

In response to your Request for Change Proposal No. \_\_\_\_\_, we hereby submit our proposal as follows:

1. Title of Change: \_\_\_\_\_
2. Change Proposal No./Rev.: \_\_\_\_\_
3. Originator of Change: Employer: [ \_\_\_\_\_  
Contractor: \_\_\_\_\_
4. Brief Description of Change: \_\_\_\_\_
5. Reasons for Change: \_\_\_\_\_
6. Facilities and/or Item No. of Equipment related to the requested Change:  
\_\_\_\_\_
7. Reference drawings and/or technical documents for the requested Change:

<u>Drawing/Document No.</u>	<u>Description</u>
-----------------------------	--------------------

8. Estimate of increase/decrease to the Contract Price resulting from Change Proposal:<sup>22</sup>

(Amount)

(a) Direct material	_____
(b) Major construction equipment	_____
(c) Direct field labor (Total _____ hrs)	_____
(d) Subcontracts	_____
(e) Indirect material and labor	_____
(f) Site supervision	_____
(g) Head office technical staff salaries	
Process engineer	_____ hrs @ _____ rate/hr _____
Project engineer	_____ hrs @ _____ rate/hr _____
Equipment engineer	_____ hrs @ _____ rate/hr _____
Procurement	_____ hrs @ _____ rate/hr _____
Draftsperson	_____ hrs @ _____ rate/hr _____
Total	_____ hrs _____
(h) Extraordinary costs (computer, travel, etc.)	_____
(i) Fee for general administration, _____ % of Items	_____
(j) Taxes and customs duties	_____
Total lump sum cost of Change Proposal	_____
<i>(Sum of items (a) to (j))</i>	
Cost to prepare Estimate for Change Proposal	_____
<i>(Amount payable if Change is not accepted)</i>	

---

<sup>22</sup> Costs shall be in the currencies of the Contract.

- 9. Additional time for Completion required due to Change Proposal
- 10. Effect on the Functional Guarantees
- 11. Effect on the other terms and conditions of the Contract
- 12. Validity of this Proposal: within [Number] days after receipt of this Proposal by the Employer
- 13. Other terms and conditions of this Change Proposal:
  - (a) You are requested to notify us of your acceptance, comments or rejection of this detailed Change Proposal within \_\_\_\_\_ days from your receipt of this Proposal.
  - (b) The amount of any increase and/or decrease shall be taken into account in the adjustment of the Contract Price.
  - (c) Contractor’s cost for preparation of this Change Proposal:<sup>2</sup>

---

(Contractor’s Name)

---

(Signature)

---

(Name of signatory)

---

(Title of signatory)

---

<sup>2</sup> Specify where necessary.

## Annex 5. Change Order

(Employer's Letterhead)

To: \_\_\_\_\_

Date: \_\_\_\_\_

Attention: \_\_\_\_\_

Contract Name: \_\_\_\_\_

Contract Number: \_\_\_\_\_

Dear Ladies and/or Gentlemen:

We approve the Change Order for the work specified in the Change Proposal (No. \_\_\_\_\_), and agree to adjust the Contract Price, Time for Completion and/or other conditions of the Contract in accordance with GCC Clause 39 of the General Conditions.

1. Title of Change: \_\_\_\_\_

2. Change Request No./Rev.: \_\_\_\_\_

3. Change Order No./Rev.: \_\_\_\_\_

4. Originator of Change: Employer: \_\_\_\_\_

Contractor: \_\_\_\_\_

5. Authorized Price:

Ref. No.: \_\_\_\_\_ Date: \_\_\_\_\_

Foreign currency portion \_\_\_\_\_ plus Local currency portion \_\_\_\_\_

6. Adjustment of Time for Completion

None                      Increase \_\_\_\_\_ days                      Decrease \_\_\_\_\_ days

7. Other effects, if any

Authorized by: \_\_\_\_\_

Date: \_\_\_\_\_

(Employer)

Accepted by: \_\_\_\_\_

Date: \_\_\_\_\_

(Contractor)

## Annex 6. Pending Agreement Change Order

(Employer’s Letterhead)

To: \_\_\_\_\_

Date: \_\_\_\_\_

Attention: \_\_\_\_\_

Contract Name: \_\_\_\_\_

Contract Number: [ \_\_\_\_\_

Dear Ladies and/or Gentlemen:

We instruct you to carry out the work in the Change Order detailed below in accordance with GCC Clause 39 of the General Conditions.

1. Title of Change: \_\_\_\_\_
2. Employer’s Request for Change Proposal No./Rev.: \_\_\_\_\_ dated: \_\_\_\_\_
3. Contractor’s Change Proposal No./Rev.: \_\_\_\_\_ dated: \_\_\_\_\_
4. Brief Description of Change: \_\_\_\_\_
5. Facilities and/or Item No. of equipment related to the requested Change:  
\_\_\_\_\_
6. Reference Drawings and/or technical documents for the requested Change:

Drawing/Document No.

Description

7. Adjustment of Time for Completion:
8. Other change in the Contract terms:
9. Other terms and conditions:

---



(Employer's Name)

---

(Signature)

---

(Name of signatory)

---

(Title of signatory)

## Annex 7. Application for Change Proposal

(Contractor’s Letterhead)

To: \_\_\_\_\_

Date: \_\_\_\_\_

Attention: \_\_\_\_\_

Contract Name: \_\_\_\_\_

Contract Number: \_\_\_\_\_

Dear Ladies and/or Gentlemen:

We hereby propose that the below-mentioned work be treated as a Change in the Facilities.

1. Title of Change: \_\_\_\_\_
2. Application for Change Proposal No./Rev.: \_\_\_\_\_ dated:  
\_\_\_\_\_
3. Brief Description of Change: \_\_\_\_\_
4. Reasons for Change:
5. Order of Magnitude Estimation (in the currencies of the Contract):
6. Scheduled Impact of Change:
7. Effect on Functional Guarantees, if any:
8. Appendix:

---

(Contractor’s Name)

---

(Signature)

---

(Name of signatory)

---

(Title of signatory)

## **Design and Drawings**

The bidder’s description of the PV plant/plants being offered in the bid demonstrating how the plant/plants meets the technical and performance requirements described in Section VII: Employers Requirements; for EACH type of health facility, namely:

- i) General Hospital
- ii) Maternity Hospital
- iii) Health Centre

To illustrate the suitability and adequacy of the proposed system design, accompanying the description and for each facility type, the bidder should provide the Plant electrical schematic diagram of the system configuration showing the main equipment/components and control equipment/switchgear, their interconnection, and the power flows.

## **Supplementary Information**



## **PART 3 – Conditions of Contract and Contract Forms**





## Section VIII - General Conditions of Contract

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# General Conditions of Contract

## A. Contract and Interpretation

### 1. Definitions

1.1 The following words and expressions shall have the meanings hereby assigned to them:

**“Contract”** means the Contract Agreement entered into between the Employer and the Contractor, together with the Contract Documents referred to therein; they shall constitute the Contract, and the term “the Contract” shall in all such documents be construed accordingly.

**“Contract Documents”** means the documents listed in Article 1.1 (Contract Documents) of the Contract Agreement (including any amendments thereto).

**“GCC”** means the General Conditions of Contract hereof.

**“PCC”** means the Particular Conditions of Contract.

**“day”** means calendar day.

**“year”** means 365 days.

**“month”** means calendar month.

**“Party”** means the Employer or the Contractor, as the context requires, and “Parties” means both of them.

**“Employer”** means the person **named as such in the PCC** and includes the legal successors or permitted assigns of the Employer.

**“Project Manager”** means the person appointed by the Employer in the manner provided in GCC Sub-Clause 17.1 (Project Manager) hereof and **named as such in the PCC** to perform the duties delegated by the Employer.

**“Contractor”** means the person(s) whose Bid to perform the Contract has been accepted by the Employer and is named as Contractor in the Contract Agreement, and includes the legal successors or permitted assigns of the Contractor.

**“Contractor’s Representative”** means any person nominated by the Contractor and approved by the Employer in the manner provided in GCC Sub-Clause 17.2 (Contractor’s Representative and Construction Manager) hereof to perform the duties delegated by the Contractor.

**“Construction Manager”** means the person appointed by the Contractor’s Representative in the manner provided in GCC Sub-Clause 17.2.4.

**“Subcontractor,”** including manufacturers, means any person to whom execution of any part of the Facilities, including preparation of any design or supply of any Plant, is sub-contracted directly or indirectly by the Contractor, and includes its legal successors or permitted assigns.

**“Dispute Board” (DB)** means the person or persons named as such in the PCC appointed by agreement between the Employer and the Contractor to make a decision with respect to any dispute or difference between the Employer and the Contractor referred to him or her by the Parties pursuant to GCC Sub-Clause 46.1 (Dispute Board) hereof.

**“The Bank”** means the financing institution **named in the PCC.**

**“Contract Price”** means the sum specified in Article 2.1 (Contract Price) of the Contract Agreement, subject to such additions and adjustments thereto or deductions therefrom, as may be made pursuant to the Contract.

**“Facilities”** means the Plant to be supplied and installed, as well as all the Installation Services to be carried out by the Contractor under the Contract.

**“Plant”** means permanent plant, equipment, machinery, apparatus, materials, articles and things of all kinds to be provided and incorporated in the Facilities by the Contractor under the Contract (including the spare parts to be supplied by the Contractor under GCC Sub-Clause 7.3 hereof), but does not include Contractor’s Equipment.

**“Installation Services”** means all those services ancillary to the supply of the Plant for the Facilities, to be provided by the Contractor under the Contract, such as transportation and provision of marine or other similar insurance, inspection, expediting, site preparation works (including the provision and use of Contractor’s Equipment and the supply of all construction materials required), installation, testing, precommissioning, commissioning, operations, maintenance, the provision of operations and maintenance manuals, training, etc... as the case may require.

**“Contractor’s Equipment”** means all facilities, equipment, machinery, tools, apparatus, appliances or things of every kind required in or for installation, completion and maintenance of Facilities that are to be provided by the Contractor, but does not include Plant, or other things intended to form or forming part of the Facilities.

**“Country of Origin”** means the countries and territories eligible under the rules of the Bank as further **elaborated in the PCC.**

**“Site”** means the land and other places upon which the Facilities are to be installed, and such other land or places as may be specified in the Contract as forming part of the Site.

**“Effective Date”** means the date of fulfillment of all conditions stated in Article 3 (Effective Date) of the Contract Agreement, from which the Time for Completion shall be counted.

**“Time for Completion”** means the time within which Completion of the Facilities as a whole (or of a part of the Facilities where a separate Time for Completion of such part has been prescribed) is to be attained, as referred to in GCC Clause 8 and in accordance with the relevant provisions of the Contract.

**“Completion”** means that the Facilities (or a specific part thereof where specific parts are specified in the Contract) have been completed operationally and structurally and put in a tight and clean condition, that all work in respect of Precommissioning of the Facilities or such specific part thereof has been completed, and that the Facilities or specific part thereof are ready for Commissioning as provided in GCC Clause 24 (Completion) hereof.

**“Precommissioning”** means the testing, checking and other requirements specified in the Employer’s Requirements that are to be carried out by the Contractor in preparation for Commissioning as provided in GCC Clause 24 (Completion) hereof.

**“Commissioning”** means operation of the Facilities or any part thereof by the Contractor following Completion, which operation is to be carried out by the Contractor as provided in GCC Sub-Clause 25.1 (Commissioning) hereof, for the purpose of carrying out Guarantee Test(s).

**“Guarantee Test(s)”** means the test(s) specified in the Employer’s Requirements to be carried out to ascertain whether the Facilities or a specified part thereof is able to attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, in accordance with the provisions of GCC Sub-Clause 25.2 (Guarantee Test) hereof.

**“Operational Acceptance”** means the acceptance by the Employer of the Facilities (or any part of the Facilities where the Contract provides for acceptance of the Facilities in parts), which certifies the Contractor’s fulfillment of the Contract in respect of Functional Guarantees of the Facilities (or the relevant part thereof) in accordance with the provisions of GCC Clause 28 (Functional Guarantees) hereof and shall include deemed acceptance in accordance with GCC Clause 25 (Commissioning and Operational Acceptance) hereof.

**“Defect Liability Period”** means the period of validity of the warranties given by the Contractor commencing at Completion of the Facilities or a part thereof, during which the Contractor is responsible for defects with

respect to the Facilities (or the relevant part thereof) as provided in GCC Clause 27 (Defect Liability) hereof.

**“ES”** means Environmental and Social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH)).

**“Sexual Exploitation and Abuse” “(SEA)”** means the following:

**Sexual Exploitation** is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another.

**Sexual Abuse** is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;

**“Sexual Harassment” “(SH)”** is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Contractor’s Personnel with other Contractor’s Personnel or Employer’s Personnel.

**“Contractor’s Personnel”** means all personnel whom the Contractor utilizes in the execution of the Contract, including the staff, labor and other employees of the Contractor and each Subcontractor; and any other personnel assisting the Contractor in the execution of the Contract; and

**“Employer’s Personnel”** means all staff, labor and other employees of the Project Manager and of the Employer engaged in fulfilling the Employer’s obligations under the Contract; and any other personnel identified as Employer’s Personnel, by a notice from the Employer to the Contractor.

- |                              |     |  |
|------------------------------|-----|--|
| <b>2. Contract Documents</b> | 2.1 | Subject to Article 1.2 (Order of Precedence) of the Contract Agreement, all documents forming part of the Contract (and all parts thereof) are intended to be correlative, complementary and mutually explanatory. The Contract shall be read as a whole.  |
| <b>3. Interpretation</b>     | 3.1 | In the Contract, except where the context requires otherwise: <ul style="list-style-type: none"> <li>(a) words indicating one gender include all genders;</li> <li>(b) words indicating the singular also include the plural and words indicating the plural also include the singular;</li> <li>(c) provisions including the word “agree,” “agreed,” or “agreement” require the agreement to be recorded in writing;</li> <li>(d) the word “tender” is synonymous with “Bid,” “tenderer,” with “Bidder,” and “tender documents” with “Bidding Document,” and</li> </ul> |

- (e) “written” or “in writing” means hand-written, type-written, printed or electronically made, and resulting in a permanent record.

The marginal words and other headings shall not be taken into consideration in the interpretation of these Conditions.

### 3.2 Incoterms

Unless inconsistent with any provision of the Contract, the meaning of any trade term and the rights and obligations of Parties thereunder shall be as prescribed by *Incoterms*.

Incoterms means international rules for interpreting trade terms published by the International Chamber of Commerce (latest edition), 38 Cours Albert 1<sup>er</sup>, 75008 Paris, France.

### 3.3 Entire Agreement

Subject to GCC Sub-Clause 16.4 hereof, the Contract constitutes the entire agreement between the Employer and Contractor with respect to the subject matter of Contract and supersedes all communications, negotiations and agreements (whether written or oral) of Parties with respect thereto made prior to the date of Contract.

### 3.4 Amendment

No amendment or other variation of the Contract shall be effective unless it is in writing, is dated, expressly refers to the Contract, and is signed by a duly authorized representative of each Party hereto.

### 3.5 Independent Contractor

The Contractor shall be an independent contractor performing the Contract. The Contract does not create any agency, partnership, joint venture or other joint relationship between the Parties hereto. Subject to the provisions of the Contract, the Contractor shall be solely responsible for the manner in which the Contract is performed. All employees, representatives or Subcontractors engaged by the Contractor in connection with the performance of the Contract shall be under the complete control of the Contractor and shall not be deemed to be employees of the Employer, and nothing contained in the Contract or in any subcontract awarded by the Contractor shall be construed to create any contractual relationship between any such employees, representatives or Subcontractors and the Employer.

### 3.6 Non-Waiver

3.6.1 Subject to GCC Sub-Clause 3.6.2 below, no relaxation, forbearance, delay or indulgence by either Party in enforcing any of the terms and conditions of the Contract or the granting of time by either Party to



the other shall prejudice, affect or restrict the rights of that Party under the Contract, nor shall any waiver by either Party of any breach of Contract operate as waiver of any subsequent or continuing breach of Contract.

3.6.2 Any waiver of a Party's rights, powers or remedies under the Contract must be in writing, must be dated and signed by an authorized representative of the Party granting such waiver, and must specify the right and the extent to which it is being waived.

### 3.7 Severability

If any provision or condition of the Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of the Contract.

### 3.8 Country of Origin

"Origin" means the place where the plant and component parts thereof are mined, grown, produced or manufactured, and from which the services are provided. Plant components are produced when, through manufacturing, processing, or substantial or major assembling of components, a commercially recognized product results that is substantially different in its basic characteristics or in purpose or utility from its components.

## 4. **Communica- tions**

4.1 Wherever these Conditions provide for the giving or issuing of approvals, certificates, consents, determinations, notices, requests and discharges, these communications shall be:

- (a) in writing and delivered against receipt; and
- (b) delivered, sent or transmitted to the address for the recipient's communications as stated in the Contract Agreement.

When a certificate is issued to a Party, the certifier shall send a copy to the other Party. When a notice is issued to a Party, by the other Party or the Project Manager, a copy shall be sent to the Project Manager or the other Party, as the case may be.

## 5. **Law and Language**

5.1 The Contract shall be governed by and interpreted in accordance with laws of the country **specified in the PCC.**

5.2 The ruling language of the Contract shall be that **stated in the PCC.**

5.3 The language for communications shall be the ruling language unless otherwise **stated in the PCC.**

**6. Fraud and Corruption**

- 6.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Appendix B to the GCC.
- 6.2 The Employer requires the Contractor to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the Bidding process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.

**B. Subject Matter of Contract**

- 7. Scope of Facilities**
- 7.1 Unless otherwise expressly limited in the Employer's Requirements, the Contractor's obligations cover the provision of all Plant and the performance of all Installation Services required for the design, and the manufacture (including procurement, quality assurance, construction, installation, associated civil works, Precommissioning and delivery) of the Plant, and the installation, completion and commissioning of the Facilities in accordance with the plans, procedures, specifications, drawings, codes and any other documents as specified in the Section, Employer's Requirements. Such specifications include, but are not limited to, the provision of supervision and engineering services; the supply of labor, materials, equipment, spare parts (as specified in GCC Sub-Clause 7.3 below) and accessories; Contractor's Equipment; construction utilities and supplies; temporary materials, structures and facilities; transportation (including, without limitation, unloading and hauling to, from and at the Site); and storage, except for those supplies, works and services that will be provided or performed by the Employer, as set forth in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer.
- 7.2 The Contractor shall, unless specifically excluded in the Contract, perform all such work and/or supply all such items and materials not specifically mentioned in the Contract but that can be reasonably inferred from the Contract as being required for attaining Completion of the Facilities as if such work and/or items and materials were expressly mentioned in the Contract.
- 7.3 In addition to the supply of Mandatory Spare Parts included in the Contract, the Contractor agrees to supply spare parts required for the operation and maintenance of the Facilities for the period **specified in the PCC** and the provisions, if any, **specified in the PCC**. However, the identity, specifications and quantities of such spare parts and the terms and conditions relating to the supply thereof are to be agreed between the Employer and the Contractor, and the price of such spare parts shall be that given in Price Schedule No. 6, which shall be added to the Contract Price. The price of such spare parts shall include the purchase price therefor and other costs and expenses (including the Contractor's fees) relating to the supply of spare parts.

- 8. Time for Commencement and Completion**
- 8.1 The Contractor shall commence work on the Facilities within the period **specified in the PCC** and without prejudice to GCC Sub-Clauses 9.9 and 26.2 hereof, the Contractor shall thereafter proceed with the Facilities in accordance with the time schedule specified in the Appendix to the Contract Agreement titled Time Schedule.
- 8.2 The Contractor shall attain Completion of the Facilities or of a part where a separate time for Completion of such part is specified in the Contract, within the time **stated in the PCC** or within such extended time to which the Contractor shall be entitled under GCC Clause 40 hereof.
- 9. Contractor's Responsibilities**
- 9.1 The Contractor shall design, manufacture including associated purchases and/or subcontracting, install and complete the Facilities in accordance with the Contract. When completed, the Facilities should be fit for the purposes for which they are intended as defined in the Contract.
- 9.2 The Contractor confirms that it has entered into this Contract on the basis of a proper examination of the data relating to the Facilities including any data as to boring tests provided by the Employer, and on the basis of information that the Contractor could have obtained from a visual inspection of the Site if access thereto was available and of other data readily available to it relating to the Facilities as of the date twenty-eight (28) days prior to Bid submission. The Contractor acknowledges that any failure to acquaint itself with all such data and information shall not relieve its responsibility for properly estimating the difficulty or cost of successfully performing the Facilities.
- 9.3 The Contractor shall acquire and pay for all permits, approvals and/or licenses from all local, state or national government authorities or public service undertakings in the country where the Site is located which such authorities or undertakings require the Contractor to obtain in its name and which are necessary for the performance of the Contract, including, without limitation, visas for the Contractor's Personnel and entry permits for all imported Contractor's Equipment. The Contractor shall acquire all other permits, approvals and/or licenses that are not the responsibility of the Employer under GCC Sub-Clause 10.3 hereof and that are necessary for the performance of the Contract.
- 9.4 The Contractor shall comply with all laws in force in the country where the Facilities are to be implemented. The laws will include all local, state, national or other laws that affect the performance of the Contract and bind upon the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any and all liabilities, damages, claims, fines, penalties and expenses of whatever nature arising or resulting from the violation of such laws by the Contractor or its

personnel, including the Subcontractors and their personnel, but without prejudice to GCC Sub-Clause 10.1 hereof.

- 9.5 Any Plant and Installation Services that will be incorporated in or be required for the Facilities and other supplies shall have their origin as specified under GCC Clause 1 (Country of Origin). Any subcontractors retained by the Contractor shall be from a country as specified in GCC Clause 1 (Country of Origin).
- 9.6 If the Contractor is a joint venture, or association (JV) of two or more persons, all such persons shall be jointly and severally bound to the Employer for the fulfillment of the provisions of the Contract, and shall designate one of such persons to act as a leader with authority to bind the JV. The composition or the constitution of the JV shall not be altered without the prior consent of the Employer.
- 9.7 Pursuant to paragraph 2.2 e. of Appendix B to the General Conditions the Contractor shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Contractor's and its Subcontractors' and subconsultants' attention is drawn to Sub-Clause 6.1 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).
- 9.8 The Contractor shall conform to the sustainable procurement contractual provisions, if and as specified in the PCC.
- 9.9 Contractor's Environmental and Social Management Plan (C-ESMP)

The Contractor shall not carry out mobilization to Site unless the Project Manager gives approval, an approval that shall not be unreasonably delayed, to the measures the Contractor proposes to address environmental and social risks and impacts including the code of conduct, in accordance with GCC Sub-Clause 22.4.

The Contractor shall submit, to the Project Manager for Review, any additional Management Strategies and Implementation Plans as are necessary to manage the ES risks and impacts of the Facilities. These Management Strategies and Implementation Plans collectively comprise the Contractor's Environmental and Social Management Plan (C-ESMP).

The Contractor shall review the C-ESMP, periodically (but not less than every six (6) months), and update it as required to ensure that it contains measures appropriate to the Facilities. The updated C-ESMP shall be submitted to the Project Manager for its approval.

#### 9.10 Training of Contractor's Personnel

The Contractor shall provide appropriate training to relevant Contractor's Personnel on ES aspects of the Contract, including appropriate sensitization on prohibition of SEA and health and safety training referred to in GCC Sub-Clause 22.2.7.

As stated in the Employer's Requirements or as instructed by the Project Manager, the Contractor shall also allow appropriate opportunities for the relevant Contractor's Personnel to be trained on ES aspects of the Contract by the Employer's personnel and/or other personnel assigned by the Employer.

#### 9.11 Stakeholder engagements

The Contractor shall provide relevant contract- related information, as the Employer and/or Project Manager may reasonably request to conduct contract stakeholder engagement. "Stakeholder" refers to individuals or groups who:

- (a) are affected or likely to be affected by the Contract; and
- (b) may have an interest in the Contract.

The Contractor may also directly participate in contract stakeholder engagements, as the Employer and/or Project Manager may reasonably request.

#### 9.12 Forced Labor

The Contractor, including its Subcontractors, shall not employ or engage forced labour. Forced labour consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labour, such as indentured labour, bonded labour or similar labour-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harbouring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

The Contractor shall also take measures to require its suppliers (other than Subcontractors) not to employ or engage forced labour including trafficked persons. If forced labour/trafficking cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

#### 9.13 Child Labor

The Contractor, including its Subcontractors, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Contractor, including its Subcontractors, shall not employ or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Contractor including its Subcontractors, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Contractor with the Engineer's consent. The Contractor shall be subject to regular monitoring by the Project Manager that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

- (a) with exposure to physical, psychological or sexual abuse;
- (b) underground, underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- (d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

The Contractor shall also take measures to require its suppliers (other than Subcontractors) not to employ or engage child labor. If child labor cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable

period substitute the supplier with a supplier that is able to manage such risks.

#### 9.14 Serious Safety Issues

The Contractor, including its Subcontractors, shall comply with all applicable safety obligations. The Contractor shall also take measures to require its suppliers (other than Subcontractors) to adopt procedures and mitigation measures adequate to address safety issues related to their personnel. If serious safety issues are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

#### 9.15 Obtaining natural resource materials

The Contractor shall obtain natural resource materials from suppliers that can demonstrate, through compliance with the applicable verification and/ or certification requirements, that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical habitats such as unsustainably harvested wood products, gravel or sand extraction from riverbeds or beaches.

If a supplier cannot continue to demonstrate that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical habitats, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to demonstrate that they are not significantly adversely impacting the habitats.

### **10. Employer's Responsibilities**

10.1 All information and/or data to be supplied by the Employer as described in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, shall be deemed to be accurate, except when the Employer expressly states otherwise.

10.2 The Employer shall be responsible for acquiring and providing legal and physical possession of the Site and access thereto, and for providing possession of and access to all other areas reasonably required for the proper execution of the Contract, including all requisite rights of way, as specified in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer. The Employer shall give full possession of and accord all rights of access thereto on or before the date(s) specified in that Appendix.

10.3 The Employer shall acquire and pay for all permits, approvals and/or licenses from all local, state or national government authorities or public



service undertakings in the country where the Site is located which (a) such authorities or undertakings require the Employer to obtain in the Employer's name, (b) are necessary for the execution of the Contract, including those required for the performance by both the Contractor and the Employer of their respective obligations under the Contract, and (c) are specified in the Appendix (Scope of Works and Supply by the Employer).

- 10.4 If requested by the Contractor, the Employer shall use its best endeavors to assist the Contractor in obtaining in a timely and expeditious manner all permits, approvals and/or licenses necessary for the execution of the Contract from all local, state or national government authorities or public service undertakings that such authorities or undertakings require the Contractor or Subcontractors or the personnel of the Contractor or Subcontractors, as the case may be, to obtain.
- 10.5 Unless otherwise specified in the Contract or agreed upon by the Employer and the Contractor, the Employer shall provide sufficient, properly qualified operating and maintenance personnel; shall supply and make available all raw materials, utilities, lubricants, chemicals, catalysts, other materials and facilities; and shall perform all work and services of whatsoever nature, including those required by the Contractor to properly carry out Precommissioning, Commissioning and Guarantee Tests, all in accordance with the provisions of the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, at or before the time specified in the program furnished by the Contractor under GCC Sub-Clause 18.2 hereof and in the manner thereupon specified or as otherwise agreed upon by the Employer and the Contractor.
- 10.6 The Employer shall be responsible for the continued operation of the Facilities after Completion, in accordance with GCC Sub-Clause 24.8, and shall be responsible for facilitating the Guarantee Test(s) for the Facilities, in accordance with GCC Sub-Clause 25.2.
- 10.7 All costs and expenses involved in the performance of the obligations under this GCC Clause 10 shall be the responsibility of the Employer, save those to be incurred by the Contractor with respect to the performance of Guarantee Tests, in accordance with GCC Sub-Clause 25.2.
- 10.8 In the event that the Employer shall be in breach of any of his obligations under this Clause, the additional cost incurred by the Contractor in consequence thereof shall be determined by the Project Manager and added to the Contract Price.

## C. Payment

- 11. Contract Price**
- 11.1 The Contract Price shall be as specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement.
- 11.2 Unless an adjustment clause is **provided for in the PCC**, the Contract Price shall be a firm lump sum not subject to any alteration, except in the event of a Change in the Facilities or as otherwise provided in the Contract.
- 11.3 Subject to GCC Sub-Clauses 9.2, 10.1 and 35 hereof, the Contractor shall be deemed to have satisfied itself as to the correctness and sufficiency of the Contract Price, which shall, except as otherwise provided for in the Contract, cover all its obligations under the Contract.
- 12. Terms of Payment**
- 12.1 The Contract Price shall be paid as specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement and in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, which also outlines the procedures to be followed in making application for and processing payments.
- 12.2 No payment made by the Employer herein shall be deemed to constitute acceptance by the Employer of the Facilities or any part(s) thereof.
- 12.3 In the event that the Employer fails to make any payment by its respective due date or within the period set forth in the Contract, the Employer shall pay to the Contractor interest on the amount of such delayed payment at the rate(s) shown in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, for the period of delay until payment has been made in full, whether before or after judgment or arbitration award.
- 12.4 The currency or currencies in which payments are made to the Contractor under this Contract shall be specified in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, subject to the general principle that payments will be made in the currency or currencies in which the Contract Price has been stated in the Contractor's Bid.
- 12.5 **As specified in the PCC**, if the Contractor fails to perform its cyber security obligations under the Contract, an assessed amount, as determined by the Project Manager, may be withheld until the obligation has been performed.
- 13. Securities**
- 13.1 Issuance of Securities

The Contractor shall provide the securities specified below in favor of the Employer at the times, and in the amount, manner and form specified below.

### 13.2 Advance Payment Security

13.2.1 The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide a security in an amount equal to the advance payment calculated in accordance with the Appendix to the Contract Agreement titled Terms and Procedures of Payment, and in the same currency or currencies.

13.2.2 The security shall be in the form provided in the Bidding documents or in another form acceptable to the Employer. The amount of the security shall be reduced in proportion to the value of the Facilities executed by and paid to the Contractor from time to time, and shall automatically become null and void when the full amount of the advance payment has been recovered by the Employer. The security shall be returned to the Contractor immediately after its expiration.

### 13.3 Performance Security

13.3.1 The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide a security for the due performance of the Contract in the amount **specified in the PCC**.

13.3.2 The Performance Security shall be denominated in the currency or currencies of the Contract, or in a freely convertible currency acceptable to the Employer, and shall be in the form provided in Section X, Contract Forms, corresponding to the type of bank guarantee stipulated by the Employer in the PCC, or in another form acceptable to the Employer.

13.3.3 Unless otherwise specified in the PCC, the security shall be reduced by half on the date of the Operational Acceptance. The Security shall become null and void, or shall be reduced pro rata to the Contract Price of a part of the Facilities for which a separate Time for Completion is provided, five hundred and forty (540) days after Completion of the Facilities or three hundred and sixty five (365) days after Operational Acceptance of the Facilities, whichever occurs first; provided, however, that if the Defects Liability Period has been extended on any part of the Facilities pursuant to GCC Sub-Clause 27.8 hereof, the Contractor shall issue an additional security in an amount proportionate to the Contract Price of that part. The security shall be returned to the Contractor immediately after its expiration, provided, however, that if the Contractor, pursuant to GCC Sub-Clause 27.10, is liable

for an extended defect liability obligation, the Performance Security shall be extended for the period specified in the PCC pursuant to GCC Sub-Clause 27.10 and up to the amount specified in the PCC.

13.3.4 The Employer shall not make a claim under the Performance Security, except for amounts to which the Employer is entitled under the Contract. The Employer shall indemnify and hold the Contractor harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from a claim under the Performance Security to the extent to which the Employer was not entitled to make the claim.

#### **14. Taxes and Duties**

14.1 Except as otherwise specifically provided in the Contract, the Contractor shall bear and pay all taxes, duties, levies and charges assessed on the Contractor, its Subcontractors or their employees by all municipal, state or national government authorities in connection with the Facilities in and outside of the country where the Site is located.

14.2 Notwithstanding GCC Sub-Clause 14.1 above, the Employer shall bear and promptly pay

- (a) all customs and import duties for the Plant specified in Price Schedule No. 1; and
- (b) other domestic taxes such as, sales tax and value added tax (VAT) on the Plant specified in Price Schedules No. 1 and No. 2 and that is to be incorporated into the Facilities, and on the finished goods, imposed by the law of the country where the Site is located.

14.3 If any tax exemptions, reductions, allowances or privileges may be available to the Contractor in the country where the Site is located, the Employer shall use its best endeavors to enable the Contractor to benefit from any such tax savings to the maximum allowable extent.

14.4 For the purpose of the Contract, it is agreed that the Contract Price specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement is based on the taxes, duties, levies and charges prevailing at the date twenty-eight (28) days prior to the date of Bid submission in the country where the Site is located (hereinafter called "Tax" in this GCC Sub-Clause 14.4). If any rates of Tax are increased or decreased, a new Tax is introduced, an existing Tax is abolished, or any change in interpretation or application of any Tax occurs in the course of the performance of Contract, which was or will be assessed on the Contractor, Subcontractors or their employees in connection with performance of the Contract, an equitable adjustment of the Contract Price shall be made to fully take into account any such change by

addition to the Contract Price or deduction therefrom, as the case may be, in accordance with GCC Clause 36 hereof.

#### **D. Intellectual Property**

- 15. License/Use of Technical Information**
- 15.1 For the operation and maintenance of the Plant, the Contractor hereby grants a non-exclusive and non-transferable license (without the right to sub-license) to the Employer under the patents, utility models or other industrial property rights owned by the Contractor or by a third Party from whom the Contractor has received the right to grant licenses thereunder, and shall also grant to the Employer a non-exclusive and non-transferable right (without the right to sub-license) to use the know-how and other technical information disclosed to the Employer under the Contract. Nothing contained herein shall be construed as transferring ownership of any patent, utility model, trademark, design, copyright, know-how or other intellectual property right from the Contractor or any third Party to the Employer.
- 15.2 The copyright in all drawings, documents and other materials containing data and information furnished to the Employer by the Contractor herein shall remain vested in the Contractor or, if they are furnished to the Employer directly or through the Contractor by any third Party, including suppliers of materials, the copyright in such materials shall remain vested in such third Party.
- 16. Confidential Information**
- 16.1 The Employer and the Contractor shall keep confidential and shall not, without the written consent of the other Party hereto, divulge to any third Party any documents, data or other information furnished directly or indirectly by the other Party hereto in connection with the Contract, whether such information has been furnished prior to, during or following termination of the Contract. Notwithstanding the above, the Contractor may furnish to its Subcontractor(s) such documents, data and other information it receives from the Employer to the extent required for the Subcontractor(s) to perform its work under the Contract, in which event the Contractor shall obtain from such Subcontractor(s) an undertaking of confidentiality similar to that imposed on the Contractor under this GCC Clause 16.
- 16.2 The Employer shall not use such documents, data and other information received from the Contractor for any purpose other than the operation and maintenance of the Facilities. Similarly, the Contractor shall not use such documents, data and other information received from the Employer for any purpose other than the design, procurement of Plant, construction or such other work and services as are required for the performance of the Contract.

- 16.3 The obligation of a Party under GCC Sub-Clauses 16.1 and 16.2 above, however, shall not apply to that information which
- (a) now or hereafter enters the public domain through no fault of that Party
  - (b) can be proven to have been possessed by that Party at the time of disclosure and which was not previously obtained, directly or indirectly, from the other Party hereto
  - (c) otherwise lawfully becomes available to that Party from a third Party that has no obligation of confidentiality
  - (d) is being provided to the Bank.
- 16.4 The above provisions of this GCC Clause 16 shall not in any way modify any undertaking of confidentiality given by either of the Parties hereto prior to the date of the Contract in respect of the Facilities or any part thereof.
- 16.5 The provisions of this GCC Clause 16 shall survive termination, for whatever reason, of the Contract.

## **E. Execution of the Facilities**

### **17. Representatives**

#### **17.1 Project Manager**

If the Project Manager is not named in the Contract, then within fourteen (14) days of the Effective Date, the Employer shall appoint and notify the Contractor in writing of the name of the Project Manager. The Employer may from time to time appoint some other person as the Project Manager in place of the person previously so appointed, and shall give a notice of the name of such other person to the Contractor without delay. No such appointment shall be made at such a time or in such a manner as to impede the progress of work on the Facilities. Such appointment shall only take effect upon receipt of such notice by the Contractor. The Project Manager shall represent and act for the Employer at all times during the performance of the Contract. All notices, instructions, orders, certificates, approvals and all other communications under the Contract shall be given by the Project Manager, except as herein otherwise provided.

All notices, instructions, information and other communications given by the Contractor to the Employer under the Contract shall be given to the Project Manager, except as herein otherwise provided.

#### **17.2 Contractor's Representative & Construction Manager**

17.2.1 If the Contractor's Representative is not named in the Contract, then within fourteen (14) days of the Effective Date, the

Contractor shall appoint the Contractor's Representative and shall request the Employer in writing to approve the person so appointed. If the Employer makes no objection to the appointment within fourteen (14) days, the Contractor's Representative shall be deemed to have been approved. If the Employer objects to the appointment within fourteen (14) days giving the reason therefor, then the Contractor shall appoint a replacement within fourteen (14) days of such objection, and the foregoing provisions of this GCC Sub-Clause 17.2.1 shall apply thereto.

- 17.2.2 The Contractor's Representative shall represent and act for the Contractor at all times during the performance of the Contract and shall give to the Project Manager all the Contractor's notices, instructions, information and all other communications under the Contract.

All notices, instructions, information and all other communications given by the Employer or the Project Manager to the Contractor under the Contract shall be given to the Contractor's Representative or, in its absence, its deputy, except as herein otherwise provided.

The Contractor shall not revoke the appointment of the Contractor's Representative without the Employer's prior written consent, which shall not be unreasonably withheld. If the Employer consents thereto, the Contractor shall appoint some other person as the Contractor's Representative, pursuant to the procedure set out in GCC Sub-Clause 17.2.1.

- 17.2.3 The Contractor's Representative may, subject to the approval of the Employer which shall not be unreasonably withheld, at any time delegate to any person any of the powers, functions and authorities vested in him or her. Any such delegation may be revoked at any time. Any such delegation or revocation shall be subject to a prior notice signed by the Contractor's Representative, and shall specify the powers, functions and authorities thereby delegated or revoked. No such delegation or revocation shall take effect unless and until a copy thereof has been delivered to the Employer and the Project Manager.

Any act or exercise by any person of powers, functions and authorities so delegated to him or her in accordance with this GCC Sub-Clause 17.2.3 shall be deemed to be an act or exercise by the Contractor's Representative.

- 17.2.4 From the commencement of installation of the Facilities at the Site until Completion, the Contractor's Representative shall

appoint a suitable person as the Construction Manager. The Construction Manager shall supervise all work done at the Site by the Contractor and shall be present at the Site throughout normal working hours except when on leave, sick or absent for reasons connected with the proper performance of the Contract. Whenever the Construction Manager is absent from the Site, a suitable person shall be appointed to act as the Construction Manager's deputy.

17.2.5 The Project Manager may require the Contractor to remove (or cause to be removed) the Contractor's Representative or any other person employed by the Contractor in the execution of the Contract, who:

- (a) persists in any misconduct or lack of care;
- (b) carries out duties incompetently or negligently;
- (c) fails to comply with any provision of the Contract;
- (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment;
- (e) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Contract;
- (f) has been recruited from the Employer's Personnel in breach of GCC Sub-Clause 22.2.2;
- (g) undertakes behaviour which breaches the Code of Conduct (ES), as applicable;

If appropriate, the Contractor shall then promptly appoint (or cause to be appointed) a suitable replacement with equivalent skills and experience.

Notwithstanding any requirement from the Project Manager to remove or cause to remove any person, the Contractor shall take immediate action as appropriate in response to any violation of (a) through (g) above. Such immediate action shall include removing (or causing to be removed) from the Site or other places where the Contract is being executed, any Contractor's Personnel who engages in (a), (b), (c), (d), (e) or (g) above or has been recruited as stated in (f) above..

17.2.6 If any representative or person employed by the Contractor is removed in accordance with GCC Sub-Clause 17.2.5, the Contractor shall, where required, promptly appoint a suitable replacement with equivalent skills and experience.



**18. Work Program****18.1 Contractor's Organization**

The Contractor shall supply to the Employer and the Project Manager a chart showing the proposed organization to be established by the Contractor for carrying out work on the Facilities within twenty-one (21) days of the Effective Date. The chart shall include the identities of the key personnel and the curricula vitae of such key personnel to be employed shall be supplied together with the chart. The Contractor shall promptly inform the Employer and the Project Manager in writing of any revision or alteration of such an organization chart.

**18.2 Program of Performance**

Within twenty-eight (28) days after the Effective Date, the Contractor shall submit to the Project Manager a detailed program of performance of the Contract, made in a form acceptable to the Project Manager and showing the sequence in which it proposes to design, manufacture, transport, assemble, install and precommission the Facilities, as well as the date by which the Contractor reasonably requires that the Employer shall have fulfilled its obligations under the Contract so as to enable the Contractor to execute the Contract in accordance with the program and to achieve Completion, Commissioning and Acceptance of the Facilities in accordance with the Contract. The program so submitted by the Contractor shall accord with the Time Schedule included in the Appendix to the Contract Agreement titled Time Schedule, and any other dates and periods specified in the Contract. The Contractor shall update and revise the program as and when appropriate or when required by the Project Manager, but without modification in the Times for Completion specified in the PCC pursuant to Sub-Clause 8.2 and any extension granted in accordance with GCC Clause 40, and shall submit all such revisions to the Project Manager.

**18.3 Progress Report**

The Contractor shall monitor progress of all the activities specified in the program referred to in GCC Sub-Clause 18.2 above, and supply a progress report to the Project Manager every month.

The progress report shall be in a form acceptable to the Project Manager and shall indicate: (a) percentage completion achieved compared with the planned percentage completion for each activity; and (b) where any activity is behind the program, giving comments and likely consequences and stating the corrective action being taken.

Unless otherwise stated in the Employer's Requirements, each progress report shall include the Environmental and Social (ES) metrics set out in Appendix C.

**If stated in the PCC**, progress report shall include status of compliance to cyber security risks management, and any foreseeable cyber security risk and mitigation.

In addition to the progress reports, the Contractor shall inform the Project Manager immediately of any allegation, incident or accident in the Site, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer's Personnel or Contractor's Personnel. This includes, but is not limited to, any incident or accident causing fatality or serious injury; significant adverse effects or damage to private property; any cyber security incidents **as specified in the PCC**; or any allegation of SEA and/or SH. In case of SEA and/or SH, while maintaining confidentiality as appropriate, the type of allegation (sexual exploitation, sexual abuse or sexual harassment), gender and age of the person who experienced the alleged incident should be included in the information.

The Contractor, upon becoming aware of the allegation, incident or accident, shall also immediately inform the Project Manager of any such incident or accident on the Subcontractors' or suppliers' premises relating to the Facilities which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer's Personnel, or Contractor's, its Subcontractors' and suppliers' personnel. The notification shall provide sufficient detail regarding such incidents or accidents. The Contractor shall provide full details of such incidents or accidents to the Project Manager within the timeframe agreed with the Project Manager.

The Contractor shall require its Subcontractors and suppliers to immediately notify the Contractor of any incidents or accidents referred to in this Subclause.

#### 18.4 Progress of Performance

If at any time the Contractor's actual progress falls behind the program referred to in GCC Sub-Clause 18.2, or it becomes apparent that it will so fall behind, the Contractor shall, at the request of the Employer or the Project Manager, prepare and submit to the Project Manager a revised program, taking into account the prevailing circumstances, and shall notify the Project Manager of the steps being taken to expedite progress so as to attain Completion of the Facilities within the Time for Completion under GCC Sub-Clause 8.2, any extension thereof entitled under GCC Sub-Clause 40.1, or any extended period as may otherwise be agreed upon between the Employer and the Contractor.

#### 18.5 Procedures

The Contract shall be executed in accordance with the Contract Documents including the procedures given in the Forms and Procedures of the Employer's Requirements.

The Contractor may execute the Contract in accordance with its own standard project execution plans and procedures to the extent that they do not conflict with the provisions contained in the Contract.

## **19. Subcontracting**

- 19.1 The Appendix to the Contract Agreement titled List of Major Items of Plant and Installation Services and List of Approved Subcontractors, specifies major items of supply or services and a list of approved Subcontractors against each item, including manufacturers. Insofar as no Subcontractors are listed against any such item, the Contractor shall prepare a list of Subcontractors for such item for inclusion in such list. The Contractor may from time to time propose any addition to or deletion from any such list. The Contractor shall submit any such list or any modification thereto to the Employer for its approval in sufficient time so as not to impede the progress of work on the Facilities. Submission by the Contractor, for addition of any Subcontractor not named in the Contract, shall also include the Subcontractor's declaration in accordance with Appendix D- Sexual exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration. Approval by the Employer for any of the Subcontractors shall not relieve the Contractor from any of its obligations, duties or responsibilities under the Contract.
- 19.2 The Contractor shall select and employ its Subcontractors for such major items from those listed in the lists referred to in GCC Sub-Clause 19.1.
- 19.3 For items or parts of the Facilities not specified in the Appendix to the Contract Agreement titled List of Major Items of Plant and Installation Services and List of Approved Subcontractors, the Contractor may employ such Subcontractors as it may select, at its discretion.
- 19.4 Each sub-contract shall include provisions which would entitle the Employer to require the sub-contract to be assigned to the Employer under GCC 19.5 (if and when applicable), or in event of termination by the Employer under GCC 42.2.
- 19.5 If a Subcontractor's obligations extend beyond the expiry date of the relevant Defects Liability Period and the Project Manager, prior to that date, instructs the Contractor to assign the benefits of such obligations to the Employer, then the Contractor shall do so.

19.6 The Contractor shall ensure that its Subcontractors execute the Facilities in accordance with the Contract, including complying with the relevant ES requirements and the obligations set out in GCC Sub-Clause 22.4.

## **20. Design and Engineering**

### **20.1 Specifications and Drawings**

20.1.1 The Contractor shall execute the basic and detailed design and the engineering work in compliance with the provisions of the Contract, or where not so specified, in accordance with good engineering practice.

The Contractor shall be responsible for any discrepancies, errors or omissions in the specifications, drawings and other technical documents that it has prepared, whether such specifications, drawings and other documents have been approved by the Project Manager or not, provided that such discrepancies, errors or omissions are not because of inaccurate information furnished in writing to the Contractor by or on behalf of the Employer.

20.1.2 The Contractor shall be entitled to disclaim responsibility for any design, data, drawing, specification or other document, or any modification thereof provided or designated by or on behalf of the Employer, by giving a notice of such disclaimer to the Project Manager.

### **20.2 Codes and Standards**

Wherever references are made in the Contract to codes and standards in accordance with which the Contract shall be executed, the edition or the revised version of such codes and standards current at the date twenty-eight (28) days prior to date of Bid submission shall apply unless otherwise specified. During Contract execution, any changes in such codes and standards shall be applied subject to approval by the Employer and shall be treated in accordance with GCC Clause 39.

### **20.3 Approval/Review of Technical Documents by Project Manager**

20.3.1 The Contractor shall prepare or cause its Subcontractors to prepare, and furnish to the Project Manager the documents listed in the Appendix to the Contract Agreement titled List of Documents for Approval or Review, for its approval or review as specified and in accordance with the requirements of GCC Sub-Clause 18.2 (Program of Performance).

Any part of the Facilities covered by or related to the documents to be approved by the Project Manager shall be executed only after the Project Manager's approval thereof.

GCC Sub-Clauses 20.3.2 through 20.3.7 shall apply to those documents requiring the Project Manager's approval, but not to those furnished to the Project Manager for its review only.

- 20.3.2 Within fourteen (14) days after receipt by the Project Manager of any document requiring the Project Manager's approval in accordance with GCC Sub-Clause 20.3.1, the Project Manager shall either return one copy thereof to the Contractor with its approval endorsed thereon or shall notify the Contractor in writing of its disapproval thereof and the reasons therefor and the modifications that the Project Manager proposes.
- If the Project Manager fails to take such action within the said fourteen (14) days, then the said document shall be deemed to have been approved by the Project Manager.
- 20.3.3 The Project Manager shall not disapprove any document, except on the grounds that the document does not comply with the Contract or that it is contrary to good engineering practice.
- 20.3.4 If the Project Manager disapproves the document, the Contractor shall modify the document and resubmit it for the Project Manager's approval in accordance with GCC Sub-Clause 20.3.2. If the Project Manager approves the document subject to modification(s), the Contractor shall make the required modification(s), whereupon the document shall be deemed to have been approved.
- 20.3.5 If any dispute or difference occurs between the Employer and the Contractor in connection with or arising out of the disapproval by the Project Manager of any document and/or any modification(s) thereto that cannot be settled between the Parties within a reasonable period, then such dispute or difference may be referred to a Dispute Board for determination in accordance with GCC Sub-Clause 46.1 hereof. If such dispute or difference is referred to a Dispute Board, the Project Manager shall give instructions as to whether and if so, how, performance of the Contract is to proceed. The Contractor shall proceed with the Contract in accordance with the Project Manager's instructions, provided that if the Dispute Board upholds the Contractor's view on the dispute and if the Employer has not given notice under GCC Sub-Clause 46.3 hereof, then the Contractor shall be reimbursed by the Employer for any additional costs incurred by reason of such instructions and shall be relieved of such responsibility or liability in connection with the dispute and the execution of the instructions as the Dispute

Board shall decide, and the Time for Completion shall be extended accordingly.

20.3.6 The Project Manager's approval, with or without modification of the document furnished by the Contractor, shall not relieve the Contractor of any responsibility or liability imposed upon it by any provisions of the Contract except to the extent that any subsequent failure results from modifications required by the Project Manager.

20.3.7 The Contractor shall not depart from any approved document unless the Contractor has first submitted to the Project Manager an amended document and obtained the Project Manager's approval thereof, pursuant to the provisions of this GCC Sub-Clause 20.3.

If the Project Manager requests any change in any already approved document and/or in any document based thereon, the provisions of GCC Clause 39 shall apply to such request.

## **21. Procurement**

### **21.1 Plant**

Subject to GCC Sub-Clause 14.2, the Contractor shall procure and transport all Plant in an expeditious and orderly manner to the Site.

### **21.2 Employer-Supplied Plant**

If the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, provides that the Employer shall furnish any specific items to the Contractor, the following provisions shall apply:

21.2.1 The Employer shall, at its own risk and expense, transport each item to the place on or near the Site as agreed upon by the Parties and make such item available to the Contractor at the time specified in the program furnished by the Contractor, pursuant to GCC Sub-Clause 18.2, unless otherwise mutually agreed.

21.2.2 Upon receipt of such item, the Contractor shall inspect the same visually and notify the Project Manager of any detected shortage, defect or default. The Employer shall immediately remedy any shortage, defect or default, or the Contractor shall, if practicable and possible, at the request of the Employer, remedy such shortage, defect or default at the Employer's cost and expense. After inspection, such item shall fall under the care, custody and control of the Contractor. The provision of this GCC Sub-Clause 21.2.2 shall apply to any item supplied to remedy any such shortage or default or to substitute for any

defective item, or shall apply to defective items that have been repaired.

- 21.2.3 The foregoing responsibilities of the Contractor and its obligations of care, custody and control shall not relieve the Employer of liability for any undetected shortage, defect or default, nor place the Contractor under any liability for any such shortage, defect or default whether under GCC Clause 27 or under any other provision of Contract.

### 21.3 Transportation

- 21.3.1 The Contractor shall at its own risk and expense transport all the materials and the Contractor's Equipment to the Site by the mode of transport that the Contractor judges most suitable under all the circumstances.
- 21.3.2 Unless otherwise provided in the Contract, the Contractor shall be entitled to select any safe mode of transport operated by any person to carry the materials and the Contractor's Equipment.
- 21.3.3 Upon dispatch of each shipment of materials and the Contractor's Equipment, the Contractor shall notify the Employer by telex, cable, facsimile or electronic means, of the description of the materials and of the Contractor's Equipment, the point and means of dispatch, and the estimated time and point of arrival in the country where the Site is located, if applicable, and at the Site. The Contractor shall furnish the Employer with relevant shipping documents to be agreed upon between the Parties.
- 21.3.4 The Contractor shall be responsible for obtaining, if necessary, approvals from the authorities for transportation of the materials and the Contractor's Equipment to the Site. The Employer shall use its best endeavors in a timely and expeditious manner to assist the Contractor in obtaining such approvals, if requested by the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any claim for damage to roads, bridges or any other traffic facilities that may be caused by the transport of the materials and the Contractor's Equipment to the Site.

### 21.4 Customs Clearance

The Contractor shall, at its own expense, handle all imported materials and Contractor's Equipment at the point(s) of import and shall handle any formalities for customs clearance, subject to the Employer's obligations under GCC Sub-Clause 14.2, provided that if applicable laws or regulations require any application or act to be made by or in the

name of the Employer, the Employer shall take all necessary steps to comply with such laws or regulations. In the event of delays in customs clearance that are not the fault of the Contractor, the Contractor shall be entitled to an extension in the Time for Completion, pursuant to GCC Clause 40.

## **22. Installation**

### **22.1 Setting Out/Supervision**

**22.1.1 Bench Mark:** The Contractor shall be responsible for the true and proper setting-out of the Facilities in relation to bench marks, reference marks and lines provided to it in writing by or on behalf of the Employer.

If, at any time during the progress of installation of the Facilities, any error shall appear in the position, level or alignment of the Facilities, the Contractor shall forthwith notify the Project Manager of such error and, at its own expense, immediately rectify such error to the reasonable satisfaction of the Project Manager. If such error is based on incorrect data provided in writing by or on behalf of the Employer, the expense of rectifying the same shall be borne by the Employer.

**22.1.2 Contractor's Supervision:** The Contractor shall give or provide all necessary superintendence during the installation of the Facilities, and the Construction Manager or its deputy shall be constantly on the Site to provide full-time superintendence of the installation. The Contractor shall provide and employ only technical personnel who are skilled and experienced in their respective callings and supervisory staff who are competent to adequately supervise the work at hand.

### **22.2 Labor:**

#### **22.2.1 Engagement of Staff and Labor**

Except as otherwise stated in the Employer's Requirements, the Contractor shall make arrangements for the engagement of all staff and labor, local or otherwise, and for their payment, housing, feeding and transport.

The Contractor shall provide and employ on the Site in the installation of the Facilities such skilled, semi-skilled and unskilled labor as is necessary for the proper and timely execution of the Contract. The Contractor is encouraged to use local labor that has the necessary skills.

The Contractor shall be responsible for obtaining all necessary permit(s) and/or visa(s) from the appropriate authorities for the entry of all labor and personnel to be employed on the Site into



the country where the Site is located. The Employer will, if requested by the Contractor, use his best endeavors in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national or government permission required for bringing in the Contractor's Personnel.

The Contractor shall at its own expense provide the means of repatriation to all of its Contractor's Personnel employed for the execution of the Contract at the Site or other places where the Installation Services are carried out to the place where they were recruited or to their domicile. It shall also provide suitable temporary maintenance of all such persons from the cessation of their employment on the Contract to the date programmed for their departure. In the event that the Contractor defaults in providing such means of transportation and temporary maintenance, the Employer may provide the same to such personnel and recover the cost of doing so from the Contractor.

The Contractor shall provide Contractor's Personnel employed for the execution of the Contract at the Site or other places where the Installation Services are carried out, relevant information and documentation that are clear and understandable regarding their terms and conditions of employment. The information and documentation shall set out their rights under relevant labor laws applicable to the Contractor's Personnel (which will include any applicable collective agreements), including their rights related to hours of work, wages, overtime, compensation and benefits, as well as those arising from any requirements in the Employer's Requirements. The Contractor's Personnel shall be informed when any material changes to their terms or conditions of employment occur.

#### 22.2.2 Persons in the Service of Employer

The Contractor shall not recruit, or attempt to recruit, staff and labor from amongst the Employer's Personnel.

#### 22.2.3 Labor Laws

The Contractor shall comply with all the relevant labor laws applicable to the Contractor's Personnel, including laws relating to their employment, health, safety, welfare, immigration and emigration, and shall allow them all their legal rights.

The Contractor shall at all times during the progress of the Contract use its best endeavors to prevent any unlawful, riotous

or disorderly conduct or behavior by or amongst its employees and the labor of its Subcontractors.

The Contractor shall, in all dealings with its labor and the labor of its Subcontractors currently employed on or connected with the Contract, pay due regard to all recognized festivals, official holidays, religious or other customs and all local laws and regulations pertaining to the employment of labor.

#### 22.2.4 Rates of Wages and Conditions of Labor

The Contractor shall pay rates of wages, and observe conditions of labor, which are not lower than those established for the trade or industry where the work is carried out. If no established rates or conditions are applicable, the Contractor shall pay rates of wages and observe conditions which are not lower than the general level of wages and conditions observed locally by employers whose trade or industry is similar to that of the Contractor.

The Contractor shall inform the Contractor's Personnel about:

- (a) any deduction to their payment and the conditions of such deductions in accordance with the applicable laws or as stated in the Employer's Requirements; and
- (b) their liability to pay personal income taxes in the Country in respect of such of their salaries, wages, allowances and any benefits as are subject to tax under the laws of the Country for the time being in force.

The Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such laws.

Where required by applicable Laws or as stated in the Employer's Requirements, the Contractor and its Subcontractors shall provide their personnel written notice of termination of employment and details of severance payments in a timely manner. The Contractor and its Subcontractors shall have paid their personnel (either directly or where appropriate for their benefit) all due wages and entitlements including, as applicable, social security benefits and pension contributions, on or before the end of their engagement/ employment.

#### 22.2.5 Working Hours

No work shall be carried out on the Site on locally recognized days of rest, or outside the normal working hours stated in the PCC, unless:

- (a) otherwise stated in the Contract,
- (b) the Project Manager gives consent, or
- (c) the work is unavoidable, or necessary for the protection of life or property or for the safety of the Facilities, in which case the Contractor shall immediately advise the Project Manager.

If and when the Contractor considers it necessary to carry out work at night or on public holidays so as to meet the Time for Completion and requests the Project Manager's consent thereto, the Project Manager shall not unreasonably withhold such consent.

This Sub-Clause shall not apply to any work which is customarily carried out by rotary or double-shifts.

#### 22.2.6 Facilities for Staff and Labor

Except as otherwise stated in the Employer's Requirements, the Contractor shall provide and maintain all necessary accommodation and welfare facilities for the Contractor's Personnel employed for the execution of the Contract at the Site or other places where the Installation Services are carried out. The Contractor shall also provide facilities for the Employer's Personnel as stated in the Employer's Requirements.

If stated in the Employer's Requirements, the Contractor shall give access to or provide services that accommodate the physical, social and cultural needs of the Contractor's Personnel. The Contractor shall also provide similar facilities for the Employer's Personnel as stated in the Employer's Requirements.

The Contractor shall not permit any of the Contractor's Personnel to maintain any temporary or permanent living quarters within the structures forming part of the Facilities.

#### 22.2.7 Health and Safety

The Contractor shall at all times take all reasonable precautions to maintain the health and safety of the Contractor's Personnel employed for the execution of Installation Services at the Site (or other places in the country where the Site is located).

Subject to GCC Sub-Clause 9.9, the Contractor shall submit to the Project Manager for its approval a health and safety manual which sets out all the health and safety requirements under the Contract.

The health and safety manual shall be in addition to any other similar document required under applicable health and safety regulations and Laws.

The Contractor shall:

- (a) comply with all applicable health and safety regulations and laws;
- (b) comply with all applicable health and safety obligations specified in the Contract;
- (c) develop and implement procedures to establish and maintain a safe working environment without risk to health at all workplaces, machinery, equipment and processes under the control of the Contractor, including control measures for chemical, physical and biological substances and agents;
- (d) provide health and safety training of the Contractor's Personnel as appropriate and maintain training records;
- (e) actively engage the Contractor's Personnel in promoting understanding, and methods for, implementation of health and safety requirements, as well as in providing information to such personnel, and provision of personal protective equipment without expense to the personnel;
- (f) put in place workplace processes for the Contractor's Personnel to report work situations that they believe are not safe or healthy, and to remove themselves from a work situation which they have reasonable justification to believe presents an imminent and serious danger to their life or health; Contractor's Personnel who remove themselves from such work situations shall not be required to return to work until necessary remedial action to correct the situation has been taken. Such personnel shall not be retaliated against or otherwise subject to reprisal or negative action for such reporting or removal;
- (g) in collaboration with local health authorities, ensure that medical staff, first aid facilities, sick bay and ambulance service are available at all times at the Site and at any accommodation for Contractor's and Employer's Personnel;
- (h) appoint an accident prevention officer at the Site, responsible for maintaining safety and protection against accidents. This person shall be qualified for this responsibility and shall have the authority to issue

instructions and take protective measures to prevent accidents. Throughout the performance of the Contract, the Contractor shall provide whatever is required by this person to exercise this responsibility and authority;

- (i) put in place measures to avoid or minimize the potential for community exposure to water-borne, water-based, water-related, and vector-borne diseases;
- (j) put in place measures to be implemented to avoid or minimize the spread of communicable diseases (including transfer of Sexually Transmitted Diseases or Infections (STDs), such as HIV virus) and non-communicable diseases associated with the Installation Services, taking into consideration differentiated exposure to and higher sensitivity of vulnerable groups. This includes taking measures to avoid or minimize the transmission of communicable diseases that may be associated with the influx of temporary or permanent Contract-related labor;
- (k) have in place procedures for prevention, preparedness and response activities to be implemented in the case of an emergency event (i.e. an unanticipated incident, arising from both natural and man-made hazards, typically in the form of fire, explosions, leaks or spills, which may occur for a variety of different reasons including failure to implement operating procedures that are designed to prevent their occurrence, extreme weather or lack of early warning);
- (l) collaborate, as applicable, with the Employer's Personnel, any other contractors employed by the Employer, and/or personnel of any legally constituted public authorities and private utility companies that are employed in carrying out, on or near the site, of any work not included in the Contract, in applying the health and safety requirements. This is without prejudice to the responsibility of the relevant entities for the health and safety of their own personnel; and
- (m) put in place a system for regular review of health and safety performance and the working environment.

#### 22.2.8 Funeral Arrangements

In the event of the death of any of the Contractor's Personnel or accompanying members of their families, the Contractor shall be responsible for making the appropriate arrangements for their return or burial, unless otherwise specified in the PCC.

#### 22.2.9 Records of Contractor's Personnel

The Contractor shall keep accurate records of the Contractor's Personnel, including the number of each class of Contractor's personnel on the Site and the names, ages, genders, hours worked and wages paid to all workers. These records shall be summarized on a monthly basis in a form approved by the Project Manager and shall be available for inspection by the Project Manager until the Contractor has completed all work.

#### 22.2.10 Supply of Foodstuffs

The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Employer's Requirements at reasonable prices for the Contractor's personnel for the purposes of or in connection with the Contract.

#### 22.2.11 Supply of Water

The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's personnel.

#### 22.2.12 Measures against Insect and Pest Nuisance

The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce their danger to health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.

#### 22.2.13 Alcoholic Liquor or Drugs

The Contractor shall not, otherwise than in accordance with the laws of the Country, import, sell, give barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift barter or disposal by Contractor's Personnel.

#### 22.2.14 Arms and Ammunition

The Contractor shall not give, barter, or otherwise dispose of, to any person, any arms or ammunition of any kind, or allow Contractor's Personnel to do so.

#### 22.2.15 Workers' Organizations

In countries where the relevant labor laws recognize workers' rights to form and to join workers' organizations of their choosing and to bargain collectively without interference, the

Contractor shall comply with such laws. In such circumstances, the role of legally established workers' organizations and legitimate workers' representatives will be respected, and they will be provided with information needed for meaningful negotiation in a timely manner. Where the relevant labor laws substantially restrict workers' organizations, the Contractor shall enable alternative means for the Contractor's Personnel to express their grievances and protect their rights regarding working conditions and terms of employment. The Contractor shall not seek to influence or control these alternative means. The Contractor shall not discriminate or retaliate against the Contractor's Personnel who participate, or seek to participate, in such organizations and collective bargaining or alternative mechanisms. Workers' organizations are expected to fairly represent the workers in the workforce.

#### 22.2.16 Non-Discrimination and Equal Opportunity

The Contractor shall not make decisions relating to the employment or treatment of Contractor's Personnel on the basis of personal characteristics unrelated to inherent job requirements. The Contractor shall base the employment of Contractor's Personnel on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Contractor shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with GCC Sub-Clause 9.13).

#### 22.2.17 Contractor's Personnel Grievance Mechanism

The Contractor shall have a grievance mechanism for the Contractor's Personnel, and where relevant the workers' organizations stated in subclause 22.2.15, to raise workplace concerns. The grievance mechanism shall be proportionate to the nature, scale, risks and impacts of the Contract. The mechanism shall address concerns promptly, using an

understandable and transparent process that provides timely feedback to those concerned in a language they understand, without any retribution, and shall operate in an independent and objective manner.

The Contractor's Personnel shall be informed of the grievance mechanism at the time of engagement for the Contract, and the measures put in place to protect them against any reprisal for its use. Measures will be put in place to make the grievance mechanism easily accessible to all Contractor's Personnel.

The grievance mechanism shall not impede access to other judicial or administrative remedies that might be available, or substitute for grievance mechanisms provided through collective agreements.

The grievance mechanism may utilize existing grievance mechanisms, providing that they are properly designed and implemented, address concerns promptly, and are readily accessible to such project workers. Existing grievance mechanisms may be supplemented as needed with Contract-specific arrangements.

### 22.3 Contractor's Equipment

22.3.1 All Contractor's Equipment brought by the Contractor onto the Site shall be deemed to be intended to be used exclusively for the execution of the Contract. The Contractor shall not remove the same from the Site without the Project Manager's consent that such Contractor's Equipment is no longer required for the execution of the Contract.

22.3.2 Unless otherwise specified in the Contract, upon completion of the Facilities, the Contractor shall remove from the Site all Equipment brought by the Contractor onto the Site and any surplus materials remaining thereon.

22.3.3 The Employer will, if requested, use its best endeavors to assist the Contractor in obtaining any local, state or national government permission required by the Contractor for the export of the Contractor's Equipment imported by the Contractor for use in the execution of the Contract that is no longer required for the execution of the Contract.

### 22.4 Site Regulations

The Employer and the Contractor shall establish Site regulations setting out the rules to be observed in the execution of the Contract at the Site and shall comply therewith. The Contractor shall prepare and submit to



the Project Manager with a copy to the Employer, proposed Site regulations for the Project Manager's approval, which approval shall not be unreasonably withheld.

Such Site regulations shall include, but shall not be limited to, Code of Conduct for environmental and social aspects submitted as part of the Bid and agreed to by the Employer, , security arrangements in accordance with GCC Sub-Clause 22.8, safety of the Facilities, gate control, sanitation, medical care, and fire prevention.

The Contractor shall take all necessary measures to ensure that each Contractor's Personnel, employed for the execution of the Contract at the Site or other places where the Installation Services are carried out, is made aware of the Code of Conduct including specific behaviors that are prohibited, and understands the consequences of engaging in such prohibited behaviors.

These measures include providing instructions and documentation that can be understood by the Contractor's Personnel and seeking to obtain that person's signature acknowledging receipt of such instructions and/or documentation, as appropriate.

The Contractor shall also ensure that the Code of Conduct is visibly displayed in multiple locations on the Site and any other place where the Installation Services will be carried out, as well as in areas outside the Site accessible to the local community and project affected people. The posted Code of Conduct shall be provided in languages comprehensible to Contractor's Personnel, Employer's Personnel and the local community.

The Contractor's Management Strategy and Implementation Plans shall include appropriate processes for the Contractor to verify compliance with these obligations.

## 22.5 Opportunities for Other Contractors

22.5.1 The Contractor shall, upon written request from the Employer or the Project Manager, give all reasonable opportunities for carrying out the work to any other contractors employed by the Employer on or near the Site.

22.5.2 If the Contractor, upon written request from the Employer or the Project Manager, makes available to other contractors any roads or ways the maintenance for which the Contractor is responsible, permits the use by such other contractors of the Contractor's Equipment, or provides any other service of whatsoever nature for such other contractors, the Employer shall fully compensate the Contractor for any loss or damage caused or occasioned by such other contractors in respect of

any such use or service, and shall pay to the Contractor reasonable remuneration for the use of such equipment or the provision of such services.

22.5.3 The Contractor shall also so arrange to perform its work as to minimize, to the extent possible, interference with the work of other contractors. The Project Manager shall determine the resolution of any difference or conflict that may arise between the Contractor and other contractors and the workers of the Employer in regard to their work.

22.5.4 The Contractor shall notify the Project Manager promptly of any defects in the other contractors' work that come to its notice, and that could affect the Contractor's work. The Project Manager shall determine the corrective measures, if any, required to rectify the situation after inspection of the Facilities. Decisions made by the Project Manager shall be binding on the Contractor.

## 22.6 Emergency Work

If, by reason of an emergency arising in connection with and during the execution of the Contract, any protective or remedial work is necessary as a matter of urgency to prevent damage to the Facilities, the Contractor shall immediately carry out such work.

If the Contractor is unable or unwilling to do such work immediately, the Employer may do or cause such work to be done as the Employer may determine is necessary in order to prevent damage to the Facilities. In such event the Employer shall, as soon as practicable after the occurrence of any such emergency, notify the Contractor in writing of such emergency, the work done and the reasons therefor. If the work done or caused to be done by the Employer is work that the Contractor was liable to do at its own expense under the Contract, the reasonable costs incurred by the Employer in connection therewith shall be paid by the Contractor to the Employer. Otherwise, the cost of such remedial work shall be borne by the Employer.

## 22.7 Site Clearance

22.7.1 Site Clearance in Course of Performance: In the course of carrying out the Contract, the Contractor shall keep the Site reasonably free from all unnecessary obstruction, store or remove any surplus materials, clear away any wreckage, rubbish or temporary works from the Site, and remove any Contractor's Equipment no longer required for execution of the Contract.

22.7.2 Clearance of Site after Completion: After Completion of all parts of the Facilities, the Contractor shall clear away and remove all wreckage, rubbish and debris of any kind from the Site, and shall leave the Site and Facilities in a clean and safe condition.

## 22.8 Security of the Site

The Contractor shall be responsible for the security of the Site including providing and maintaining at its own expense all lighting, fencing, and watching when and where necessary for the proper execution and the protection of the Facilities, or for the safety of the owners and occupiers of adjacent property and for the safety of the public.

If required in the Employer's Requirements, the Contractor shall submit for the Project Manager's No-objection a security management plan that sets the security arrangements for the Site.

In making security arrangements, the Contractor shall be guided by applicable laws and any other requirements stated in the Employer's Requirements.

The Contractor shall (i) conduct appropriate background checks on any personnel retained to provide security; (ii) train the security personnel adequately (or determine that they are properly trained) in the use of force (and where applicable, firearms), and appropriate conduct towards Contractor's Personnel, Employer's personnel and affected communities; and (iii) require the security personnel to act within the applicable Laws and any requirements set out in the Employer's Requirements.

The Contractor shall not permit any use of force by security personnel in providing security except when used for preventive and defensive purposes in proportion to the nature and extent of the threat.

## 22.9 Protection of the Environment

The Contractor shall take all necessary measures to:

- i. protect the environment (both on and off the Site); and
- ii. limit damage and nuisance to people and property resulting from pollution, noise and other results of the Contractor's operations and/ or activities.

The Contractor shall ensure that emissions, surface discharges, effluent and any other pollutants from the Contractor's activities shall exceed neither the values indicated in the Employer's Requirements, nor those prescribed by applicable laws.

In the event of damage to the environment, property and/or nuisance to people, on or off Site as a result of the Contractor's operations, the Contractor shall agree with the Project Manager the appropriate actions and time scale to remedy, as practicable, the damaged environment to its former condition. The Contractor shall implement such remedies at its cost to the satisfaction of the Project Manager.

#### 22.10 Traffic and Road Safety

The Contractor shall take all necessary safety measures to avoid the occurrence of incidents and injuries to any third party associated with the use of Contractor's Equipment on public roads or other public infrastructure.

The Contractor shall monitor and use road safety incidents and accidents reports to identify negative safety issues, and establish and implement necessary measures to resolve them.

#### 22.11 Cultural Heritage Findings

All fossils, coins, articles of value or antiquity, structures, groups of structures, and other remains or items of geological, archaeological, paleontological, historical, architectural, religious interest found on the Site shall be placed under the care and custody of the Employer.

The Contractor shall:

- (a) take all reasonable precautions, including fencing-off the area or site of the finding, to avoid further disturbance and prevent Contractor's Personnel or other persons from removing or damaging any of these findings;
- (b) as soon as practicable after discovery of any such finding, give a notice to the Project Manager, to give the Project Manager the opportunity to promptly inspect and/or investigate the finding before it is disturbed and to issue instructions for dealing with it;
- (c) train relevant Contractor's Personnel on the procedures for handling such findings; and
- (d) implement any other action consistent with the requirements of the Employer's Requirements and relevant laws.

If the Contractor suffers delay and/or incurs extra costs from complying with the Project Manager instructions, the Contractor shall be entitled to an extension of time under GCC Sub-Clause 40.1, and the amount of such extra costs shall be added to the Contract Price.

**23. Test and Inspection**

- 23.1 The Contractor shall at its own expense carry out at the place of manufacture and/or on the Site all such tests and/or inspections of the Plant and any part of the Facilities as are specified in the Contract.
- 23.2 The Employer and the Project Manager or their designated representatives shall be entitled to attend the aforesaid test and/or inspection, provided that the Employer shall bear all costs and expenses incurred in connection with such attendance including, but not limited to, all traveling and board and lodging expenses.
- 23.3 Whenever the Contractor is ready to carry out any such test and/or inspection, the Contractor shall give a reasonable advance notice of such test and/or inspection and of the place and time thereof to the Project Manager. The Contractor shall obtain from any relevant third Party or manufacturer any necessary permission or consent to enable the Employer and the Project Manager or their designated representatives to attend the test and/or inspection.
- 23.4 The Contractor shall provide the Project Manager with a certified report of the results of any such test and/or inspection.
- If the Employer or Project Manager or their designated representatives fails to attend the test and/or inspection, or if it is agreed between the Parties that such persons shall not do so, then the Contractor may proceed with the test and/or inspection in the absence of such persons, and may provide the Project Manager with a certified report of the results thereof.
- 23.5 The Project Manager may require the Contractor to carry out any test and/or inspection not required by the Contract, provided that the Contractor's reasonable costs and expenses incurred in the carrying out of such test and/or inspection shall be added to the Contract Price. Further, if such test and/or inspection impede the progress of work on the Facilities and/or the Contractor's performance of its other obligations under the Contract, due allowance will be made in respect of the Time for Completion and the other obligations so affected.
- 23.6 If any Plant or any part of the Facilities fails to pass any test and/or inspection, the Contractor shall either rectify or replace such Plant or part of the Facilities and shall repeat the test and/or inspection upon giving a notice under GCC Sub-Clause 23.3.
- 23.7 If any dispute or difference of opinion shall arise between the Parties in connection with or arising out of the test and/or inspection of the Plant or part of the Facilities that cannot be settled between the Parties within a reasonable period of time, it may be referred to a Dispute Board for determination in accordance with GCC Sub-Clause 46.3.

- 23.8 The Contractor shall afford the Employer and the Project Manager, at the Employer's expense, access at any reasonable time to any place where the Plant are being manufactured or the Facilities are being installed, in order to inspect the progress and the manner of manufacture or installation, provided that the Project Manager shall give the Contractor a reasonable prior notice. Without prejudice to GCC Sub-Clause 9.7, as instructed by the Project Manager, the Contractor shall also afford other relevant entities (at the Employer's or their respective entities' expense, as appropriate) access to the Facilities, to inspect progress and the manner of the execution of the Facilities, carry out environmental and social audit, as appropriate, or carry out any other duty as stated in the Employer's Requirements or as instructed by the Project Manager.
- 23.9 The Contractor agrees that neither the execution of a test and/or inspection of Plant or any part of the Facilities, nor the attendance by the Employer or the Project Manager, nor the issue of any test certificate pursuant to GCC Sub-Clause 23.4, shall release the Contractor from any other responsibilities under the Contract.
- 23.10 No part of the Facilities or foundations shall be covered up on the Site without the Contractor carrying out any test and/or inspection required under the Contract. The Contractor shall give a reasonable notice to the Project Manager whenever any such parts of the Facilities or foundations are ready or about to be ready for test and/or inspection; such test and/or inspection and notice thereof shall be subject to the requirements of the Contract.
- 23.11 The Contractor shall uncover any part of the Facilities or foundations, or shall make openings in or through the same as the Project Manager may from time to time require at the Site, and shall reinstate and make good such part or parts.

If any parts of the Facilities or foundations have been covered up at the Site after compliance with the requirement of GCC Sub-Clause 23.10 and are found to be executed in accordance with the Contract, the expenses of uncovering, making openings in or through, reinstating, and making good the same shall be borne by the Employer, and the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been delayed or impeded in the performance of any of its obligations under the Contract.

- 24. Completion of the Facilities**
- 24.1 As soon as the Facilities or any part thereof has, in the opinion of the Contractor, been completed operationally and structurally and put in a tight and clean condition as specified in the Employer's Requirements,

excluding minor items not materially affecting the operation or safety of the Facilities, the Contractor shall so notify the Employer in writing.

- 24.2 Within seven (7) days after receipt of the notice from the Contractor under GCC Sub-Clause 24.1, the Employer shall supply the operating and maintenance personnel specified in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer for Precommissioning of the Facilities or any part thereof.

Pursuant to the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, the Employer shall also provide, within the said seven (7) day period, the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Precommissioning of the Facilities or any part thereof.

- 24.3 As soon as reasonably practicable after the operating and maintenance personnel have been supplied by the Employer and the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters have been provided by the Employer in accordance with GCC Sub-Clause 24.2, the Contractor shall commence Precommissioning of the Facilities or the relevant part thereof in preparation for Commissioning, subject to GCC Sub-Clause 25.5.

- 24.4 As soon as all works in respect of Precommissioning are completed and, in the opinion of the Contractor, the Facilities or any part thereof is ready for Commissioning, the Contractor shall so notify the Project Manager in writing.

- 24.5 The Project Manager shall, within fourteen (14) days after receipt of the Contractor's notice under GCC Sub-Clause 24.4, either issue a Completion Certificate in the form specified in the Employer's Requirements (Forms and Procedures), stating that the Facilities or that part thereof have reached Completion as of the date of the Contractor's notice under GCC Sub-Clause 24.4, or notify the Contractor in writing of any defects and/or deficiencies.

If the Project Manager notifies the Contractor of any defects and/or deficiencies, the Contractor shall then correct such defects and/or deficiencies, and shall repeat the procedure described in GCC Sub-Clause 24.4.

If the Project Manager is satisfied that the Facilities or that part thereof have reached Completion, the Project Manager shall, within seven (7) days after receipt of the Contractor's repeated notice, issue a Completion Certificate stating that the Facilities or that part thereof have reached Completion as of the date of the Contractor's repeated notice.

If the Project Manager is not so satisfied, then it shall notify the Contractor in writing of any defects and/or deficiencies within seven (7) days after receipt of the Contractor's repeated notice, and the above procedure shall be repeated.

- 24.6 If the Project Manager fails to issue the Completion Certificate and fails to inform the Contractor of any defects and/or deficiencies within fourteen (14) days after receipt of the Contractor's notice under GCC Sub-Clause 24.4 or within seven (7) days after receipt of the Contractor's repeated notice under GCC Sub-Clause 24.5, or if the Employer makes use of the Facilities or part thereof, then the Facilities or that part thereof shall be deemed to have reached Completion as of the date of the Contractor's notice or repeated notice, or as of the Employer's use of the Facilities, as the case may be.
- 24.7 As soon as possible after Completion, the Contractor shall complete all outstanding minor items so that the Facilities are fully in accordance with the requirements of the Contract, failing which the Employer will undertake such completion and deduct the costs thereof from any monies owing to the Contractor.
- 24.8 Upon Completion, the Employer shall be responsible for the care and custody of the Facilities or the relevant part thereof, together with the risk of loss or damage thereto, and shall thereafter take over the Facilities or the relevant part thereof.

## **25. Commissioning and Operational Acceptance**

### **25.1 Commissioning**

- 25.1.1 Commissioning of the Facilities or any part thereof shall be commenced by the Contractor immediately after issue of the Completion Certificate by the Project Manager, pursuant to GCC Sub-Clause 24.5, or immediately after the date of the deemed Completion, under GCC Sub-Clause 24.6.
- 25.1.2 The Employer shall supply the operating and maintenance personnel and all raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Commissioning.
- 25.1.3 In accordance with the requirements of the Contract, the Contractor's and Project Manager's advisory personnel shall attend the Commissioning, including the Guarantee Test, and shall advise and assist the Employer.

### **25.2 Guarantee Test**

- 25.2.1 Subject to GCC Sub-Clause 25.5, the Guarantee Test and repeats thereof shall be conducted by the Contractor during Commissioning of the Facilities or the relevant part thereof to



ascertain whether the Facilities or the relevant part can attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees. The Employer shall promptly provide the Contractor with such information as the Contractor may reasonably require in relation to the conduct and results of the Guarantee Test and any repeats thereof.

25.2.2 If for reasons not attributable to the Contractor, the Guarantee Test of the Facilities or the relevant part thereof cannot be successfully completed within the period from the date of Completion **specified in the PCC** or any other period agreed upon by the Employer and the Contractor, the Contractor shall be deemed to have fulfilled its obligations with respect to the Functional Guarantees, and GCC Sub-Clauses 28.2 and 28.3 shall not apply.

### 25.3 Operational Acceptance

25.3.1 Subject to GCC Sub-Clause 25.4 below, Operational Acceptance shall occur in respect of the Facilities or any part thereof when

- (a) the Guarantee Test has been successfully completed and the Functional Guarantees are met; or
- (b) the Guarantee Test has not been successfully completed or has not been carried out for reasons not attributable to the Contractor within the period from the date of Completion specified in the PCC pursuant to GCC Sub-Clause 25.2.2 above or any other period agreed upon by the Employer and the Contractor; or
- (c) the Contractor has paid the liquidated damages specified in GCC Sub-Clause 28.3 hereof; and
- (d) any minor items mentioned in GCC Sub-Clause 24.7 hereof relevant to the Facilities or that part thereof have been completed.

25.3.2 At any time after any of the events set out in GCC Sub-Clause 25.3.1 have occurred, the Contractor may give a notice to the Project Manager requesting the issue of an Operational Acceptance Certificate in the form provided in the Employer's Requirements (Forms and Procedures) in respect of the Facilities or the part thereof specified in such notice as of the date of such notice.

25.3.3 The Project Manager shall, after consultation with the Employer, and within seven (7) days after receipt of the

Contractor's notice, issue an Operational Acceptance Certificate.

- 25.3.4 If within seven (7) days after receipt of the Contractor's notice, the Project Manager fails to issue the Operational Acceptance Certificate or fails to inform the Contractor in writing of the justifiable reasons why the Project Manager has not issued the Operational Acceptance Certificate, the Facilities or the relevant part thereof shall be deemed to have been accepted as of the date of the Contractor's said notice.

25.4 Partial Acceptance

- 25.4.1 If the Contract specifies that Completion and Commissioning shall be carried out in respect of parts of the Facilities, the provisions relating to Completion and Commissioning including the Guarantee Test shall apply to each such part of the Facilities individually, and the Operational Acceptance Certificate shall be issued accordingly for each such part of the Facilities.

- 25.4.2 If a part of the Facilities comprises facilities such as buildings, for which no Commissioning or Guarantee Test is required, then the Project Manager shall issue the Operational Acceptance Certificate for such facility when it attains Completion, provided that the Contractor shall thereafter complete any outstanding minor items that are listed in the Operational Acceptance Certificate.

25.5 Delayed Precommissioning and/or Guarantee Test

- 25.5.1 In the event that the Contractor is unable to proceed with the Precommissioning of the Facilities pursuant to Sub-Clause 24.3, or with the Guarantee Test pursuant to Sub-Clause 25.2, for reasons attributable to the Employer either on account of non availability of other facilities under the responsibilities of other contractor(s), or for reasons beyond the Contractor's control, the provisions leading to "deemed" completion of activities such as Completion, pursuant to GCC Sub-Clause 24.6, and Operational Acceptance, pursuant to GCC Sub-Clause 25.3.4, and Contractor's obligations regarding Defect Liability Period, pursuant to GCC Sub-Clause 27.2, Functional Guarantee, pursuant to GCC Clause 28, and Care of Facilities, pursuant to GCC Clause 32, and GCC Clause 41.1, Suspension, shall not apply. In this case, the following provisions shall apply.

- 25.5.2 When the Contractor is notified by the Project Manager that he will be unable to proceed with the activities and obligations

pursuant to above Sub-Clause 25.5.1, the Contractor shall be entitled to the following:

- (a) the Time of Completion shall be extended for the period of suspension without imposition of liquidated damages pursuant to GCC Sub-Clause 26.2;
- (b) payments due to the Contractor in accordance with the provision specified in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, which would not have been payable in normal circumstances due to non-completion of the subject activities, shall be released to the Contractor against submission of a security in the form of a bank guarantee of equivalent amount acceptable to the Employer, and which shall become null and void when the Contractor will have complied with its obligations regarding those payments, subject to the provision of Sub-Clause 25.5.3 below;
- (c) the expenses towards the above security and extension of other securities under the contract, of which validity needs to be extended, shall be reimbursed to the Contractor by the Employer;
- (d) the additional charges towards the care of the Facilities pursuant to GCC Sub-Clause 32.1 shall be reimbursed to the Contractor by the Employer for the period between the notification mentioned above and the notification mentioned in Sub-Clause 25.5.4 below. The provision of GCC Sub-Clause 33.2 shall apply to the Facilities during the same period.

25.5.3 In the event that the period of suspension under above Sub-Clause 25.5.1 actually exceeds one hundred eighty (180) days, the Employer and Contractor shall mutually agree to any additional compensation payable to the Contractor.

25.5.4 When the Contractor is notified by the Project Manager that the Plant is ready for Precommissioning, the Contractor shall proceed without delay in performing Precommissioning in accordance with Clause 24.

## **F. Guarantees and Liabilities**

- 26. Completion Time Guarantee**      26.1 The Contractor guarantees that it shall attain Completion of the Facilities (or a part for which a separate time for completion is specified) within the Time for Completion specified in the PCC

pursuant to GCC Sub-Clause 8.2, or within such extended time to which the Contractor shall be entitled under GCC Clause 40 hereof.

- 26.2 If the Contractor fails to attain Completion of the Facilities or any part thereof within the Time for Completion or any extension thereof under GCC Clause 40, the Contractor shall pay to the Employer liquidated damages in the amount **specified in the PCC** as a percentage rate of the Contract Price or the relevant part thereof. The aggregate amount of such liquidated damages shall in no event exceed the amount **specified as “Maximum” in the PCC** as a percentage rate of the Contract Price. Once the “Maximum” is reached, the Employer may consider termination of the Contract, pursuant to GCC Sub-Clause 42.2.2.

Such payment shall completely satisfy the Contractor’s obligation to attain Completion of the Facilities or the relevant part thereof within the Time for Completion or any extension thereof under GCC Clause 40. The Contractor shall have no further liability whatsoever to the Employer in respect thereof.

However, the payment of liquidated damages shall not in any way relieve the Contractor from any of its obligations to complete the Facilities or from any other obligations and liabilities of the Contractor under the Contract.

Save for liquidated damages payable under this GCC Sub-Clause 26.2, the failure by the Contractor to attain any milestone or other act, matter or thing by any date specified in the Appendix to the Contract Agreement titled Time Schedule, and/or other program of work prepared pursuant to GCC Sub-Clause 18.2 shall not render the Contractor liable for any loss or damage thereby suffered by the Employer.

- 26.3 If the Contractor attains Completion of the Facilities or any part thereof before the Time for Completion or any extension thereof under GCC Clause 40, the Employer shall pay to the Contractor a bonus in the amount **specified in the PCC**. The aggregate amount of such bonus shall in no event exceed the amount **specified as “Maximum” in the PCC**.

## 27. Defect Liability

- 27.1 The Contractor warrants that the Facilities or any part thereof shall be free from defects in the design, engineering, materials and workmanship of the Plant supplied and of the work executed.
- 27.2 The Defect Liability Period shall be five hundred and forty (540) days from the date of Completion of the Facilities (or any part thereof) or one year from the date of Operational Acceptance of the Facilities

(or any part thereof), whichever first occurs, unless specified otherwise in the PCC pursuant to GCC Sub-Clause 27.10.

If during the Defect Liability Period any defect should be found in the design, engineering, materials and workmanship of the Plant supplied or of the work executed by the Contractor, the Contractor shall promptly, in consultation and agreement with the Employer regarding appropriate remedying of the defects, and at its cost, repair, replace or otherwise make good as the Contractor shall determine at its discretion, such defect as well as any damage to the Facilities caused by such defect. The Contractor shall not be responsible for the repair, replacement or making good of any defect or of any damage to the Facilities arising out of or resulting from any of the following causes:

- (a) improper operation or maintenance of the Facilities by the Employer;
- (b) operation of the Facilities outside specifications provided in the Contract; or
- (c) normal wear and tear.

27.3 The Contractor's obligations under this GCC Clause 27 shall not apply to:

- (a) any materials that are supplied by the Employer under GCC Sub-Clause 21.2, are normally consumed in operation, or have a normal life shorter than the Defect Liability Period stated herein;
- (b) any designs, specifications or other data designed, supplied or specified by or on behalf of the Employer or any matters for which the Contractor has disclaimed responsibility herein; or
- (c) any other materials supplied or any other work executed by or on behalf of the Employer, except for the work executed by the Employer under GCC Sub-Clause 27.7.

27.4 The Employer shall give the Contractor a notice stating the nature of any such defect together with all available evidence thereof, promptly following the discovery thereof. The Employer shall afford all reasonable opportunity for the Contractor to inspect any such defect.

27.5 The Employer shall afford the Contractor all necessary access to the Facilities and the Site to enable the Contractor to perform its obligations under this GCC Clause 27.

The Contractor may, with the consent of the Employer, remove from the Site any Plant or any part of the Facilities that are defective if the nature of the defect, and/or any damage to the Facilities caused by the defect, is such that repairs cannot be expeditiously carried out at the Site.

- 27.6 If the repair, replacement or making good is of such a character that it may affect the efficiency of the Facilities or any part thereof, the Employer may give to the Contractor a notice requiring that tests of the defective part of the Facilities shall be made by the Contractor immediately upon completion of such remedial work, whereupon the Contractor shall carry out such tests.

If such part fails the tests, the Contractor shall carry out further repair, replacement or making good, as the case may be, until that part of the Facilities passes such tests. The tests shall be agreed upon by the Employer and the Contractor.

- 27.7 If the Contractor fails to commence the work necessary to remedy such defect or any damage to the Facilities caused by such defect within a reasonable time (which shall in no event be considered to be less than fifteen (15) days), the Employer may, following notice to the Contractor, proceed to do such work, and the reasonable costs incurred by the Employer in connection therewith shall be paid to the Employer by the Contractor or may be deducted by the Employer from any monies due the Contractor or claimed under the Performance Security.
- 27.8 If the Facilities or any part thereof cannot be used by reason of such defect and/or making good of such defect, the Defect Liability Period of the Facilities or such part, as the case may be, shall be extended by a period equal to the period during which the Facilities or such part cannot be used by the Employer because of any of the aforesaid reasons.
- 27.9 Except as provided in GCC Clauses 27 and 33, the Contractor shall be under no liability whatsoever and howsoever arising, and whether under the Contract or at law, in respect of defects in the Facilities or any part thereof, the Plant, design or engineering or work executed that appear after Completion of the Facilities or any part thereof, except where such defects are the result of the gross negligence, fraud, or criminal or willful action of the Contractor.
- 27.10 In addition, any such component of the Facilities, and during the period of time as may be **specified in the PCC**, shall be subject to an extended defect liability period. Such obligation of the Contractor

shall be in addition to the defect liability period specified under GCC Sub-Clause 27.2.

**28. Functional Guarantees**

- 28.1 The Contractor guarantees that during the Guarantee Test, the Facilities and all parts thereof shall attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, subject to and upon the conditions therein specified.
- 28.2 If, for reasons attributable to the Contractor, the minimum level of the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, are not met either in whole or in part, the Contractor shall at its cost and expense make such changes, modifications and/or additions to the Plant or any part thereof as may be necessary to meet at least the minimum level of such Guarantees. The Contractor shall notify the Employer upon completion of the necessary changes, modifications and/or additions, and shall request the Employer to repeat the Guarantee Test until the minimum level of the Guarantees has been met. If the Contractor eventually fails to meet the minimum level of Functional Guarantees, the Employer may consider termination of the Contract, pursuant to GCC Sub-Clause 42.2.2.
- 28.3 If, for reasons attributable to the Contractor, the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, are not attained either in whole or in part, but the minimum level of the Functional Guarantees specified in the said Appendix to the Contract Agreement is met, the Contractor shall, at the Contractor's option, either
- (a) make such changes, modifications and/or additions to the Facilities or any part thereof that are necessary to attain the Functional Guarantees at its cost and expense, and shall request the Employer to repeat the Guarantee Test or
  - (b) pay liquidated damages to the Employer in respect of the failure to meet the Functional Guarantees in accordance with the provisions in the Appendix to the Contract Agreement titled Functional Guarantees.
- 28.4 The payment of liquidated damages under GCC Sub-Clause 28.3, up to the limitation of liability specified in the Appendix to the Contract Agreement titled Functional Guarantees, shall completely satisfy the Contractor's guarantees under GCC Sub-Clause 28.3, and the Contractor shall have no further liability whatsoever to the Employer in respect thereof. Upon the payment of such liquidated damages by the Contractor, the Project Manager shall issue the

Operational Acceptance Certificate for the Facilities or any part thereof in respect of which the liquidated damages have been so paid.

- 29. Patent Indemnity**    29.1 The Contractor shall, subject to the Employer's compliance with GCC Sub-Clause 29.2, indemnify and hold harmless the Employer and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Employer may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract by reason of: (a) the installation of the Facilities by the Contractor or the use of the Facilities in the country where the Site is located; and (b) the sale of the products produced by the Facilities in any country.

Such indemnity shall not cover any use of the Facilities or any part thereof other than for the purpose indicated by or to be reasonably inferred from the Contract, any infringement resulting from the use of the Facilities or any part thereof, or any products produced thereby in association or combination with any other equipment, Plant or materials not supplied by the Contractor, pursuant to the Contract Agreement.

- 29.2 If any proceedings are brought or any claim is made against the Employer arising out of the matters referred to in GCC Sub-Clause 29.1, the Employer shall promptly give the Contractor a notice thereof, and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defense of any such proceedings or claim.

The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.



29.3 The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Contractor may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract arising out of or in connection with any design, data, drawing, specification, or other documents or materials provided or designed by or on behalf of the Employer.

**30. Limitation of Liability**

- 30.1 Except in cases of criminal negligence or willful misconduct,
- (a) neither Party shall be liable to the other Party, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, which may be suffered by the other Party in connection with the Contract, other than specifically provided as any obligation of the Party in the Contract, and
  - (b) the aggregate liability of the Contractor to the Employer, whether under the Contract, in tort or otherwise, shall not exceed the amount resulting from the application of the multiplier specified in the PCC, to the Contract Price or, if a multiplier is not so specified, the total Contract Price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment, or to any obligation of the Contractor to indemnify the Employer with respect to patent infringement.

**G. Risk Distribution**

**31. Transfer of Ownership**

- 31.1 Ownership of the Plant (including spare parts) to be imported into the country where the Site is located shall be transferred to the Employer upon loading on to the mode of transport to be used to convey the Plant from the country of origin to that country.
- 31.2 Ownership of the Plant (including spare parts) procured in the country where the Site is located shall be transferred to the Employer when the Plant are brought on to the Site.
- 31.3 Ownership of the Contractor's Equipment used by the Contractor and its Subcontractors in connection with the Contract shall remain with the Contractor or its Subcontractors.

- 31.4 Ownership of any Plant in excess of the requirements for the Facilities shall revert to the Contractor upon Completion of the Facilities or at such earlier time when the Employer and the Contractor agree that the Plant in question are no longer required for the Facilities.
- 31.5 Notwithstanding the transfer of ownership of the Plant, the responsibility for care and custody thereof together with the risk of loss or damage thereto shall remain with the Contractor pursuant to GCC Clause 32 (Care of Facilities) hereof until Completion of the Facilities or the part thereof in which such Plant are incorporated.
- 32. Care of Facilities**
- 32.1 The Contractor shall be responsible for the care and custody of the Facilities or any part thereof until the date of Completion of the Facilities pursuant to GCC Clause 24 or, where the Contract provides for Completion of the Facilities in parts, until the date of Completion of the relevant part, and shall make good at its own cost any loss or damage that may occur to the Facilities or the relevant part thereof from any cause whatsoever during such period. The Contractor shall also be responsible for any loss or damage to the Facilities caused by the Contractor or its Subcontractors in the course of any work carried out, pursuant to GCC Clause 27. Notwithstanding the foregoing, the Contractor shall not be liable for any loss or damage to the Facilities or that part thereof caused by reason of any of the matters specified or referred to in paragraphs (a), (b) and (c) of GCC Sub-Clauses 32.2 and 38.1.
- 32.2 If any loss or damage occurs to the Facilities or any part thereof or to the Contractor's temporary facilities by reason of
- (a) insofar as they relate to the country where the Site is located, nuclear reaction, nuclear radiation, radioactive contamination, pressure wave caused by aircraft or other aerial objects, or any other occurrences that an experienced contractor could not reasonably foresee, or if reasonably foreseeable could not reasonably make provision for or insure against, insofar as such risks are not normally insurable on the insurance market and are mentioned in the general exclusions of the policy of insurance, including War Risks and Political Risks, taken out under GCC Clause 34 hereof; or
  - (b) any use or occupation by the Employer or any third Party other than a Subcontractor, authorized by the Employer of any part of the Facilities; or
  - (c) any use of or reliance upon any design, data or specification provided or designated by or on behalf of the Employer, or any

such matter for which the Contractor has disclaimed responsibility herein,

the Employer shall pay to the Contractor all sums payable in respect of the Facilities executed, notwithstanding that the same be lost, destroyed or damaged, and will pay to the Contractor the replacement value of all temporary facilities and all parts thereof lost, destroyed or damaged. If the Employer requests the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Contractor shall make good the same at the cost of the Employer in accordance with GCC Clause 39. If the Employer does not request the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Employer shall either request a change in accordance with GCC Clause 39, excluding the performance of that part of the Facilities thereby lost, destroyed or damaged, or, where the loss or damage affects a substantial part of the Facilities, the Employer shall terminate the Contract pursuant to GCC Sub-Clause 42.1 hereof.

32.3 The Contractor shall be liable for any loss of or damage to any Contractor's Equipment, or any other property of the Contractor used or intended to be used for purposes of the Facilities, except (i) as mentioned in GCC Sub-Clause 32.2 with respect to the Contractor's temporary facilities, and (ii) where such loss or damage arises by reason of any of the matters specified in GCC Sub-Clauses 32.2 (b) and (c) and 38.1.

32.4 With respect to any loss or damage caused to the Facilities or any part thereof or to the Contractor's Equipment by reason of any of the matters specified in GCC Sub-Clause 38.1, the provisions of GCC Sub-Clause 38.3 shall apply.

**33. Loss of or  
Damage to  
Property;  
Accident or  
Injury to  
Workers;  
Indemnification**

33.1 Subject to GCC Sub-Clause 33.3, the Contractor shall indemnify and hold harmless the Employer and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, in respect of the death or injury of any person or loss of or damage to any property other than the Facilities whether accepted or not, arising in connection with the supply and installation of the Facilities and by reason of the negligence of the Contractor or its Subcontractors, or their employees, officers or agents, except any injury, death or property damage caused by the negligence of the Employer, its contractors, employees, officers or agents.

33.2 If any proceedings are brought or any claim is made against the Employer that might subject the Contractor to liability under GCC

Sub-Clause 33.1, the Employer shall promptly give the Contractor a notice thereof and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defense of any such proceedings or claim.

The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.

- 33.3 The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from any liability for loss of or damage to property of the Employer, other than the Facilities not yet taken over, that is caused by fire, explosion or any other perils, in excess of the amount recoverable from insurances procured under GCC Clause 34, provided that such fire, explosion or other perils were not caused by any act or failure of the Contractor.
- 33.4 The Party entitled to the benefit of an indemnity under this GCC Clause 33 shall take all reasonable measures to mitigate any loss or damage which has occurred. If the Party fails to take such measures, the other Party's liabilities shall be correspondingly reduced.

#### **34. Insurance**

- 34.1 To the extent specified in the Appendix to the Contract Agreement titled Insurance Requirements, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurances set forth below in the sums and with the deductibles and other conditions specified in the said Appendix. The identity of the insurers and the form of the policies shall be subject to the approval of the Employer, who should not unreasonably withhold such approval.

(a) Cargo Insurance During Transport

Covering loss or damage occurring while in transit from the Contractor's or Subcontractor's works or stores until arrival at the Site, to the Plant (including spare parts therefor) and to the Contractor's Equipment.

(b) Installation All Risks Insurance

Covering physical loss or damage to the Facilities at the Site, occurring prior to Completion of the Facilities, with an extended maintenance coverage for the Contractor's liability in respect of any loss or damage occurring during the Defect Liability Period while the Contractor is on the Site for the purpose of performing its obligations during the Defect Liability Period.

(c) Third Party Liability Insurance

Covering bodily injury or death suffered by third Parties including the Employer's personnel, and loss of or damage to property occurring in connection with the supply and installation of the Facilities.

(d) Automobile Liability Insurance

Covering use of all vehicles used by the Contractor or its Subcontractors, whether or not owned by them, in connection with the execution of the Contract.

(e) Workers' Compensation

In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed.

(f) Employer's Liability

In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed.

(g) Other Insurances

Such other insurances as may be specifically agreed upon by the Parties hereto as listed in the Appendix to the Contract Agreement titled Insurance Requirements.

34.2 The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GCC Sub-Clause 34.1, except for the Third Party Liability, Workers' Compensation and Employer's Liability Insurances, and the Contractor's Subcontractors shall be named as co-insureds under all insurance policies taken out by the Contractor pursuant to GCC Sub-Clause 34.1 except for the Cargo Insurance During Transport, Workers' Compensation and Employer's Liability Insurances. All insurer's rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.

- 34.3 The Contractor shall, in accordance with the provisions of the Appendix to the Contract Agreement titled Insurance Requirements, deliver to the Employer certificates of insurance or copies of the insurance policies as evidence that the required policies are in full force and effect. The certificates shall provide that no less than twenty-one (21) days' notice shall be given to the Employer by insurers prior to cancellation or material modification of a policy.
- 34.4 The Contractor shall ensure that, where applicable, its Subcontractor(s) shall take out and maintain in effect adequate insurance policies for their personnel and vehicles and for work executed by them under the Contract, unless such Subcontractors are covered by the policies taken out by the Contractor.
- 34.5 The Employer shall at its expense take out and maintain in effect during the performance of the Contract those insurances specified in the Appendix to the Contract Agreement titled Insurance Requirements, in the sums and with the deductibles and other conditions specified in the said Appendix. The Contractor and the Contractor's Subcontractors shall be named as co-insureds under all such policies. All insurers' rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies. The Employer shall deliver to the Contractor satisfactory evidence that the required insurances are in full force and effect. The policies shall provide that not less than twenty-one (21) days' notice shall be given to the Contractor by all insurers prior to any cancellation or material modification of the policies. If so requested by the Contractor, the Employer shall provide copies of the policies taken out by the Employer under this GCC Sub-Clause 34.5.
- 34.6 If the Contractor fails to take out and/or maintain in effect the insurances referred to in GCC Sub-Clause 34.1, the Employer may take out and maintain in effect any such insurances and may from time to time deduct from any amount due the Contractor under the Contract any premium that the Employer shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Contractor. If the Employer fails to take out and/or maintain in effect the insurances referred to in GCC 34.5, the Contractor may take out and maintain in effect any such insurances and may from time to time deduct from any amount due the Employer under the Contract any premium that the Contractor shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Employer. If the Contractor fails to or is unable to take out and maintain in effect any such insurances, the Contractor shall nevertheless have no liability or responsibility towards the Employer,

and the Contractor shall have full recourse against the Employer for any and all liabilities of the Employer herein.

34.7 Unless otherwise provided in the Contract, the Contractor shall prepare and conduct all and any claims made under the policies effected by it pursuant to this GCC Clause 34, and all monies payable by any insurers shall be paid to the Contractor. The Employer shall give to the Contractor all such reasonable assistance as may be required by the Contractor. With respect to insurance claims in which the Employer's interest is involved, the Contractor shall not give any release or make any compromise with the insurer without the prior written consent of the Employer. With respect to insurance claims in which the Contractor's interest is involved, the Employer shall not give any release or make any compromise with the insurer without the prior written consent of the Contractor.

**35. Unforeseen  
Conditions**

35.1 If, during the execution of the Contract, the Contractor shall encounter on the Site any physical conditions other than climatic conditions, or artificial obstructions that could not have been reasonably foreseen prior to the date of the Contract Agreement by an experienced contractor on the basis of reasonable examination of the data relating to the Facilities including any data as to boring tests, provided by the Employer, and on the basis of information that it could have obtained from a visual inspection of the Site if access thereto was available, or other data readily available to it relating to the Facilities, and if the Contractor determines that it will in consequence of such conditions or obstructions incur additional cost and expense or require additional time to perform its obligations under the Contract that would not have been required if such physical conditions or artificial obstructions had not been encountered, the Contractor shall promptly, and before performing additional work or using additional Plant or Contractor's Equipment, notify the Project Manager in writing of

- (a) the physical conditions or artificial obstructions on the Site that could not have been reasonably foreseen;
- (b) the additional work and/or Plant and/or Contractor's Equipment required, including the steps which the Contractor will or proposes to take to overcome such conditions or obstructions;
- (c) the extent of the anticipated delay; and
- (d) the additional cost and expense that the Contractor is likely to incur.

On receiving any notice from the Contractor under this GCC Sub-Clause 35.1, the Project Manager shall promptly consult with the Employer and Contractor and decide upon the actions to be taken to overcome the physical conditions or artificial obstructions encountered. Following such consultations, the Project Manager shall instruct the Contractor, with a copy to the Employer, of the actions to be taken.

- 35.2 Any reasonable additional cost and expense incurred by the Contractor in following the instructions from the Project Manager to overcome such physical conditions or artificial obstructions referred to in GCC Sub-Clause 35.1 shall be paid by the Employer to the Contractor as an addition to the Contract Price.

If the Contractor is delayed or impeded in the performance of the Contract because of any such physical conditions or artificial obstructions referred to in GCC Sub-Clause 35.1, the Time for Completion shall be extended in accordance with GCC Clause 40.

**36. Change in Laws and Regulations**

- 36.1 If, after the date twenty-eight (28) days prior to the date of Bid submission, in the country where the Site is located, any law, regulation, ordinance, order or by-law having the force of law is enacted, promulgated, abrogated or changed which shall be deemed to include any change in interpretation or application by the competent authorities, that subsequently affects the costs and expenses of the Contractor and/or the Time for Completion, the Contract Price shall be correspondingly increased or decreased, and/or the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been affected in the performance of any of its obligations under the Contract. Notwithstanding the foregoing, such additional or reduced costs shall not be separately paid or credited if the same has already been accounted for in the price adjustment provisions where applicable, in accordance with the PCC pursuant to GCC Sub-Clause 11.2.

**37. Force Majeure**

- 37.1 “Force Majeure” shall mean any event beyond the reasonable control of the Employer or of the Contractor, as the case may be, and which is unavoidable notwithstanding the reasonable care of the Party affected, and shall include, without limitation, the following:
- (a) war, hostilities or warlike operations whether a state of war be declared or not, invasion, act of foreign enemy and civil war
  - (b) rebellion, revolution, insurrection, mutiny, usurpation of civil or military government, conspiracy, riot, civil commotion and terrorist acts



- (c) confiscation, nationalization, mobilization, commandeering or requisition by or under the order of any government or de jure or de facto authority or ruler or any other act or failure to act of any local state or national government authority
  - (d) strike, sabotage, lockout, embargo, import restriction, port congestion, lack of usual means of public transportation and communication, industrial dispute, shipwreck, shortage or restriction of power supply, epidemics, quarantine and plague
  - (e) earthquake, landslide, volcanic activity, fire, flood or inundation, tidal wave, typhoon or cyclone, hurricane, storm, lightning, or other inclement weather condition, nuclear and pressure waves or other natural or physical disaster
  - (f) shortage of labor, materials or utilities where caused by circumstances that are themselves Force Majeure.
- 37.2 If either Party is prevented, hindered or delayed from or in performing any of its obligations under the Contract by an event of Force Majeure, then it shall notify the other in writing of the occurrence of such event and the circumstances thereof within fourteen (14) days after the occurrence of such event.
- 37.3 The Party who has given such notice shall be excused from the performance or punctual performance of its obligations under the Contract for so long as the relevant event of Force Majeure continues and to the extent that such Party's performance is prevented, hindered or delayed. The Time for Completion shall be extended in accordance with GCC Clause 40.
- 37.4 The Party or Parties affected by the event of Force Majeure shall use reasonable efforts to mitigate the effect thereof upon its or their performance of the Contract and to fulfill its or their obligations under the Contract, but without prejudice to either Party's right to terminate the Contract under GCC Sub-Clauses 37.6 and 38.5.
- 37.5 No delay or nonperformance by either Party hereto caused by the occurrence of any event of Force Majeure shall
- (a) constitute a default or breach of the Contract, or
  - (b) give rise to any claim for damages or additional cost or expense occasioned thereby, subject to GCC Sub-Clauses 32.2, 38.3 and 38.4
- if and to the extent that such delay or nonperformance is caused by the occurrence of an event of Force Majeure.
- 37.6 If the performance of the Contract is substantially prevented, hindered or delayed for a single period of more than sixty (60) days or

an aggregate period of more than one hundred and twenty (120) days on account of one or more events of Force Majeure during the currency of the Contract, the Parties will attempt to develop a mutually satisfactory solution, failing which either Party may terminate the Contract by giving a notice to the other, but without prejudice to either Party's right to terminate the Contract under GCC Sub-Clause 38.5.

37.7 In the event of termination pursuant to GCC Sub-Clause 37.6, the rights and obligations of the Employer and the Contractor shall be as specified in GCC Sub-Clauses 42.1.2 and 42.1.3.

37.8 Notwithstanding GCC Sub-Clause 37.5, Force Majeure shall not apply to any obligation of the Employer to make payments to the Contractor herein.

### **38. War Risks**

38.1 "War Risks" shall mean any event specified in paragraphs (a) and (b) of GCC Sub-Clause 37.1 and any explosion or impact of any mine, bomb, shell, grenade or other projectile, missile, munitions or explosive of war, occurring or existing in or near the country (or countries) where the Site is located.

38.2 Notwithstanding anything contained in the Contract, the Contractor shall have no liability whatsoever for or with respect to

- (a) destruction of or damage to Facilities, Plant, or any part thereof;
- (b) destruction of or damage to property of the Employer or any third Party; or
- (c) injury or loss of life

if such destruction, damage, injury or loss of life is caused by any War Risks, and the Employer shall indemnify and hold the Contractor harmless from and against any and all claims, liabilities, actions, lawsuits, damages, costs, charges or expenses arising in consequence of or in connection with the same.

38.3 If the Facilities or any Plant or Contractor's Equipment or any other property of the Contractor used or intended to be used for the purposes of the Facilities shall sustain destruction or damage by reason of any War Risks, the Employer shall pay the Contractor for

- (a) any part of the Facilities or the Plant so destroyed or damaged to the extent not already paid for by the Employer  
and so far as may be required by the Employer, and as may be necessary for completion of the Facilities

- (b) replacing or making good any Contractor's Equipment or other property of the Contractor so destroyed or damaged
- (c) replacing or making good any such destruction or damage to the Facilities or the Plant or any part thereof .

If the Employer does not require the Contractor to replace or make good any such destruction or damage to the Facilities, the Employer shall either request a change in accordance with GCC Clause 39, excluding the performance of that part of the Facilities thereby destroyed or damaged or, where the loss, destruction or damage affects a substantial part of the Facilities, shall terminate the Contract, pursuant to GCC Sub-Clause 42.1.

If the Employer requires the Contractor to replace or make good on any such destruction or damage to the Facilities, the Time for Completion shall be extended in accordance with GCC 40.

- 38.4 Notwithstanding anything contained in the Contract, the Employer shall pay the Contractor for any increased costs or incidentals to the execution of the Contract that are in any way attributable to, consequent on, resulting from, or in any way connected with any War Risks, provided that the Contractor shall as soon as practicable notify the Employer in writing of any such increased cost.
- 38.5 If during the performance of the Contract any War Risks shall occur that financially or otherwise materially affect the execution of the Contract by the Contractor, the Contractor shall use its reasonable efforts to execute the Contract with due and proper consideration given to the safety of its and its Subcontractors' personnel engaged in the work on the Facilities, provided, however, that if the execution of the work on the Facilities becomes impossible or is substantially prevented for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of any War Risks, the Parties will attempt to develop a mutually satisfactory solution, failing which either Party may terminate the Contract by giving a notice to the other.
- 38.6 In the event of termination pursuant to GCC Sub-Clauses 38.3 or 38.5, the rights and obligations of the Employer and the Contractor shall be specified in GCC Sub-Clauses 42.1.2 and 42.1.3.

## **H. Change in Contract Elements**

### **39. Change in the Facilities**

#### 39.1 Introducing a Change

- 39.1.1 Subject to GCC Sub-Clauses 39.2.5 and 39.2.7, the Employer shall have the right to propose, and subsequently require,

that the Project Manager order the Contractor from time to time during the performance of the Contract to make any change, modification, addition or deletion to, in or from the Facilities hereinafter called "Change", provided that such Change falls within the general scope of the Facilities and does not constitute unrelated work and that it is technically practicable, taking into account both the state of advancement of the Facilities and the technical compatibility of the Change envisaged with the nature of the Facilities as specified in the Contract.

39.1.2 Value Engineering: The Contractor may prepare, at its own cost, a value engineering proposal at any time during the performance of the contract. The value engineering proposal shall, at a minimum, include the following;

- (a) the proposed change(s), and a description of the difference to the existing contract requirements;
- (b) a full cost/benefit analysis of the proposed change(s) including a description and estimate of costs (including life cycle costs) the Employer may incur in implementing the value engineering proposal; and
- (c) a description of any effect(s) of the change on performance/functionality.

The Employer may accept the value engineering proposal if the proposal demonstrates benefits that:

- (a) accelerates the delivery period; or
- (b) reduces the Contract Price or the life cycle costs to the Employer; or
- (c) improves the quality, efficiency, safety or sustainability of the Facilities; or
- (d) yields any other benefits to the Employer,

without compromising the necessary functions of the Facilities.

If the value engineering proposal is approved by the Employer and results in:

- (a) a reduction of the Contract Price; the amount to be paid to the Contractor shall be the percentage specified in the PCC of the reduction in the Contract Price; or
- (b) an increase in the Contract Price; but results in a reduction in life cycle costs due to any benefit described in (a) to (d) above, the amount to be paid to the Contractor shall be the full increase in the Contract Price.

39.1.3 Notwithstanding GCC Sub-Clauses 39.1.1 and 39.1.2, no change made necessary because of any default of the Contractor in the performance of its obligations under the Contract shall be deemed to be a Change, and such change shall not result in any adjustment of the Contract Price or the Time for Completion.

39.1.4 The procedure on how to proceed with and execute Changes is specified in GCC Sub-Clauses 39.2 and 39.3, and further details and forms are provided in the Employer's Requirements (Forms and Procedures).

## 39.2 Changes Originating from Employer

39.2.1 If the Employer proposes a Change pursuant to GCC Sub-Clause 39.1.1, it shall send to the Contractor a "Request for Change Proposal," requiring the Contractor to prepare and furnish to the Project Manager as soon as reasonably practicable a "Change Proposal," which shall include the following:

- (a) brief description of the Change
- (b) effect on the Time for Completion
- (c) estimated cost of the Change
- (d) effect on Functional Guarantees (if any)
- (e) effect on the Facilities
- (f) effect on any other provisions of the Contract: and
- (g) any additional documents as **specified in the PCC**.

39.2.2 Prior to preparing and submitting the "Change Proposal," the Contractor shall submit to the Project Manager an "Estimate for Change Proposal," which shall be an estimate of the cost of preparing and submitting the Change Proposal.

Upon receipt of the Contractor's Estimate for Change Proposal, the Employer shall do one of the following:

- (a) accept the Contractor's estimate with instructions to the Contractor to proceed with the preparation of the Change Proposal
- (b) advise the Contractor of any part of its Estimate for Change Proposal that is unacceptable and request the Contractor to review its estimate
- (c) advise the Contractor that the Employer does not intend to proceed with the Change.

- 39.2.3 Upon receipt of the Employer's instruction to proceed under GCC Sub-Clause 39.2.2 (a), the Contractor shall, with proper expedition, proceed with the preparation of the Change Proposal, in accordance with GCC Sub-Clause 39.2.1.
- 39.2.4 The pricing of any Change shall, as far as practicable, be calculated in accordance with the rates and prices included in the Contract. If such rates and prices are inequitable, the Parties thereto shall agree on specific rates for the valuation of the Change.
- 39.2.5 If before or during the preparation of the Change Proposal it becomes apparent that the aggregate effect of compliance therewith and with all other Change Orders that have already become binding upon the Contractor under this GCC Clause 39 would be to increase or decrease the Contract Price as originally set forth in Article 2 (Contract Price) of the Contract Agreement by more than fifteen percent (15%), the Contractor may give a written notice of objection thereto prior to furnishing the Change Proposal as aforesaid. If the Employer accepts the Contractor's objection, the Employer shall withdraw the proposed Change and shall notify the Contractor in writing thereof.

The Contractor's failure to so object shall neither affect its right to object to any subsequent requested Changes or Change Orders herein, nor affect its right to take into account, when making such subsequent objection, the percentage increase or decrease in the Contract Price that any Change not objected to by the Contractor represents.

- 39.2.6 Upon receipt of the Change Proposal, the Employer and the Contractor shall mutually agree upon all matters therein contained. Within fourteen (14) days after such agreement, the Employer shall, if it intends to proceed with the Change, issue the Contractor with a Change Order.

If the Employer is unable to reach a decision within fourteen (14) days, it shall notify the Contractor with details of when the Contractor can expect a decision.

If the Employer decides not to proceed with the Change for whatever reason, it shall, within the said period of fourteen (14) days, notify the Contractor accordingly. Under such circumstances, the Contractor shall be entitled to reimbursement of all costs reasonably incurred by it in the preparation of the Change Proposal, provided that these do not exceed the amount given by the Contractor in its Estimate

for Change Proposal submitted in accordance with GCC Sub-Clause 39.2.2.

- 39.2.7 If the Employer and the Contractor cannot reach agreement on the price for the Change, an equitable adjustment to the Time for Completion, or any other matters identified in the Change Proposal, the Employer may nevertheless instruct the Contractor to proceed with the Change by issue of a “Pending Agreement Change Order.”

Upon receipt of a Pending Agreement Change Order, the Contractor shall immediately proceed with effecting the Changes covered by such Order. The Parties shall thereafter attempt to reach agreement on the outstanding issues under the Change Proposal.

If the Parties cannot reach agreement within sixty (60) days from the date of issue of the Pending Agreement Change Order, then the matter may be referred to the Dispute Board in accordance with the provisions of GCC Sub-Clause 46.1.

### 39.3 Changes Originating from Contractor

- 39.3.1 If the Contractor proposes a Change pursuant to GCC Sub-Clause 39.1.2, the Contractor shall submit to the Project Manager a written “Application for Change Proposal,” giving reasons for the proposed Change and including the information specified in GCC Sub-Clause 39.1.2.

Upon receipt of the Application for Change Proposal, the Parties shall follow the procedures outlined in GCC Sub-Clauses 39.2.6 and 39.2.7. However, the Contractor shall not be entitled to recover the costs of preparing the Application for Change Proposal.

## **40. Extension of Time for Completion**

- 40.1 The Time(s) for Completion specified in the PCC pursuant to GCC Sub-Clause 8.2 shall be extended if the Contractor is delayed or impeded in the performance of any of its obligations under the Contract by reason of any of the following:
- (a) any Change in the Facilities as provided in GCC Clause 39
  - (b) any occurrence of Force Majeure as provided in GCC Clause 37, unforeseen conditions as provided in GCC Clause 35, or other occurrence of any of the matters specified or referred to in paragraphs (a), (b) and (c) of GCC Sub-Clause 32.2

- (c) any suspension order given by the Employer under GCC Clause 41 hereof or reduction in the rate of progress pursuant to GCC Sub-Clause 41.2 or
- (d) any changes in laws and regulations as provided in GCC Clause 36 or
- (e) any default or breach of the Contract by the Employer, Appendix to the Contract Agreement titled ,or any activity, act or omission of the Employer, or the Project Manager, or any other contractors employed by the Employer, or
- (f) any delay on the part of a Subcontractor, provided such delay is due to a cause for which the Contractor himself would have been entitled to an extension of time under this sub-clause, or
- (g) delays attributable to the Employer or caused by customs, or
- (h) any other matter specifically mentioned in the Contract

by such period as shall be fair and reasonable in all the circumstances and as shall fairly reflect the delay or impediment sustained by the Contractor.

40.2 Except where otherwise specifically provided in the Contract, the Contractor shall submit to the Project Manager a notice of a claim for an extension of the Time for Completion, together with particulars of the event or circumstance justifying such extension as soon as reasonably practicable after the commencement of such event or circumstance. As soon as reasonably practicable after receipt of such notice and supporting particulars of the claim, the Employer and the Contractor shall agree upon the period of such extension. In the event that the Contractor does not accept the Employer's estimate of a fair and reasonable time extension, the Contractor shall be entitled to refer the matter to a Dispute Board, pursuant to GCC Sub-Clause 46.1.

40.3 The Contractor shall at all times use its reasonable efforts to minimize any delay in the performance of its obligations under the Contract.

40.4 In all cases where the Contractor has given a notice of a claim for an extension of time under GCC 40.2, the Contractor shall consult with the Project Manager in order to determine the steps (if any) which can be taken to overcome or minimize the actual or anticipated delay. The Contractor shall there after comply with all reasonable instructions which the Project Manager shall give in order to minimize such delay. If compliance with such instructions shall cause the Contractor to incur extra costs and the Contractor is entitled to an



extension of time under GCC 40.1, the amount of such extra costs shall be added to the Contract Price.

#### **41. Suspension**

41.1 The Employer may request the Project Manager, by notice to the Contractor, to order the Contractor to suspend performance of any or all of its obligations under the Contract. Such notice shall specify the obligation of which performance is to be suspended, the effective date of the suspension and the reasons therefor. The Contractor shall thereupon suspend performance of such obligation, except those obligations necessary for the care or preservation of the Facilities, until ordered in writing to resume such performance by the Project Manager.

If, by virtue of a suspension order given by the Project Manager, other than by reason of the Contractor's default or breach of the Contract, the Contractor's performance of any of its obligations is suspended for an aggregate period of more than ninety (90) days, then at any time thereafter and provided that at that time such performance is still suspended, the Contractor may give a notice to the Project Manager requiring that the Employer shall, within twenty-eight (28) days of receipt of the notice, order the resumption of such performance or request and subsequently order a change in accordance with GCC Clause 39, excluding the performance of the suspended obligations from the Contract.

If the Employer fails to do so within such period, the Contractor may, by a further notice to the Project Manager, elect to treat the suspension, where it affects a part only of the Facilities, as a deletion of such part in accordance with GCC Clause 39 or, where it affects the whole of the Facilities, as termination of the Contract under GCC Sub-Clause 42.1.

41.2 If

(a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment, or commits a substantial breach of the Contract, the Contractor may give a notice to the Employer that requires payment of such sum, with interest thereon as stipulated in GCC Sub-Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding

such approval, or fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice or

- (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas in accordance with GCC Sub-Clause 10.2, or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities,

then the Contractor may by fourteen (14) days' notice to the Employer suspend performance of all or any of its obligations under the Contract, or reduce the rate of progress.

41.3 If the Contractor's performance of its obligations is suspended or the rate of progress is reduced pursuant to this GCC Clause 41, then the Time for Completion shall be extended in accordance with GCC Sub-Clause 40.1, and any and all additional costs or expenses incurred by the Contractor as a result of such suspension or reduction shall be paid by the Employer to the Contractor in addition to the Contract Price, except in the case of suspension order or reduction in the rate of progress by reason of the Contractor's default or breach of the Contract.

41.4 During the period of suspension, the Contractor shall not remove from the Site any Plant, any part of the Facilities or any Contractor's Equipment, without the prior written consent of the Employer.

## **42. Termination**

### **42.1 Termination for Employer's Convenience**

42.1.1 The Employer may at any time terminate the Contract for any reason by giving the Contractor a notice of termination that refers to this GCC Sub-Clause 42.1.

42.1.2 Upon receipt of the notice of termination under GCC Sub-Clause 42.1.1, the Contractor shall either immediately or upon the date specified in the notice of termination

- (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
- (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) (ii) below

- (c) remove all Contractor's Equipment from the Site, repatriate the Contractor's Personnel from the Site, remove from the Site any wreckage, rubbish and debris of any kind, and leave the whole of the Site in a clean and safe condition, and
- (d) subject to the payment specified in GCC Sub-Clause 42.1.3,
  - (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
  - (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors; and
  - (iii) deliver to the Employer all non-proprietary drawings, specifications and other documents prepared by the Contractor or its Subcontractors as at the date of termination in connection with the Facilities.

42.1.3 In the event of termination of the Contract under GCC Sub-Clause 42.1.1, the Employer shall pay to the Contractor the following amounts:

- (a) the Contract Price, properly attributable to the parts of the Facilities executed by the Contractor as of the date of termination
- (b) the costs reasonably incurred by the Contractor in the removal of the Contractor's Equipment from the Site and in the repatriation of the Contractor's Personnel
- (c) any amounts to be paid by the Contractor to its Subcontractors in connection with the termination of any subcontracts, including any cancellation charges
- (d) costs incurred by the Contractor in protecting the Facilities and leaving the Site in a clean and safe condition pursuant to paragraph (a) of GCC Sub-Clause 42.1.2
- (e) the cost of satisfying all other obligations, commitments and claims that the Contractor may in good faith have

undertaken with third Parties in connection with the Contract and that are not covered by paragraphs (a) through (d) above.

#### 42.2 Termination for Contractor's Default

42.2.1 The Employer, without prejudice to any other rights or remedies it may possess, may terminate the Contract forthwith in the following circumstances by giving a notice of termination and its reasons therefor to the Contractor, referring to this GCC Sub-Clause 42.2:

- (a) if the Contractor becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, if the Contractor is a corporation, a resolution is passed or order is made for its winding up, other than a voluntary liquidation for the purposes of amalgamation or reconstruction, a receiver is appointed over any part of its undertaking or assets, or if the Contractor takes or suffers any other analogous action in consequence of debt
- (b) if the Contractor assigns or transfers the Contract or any right or interest therein in violation of the provision of GCC Clause 43.
- (c) if the Contractor, in the judgment of the Employer has engaged in Fraud and Corruption, as defined in paragraph 2.2 a. of Appendix B to the GCC, in competing for or in executing the Contract.

#### 42.2.2 If the Contractor

- (a) has abandoned or repudiated the Contract
- (b) has without valid reason failed to commence work on the Facilities promptly or has suspended, other than pursuant to GCC Sub-Clause 41.2, the progress of Contract performance for more than twenty-eight (28) days after receiving a written instruction from the Employer to proceed
- (c) persistently fails to execute the Contract in accordance with the Contract or persistently neglects to carry out its obligations under the Contract without just cause
- (d) refuses or is unable to provide sufficient materials, services or labor to execute and complete the Facilities in the manner specified in the program furnished under GCC Sub-Clause 18.2 at rates of progress that give

reasonable assurance to the Employer that the Contractor can attain Completion of the Facilities by the Time for Completion as extended,

then the Employer may, without prejudice to any other rights it may possess under the Contract, give a notice to the Contractor stating the nature of the default and requiring the Contractor to remedy the same. If the Contractor fails to remedy or to take steps to remedy the same within fourteen (14) days of its receipt of such notice, then the Employer may terminate the Contract forthwith by giving a notice of termination to the Contractor that refers to this GCC Sub-Clause 42.2.

42.2.3 Upon receipt of the notice of termination under GCC Sub-Clauses 42.2.1 or 42.2.2, the Contractor shall, either immediately or upon such date as is specified in the notice of termination,

- (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
- (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) below
- (c) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
- (d) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors
- (e) deliver to the Employer all drawings, specifications and other documents prepared by the Contractor or its Subcontractors as of the date of termination in connection with the Facilities.

42.2.4 The Employer may enter upon the Site, expel the Contractor, and complete the Facilities itself or by employing any third Party. The Employer may, to the exclusion of any right of the Contractor over the same, take over and use with the payment of a fair rental rate to the Contractor, with all the

maintenance costs to the account of the Employer and with an indemnification by the Employer for all liability including damage or injury to persons arising out of the Employer's use of such equipment, any Contractor's Equipment owned by the Contractor and on the Site in connection with the Facilities for such reasonable period as the Employer considers expedient for the supply and installation of the Facilities.

Upon completion of the Facilities or at such earlier date as the Employer thinks appropriate, the Employer shall give notice to the Contractor that such Contractor's Equipment will be returned to the Contractor at or near the Site and shall return such Contractor's Equipment to the Contractor in accordance with such notice. The Contractor shall thereafter without delay and at its cost remove or arrange removal of the same from the Site.

42.2.5 Subject to GCC Sub-Clause 42.2.6, the Contractor shall be entitled to be paid the Contract Price attributable to the Facilities executed as of the date of termination, the value of any unused or partially used Plant on the Site, and the costs, if any, incurred in protecting the Facilities and in leaving the Site in a clean and safe condition pursuant to paragraph (a) of GCC Sub-Clause 42.2.3. Any sums due the Employer from the Contractor accruing prior to the date of termination shall be deducted from the amount to be paid to the Contractor under this Contract.

42.2.6 If the Employer completes the Facilities, the cost of completing the Facilities by the Employer shall be determined.

If the sum that the Contractor is entitled to be paid, pursuant to GCC Sub-Clause 42.2.5, plus the reasonable costs incurred by the Employer in completing the Facilities, exceeds the Contract Price, the Contractor shall be liable for such excess.

If such excess is greater than the sums due the Contractor under GCC Sub-Clause 42.2.5, the Contractor shall pay the balance to the Employer, and if such excess is less than the sums due the Contractor under GCC Sub-Clause 42.2.5, the Employer shall pay the balance to the Contractor.

The Employer and the Contractor shall agree, in writing, on the computation described above and the manner in which any sums shall be paid.

#### 42.3 Termination by the Contractor

## 42.3.1 If

- (a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment, or commits a substantial breach of the Contract, the Contractor may give a notice to the Employer that requires payment of such sum, with interest thereon as stipulated in GCC Sub-Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice, or
- (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities,

then the Contractor may give a notice to the Employer thereof, and if the Employer has failed to pay the outstanding sum, to approve the invoice or supporting documents, to give its reasons for withholding such approval, or to remedy the breach within twenty-eight (28) days of such notice, or if the Contractor is still unable to carry out any of its obligations under the Contract for any reason attributable to the Employer within twenty-eight (28) days of the said notice, the Contractor may by a further notice to the Employer referring to this GCC Sub-Clause 42.3.1, forthwith terminate the Contract.

- 42.3.2 The Contractor may terminate the Contract forthwith by giving a notice to the Employer to that effect, referring to this GCC Sub-Clause 42.3.2, if the Employer becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, being a corporation, if a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or

reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Employer takes or suffers any other analogous action in consequence of debt.

42.3.3 If the Contract is terminated under GCC Sub-Clauses 42.3.1 or 42.3.2, then the Contractor shall immediately

- (a) cease all further work, except for such work as may be necessary for the purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
- (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) (ii)
- (c) remove all Contractor's Equipment from the Site and repatriate the Contractor's Personnel from the Site, and
- (d) subject to the payment specified in GCC Sub-Clause 42.3.4,
  - (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
  - (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors, and
  - (iii) deliver to the Employer all drawings, specifications and other documents prepared by the Contractor or its Subcontractors as of the date of termination in connection with the Facilities.

42.3.4 If the Contract is terminated under GCC Sub-Clauses 42.3.1 or 42.3.2, the Employer shall pay to the Contractor all payments specified in GCC Sub-Clause 42.1.3, and reasonable compensation for all loss, except for loss of profit, or damage sustained by the Contractor arising out of, in connection with or in consequence of such termination.

42.3.5 Termination by the Contractor pursuant to this GCC Sub-Clause 42.3 is without prejudice to any other rights or remedies of the Contractor that may be exercised in lieu of or in addition to rights conferred by GCC Sub-Clause 42.3.



- 42.4 In this GCC Clause 42, the expression “Facilities executed” shall include all work executed, Installation Services provided, and all Plant acquired, or subject to a legally binding obligation to purchase, by the Contractor and used or intended to be used for the purpose of the Facilities, up to and including the date of termination.
- 42.5 In this GCC Clause 42, in calculating any monies due from the Employer to the Contractor, account shall be taken of any sum previously paid by the Employer to the Contractor under the Contract, including any advance payment paid pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment.
- 43. Assignment** 43.1 Neither the Employer nor the Contractor shall, without the express prior written consent of the other Party, which consent shall not be unreasonably withheld, assign to any third Party the Contract or any part thereof, or any right, benefit, obligation or interest therein or thereunder, except that the Contractor shall be entitled to assign either absolutely or by way of charge any monies due and payable to it or that may become due and payable to it under the Contract.
- 44. Export Restrictions** 44.1 Notwithstanding any obligation under the Contract to complete all export formalities, any export restrictions attributable to the Employer, to the country of the Employer or to the use of the Plant and Installation Services to be supplied which arise from trade regulations from a country supplying those Plant and Installation Services, and which substantially impede the Contractor from meeting its obligations under the Contract, shall release the Contractor from the obligation to provide deliveries or services, always provided, however, that the Contractor can demonstrate to the satisfaction of the Employer and of the Bank that it has completed all formalities in a timely manner, including applying for permits, authorizations and licenses necessary for the export of the Plant and Installation Services under the terms of the Contract. Termination of the Contract on this basis shall be for the Employer’s convenience pursuant to Sub-Clause 42.1.

## **I. Claims, Disputes and Arbitration**

- 45. Contractor’s Claims** 45.1 If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in connection with the Contract, the Contractor shall submit a notice to the Project Manager, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not

later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.

If the Contractor fails to give notice of a claim within such period of 28 days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and the Employer shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this Sub-Clause shall apply.

The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all as relevant to such event or circumstance.

The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Project Manager. Without admitting the Employer's liability, the Project Manager may, after receiving any notice under this Sub-Clause, monitor the record-keeping and/or instruct the Contractor to keep further contemporary records. The Contractor shall permit the Project Manager to inspect all these records, and shall (if instructed) submit copies to the Project Manager.

Within 42 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by the Contractor and approved by the Project Manager, the Contractor shall send to the Project Manager a fully detailed claim which includes full supporting particulars of the basis of the claim and of the extension of time and/or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:

- (a) this fully detailed claim shall be considered as interim;
- (b) the Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and/or amount claimed, and such further particulars as the Project Manager may reasonably require; and
- (c) the Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be proposed by the Contractor and approved by the Project Manager.

Within 42 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Project Manager and approved by the Contractor, the Project Manager shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further

particulars, but shall nevertheless give his response on the principles of the claim within such time.

Each Payment Certificate shall include such amounts for any claim as have been reasonably substantiated as due under the relevant provision of the Contract. Unless and until the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall only be entitled to payment for such part of the claim as he has been able to substantiate.

The Project Manager shall agree with the Contractor or estimate: (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with GCC Clause 40, and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.

The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contractor fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph of this Sub-Clause.

In the event that the Contractor and the Employer cannot agree on any matter relating to a claim, either Party may refer the matter to the Dispute Board pursuant to GCC 46 hereof.

#### **46. Disputes and Arbitration**

##### **46.1 Appointment of the Dispute Board**

Disputes shall be referred to a DB for decision in accordance with GCC Sub-Clause 46.3. The Parties shall appoint a DB by the date stated in the PCC.

The DB shall comprise, as stated in the PCC, either one or three suitably qualified persons (“the members”), each of whom shall meet the criteria set forth in Sub-Clause 3 of Appendix A- General Conditions of Dispute Board Agreement. If the number is not so stated and the Parties do not agree otherwise, the DB shall comprise three persons, one of whom shall serve as chairman.

If the Contract is with a foreign Contractor, the DB members shall not have the same nationality as the Employer or the Contractor.

If the Parties have not jointly appointed the DB 21 days before the date stated in the PCC and the DB is to comprise three persons, each Party shall nominate one member for the approval of the other Party. The first two members shall recommend and the Parties shall agree upon the third member, who shall act as chairman.

However, if a list of potential members is included in the PCC, the members shall be selected from those on the list, other than anyone who is unable or unwilling to accept appointment to the DB.

The agreement between the Parties and either the sole member or each of the three members shall incorporate by reference the General Conditions of Dispute Board Agreement contained in the Appendix to these General Conditions, with such amendments as are agreed between them.

The DB shall be deemed to be constituted on the date the Parties and the sole member or the three members (as the case may be) of the DB have all signed a DB agreement.

The terms of the remuneration of either the sole member or each of the three members, including the remuneration of any expert whom the DB consults, shall be mutually agreed upon by the Parties when agreeing the terms of appointment of the member or such expert (as the case may be). Each Party shall be responsible for paying one-half of this remuneration.

If a member declines to act or is unable to act as a result of death, disability, resignation or termination of appointment, a replacement shall be appointed in the same manner as the replaced person was required to have been nominated or agreed upon, as described in this Sub-Clause.

The appointment of any member may be terminated by mutual agreement of both Parties, but not by the Employer or the Contractor acting alone. Unless otherwise agreed by both Parties, the appointment of the DB (including each member) shall expire when the Operational Acceptance Certificate has been issued in accordance with GCC Sub-Clause 25.3.

#### 46.2 Failure to Agree on the Composition of the Dispute Board

If any of the following conditions apply, namely:

- (a) the Parties fail to agree upon the appointment of the sole member of the DB by the date stated in the first paragraph of GCC Sub-Clause 46.1,
- (b) either Party fails to nominate a member (for approval by the other Party) of a DB of three persons by such date,
- (c) the Parties fail to agree upon the appointment of the third member (to act as chairman) of the DB by such date, or
- (d) the Parties fail to agree upon the appointment of a replacement person within 42 days after the date on which the sole member or one of the three members declines to act

or is unable to act as a result of death, disability, resignation or termination of appointment,

then the appointing entity or official **named in the PCC** shall, upon the request of either or both of the Parties and after due consultation with both Parties, appoint this member of the DB. This appointment shall be final and conclusive. Each Party shall be responsible for paying one-half of the remuneration of the appointing entity or official.

#### 46.3 Obtaining Dispute Board's Decision

If a dispute (of any kind whatsoever) arises between the Parties in connection with the performance of the Contract, including any dispute as to any certificate, determination, instruction, opinion or valuation of the Project Manager, either Party may refer the dispute in writing to the DB for its decision, with copies to the other Party and the Project Manager. Such reference shall state that it is given under this Sub-Clause.

For a DB of three persons, the DB shall be deemed to have received such reference on the date when it is received by the chairman of the DB.

Both Parties shall promptly make available to the DB all such additional information, further access to the Site, and appropriate facilities, as the DB may require for the purposes of making a decision on such dispute. The DB shall be deemed to be not acting as arbitrator(s).

Within 84 days after receiving such reference, or within such other period as may be proposed by the DB and approved by both Parties, the DB shall give its decision, which shall be reasoned and shall state that it is given under this Sub-Clause. The decision shall be binding on both Parties, who shall promptly give effect to it unless and until it shall be revised in an amicable settlement or an arbitral award as described below. Unless the Contract has already been abandoned, repudiated or terminated, the Contractor shall continue with the performance of the Facilities in accordance with the Contract.

If either Party is dissatisfied with the DB's decision, then either Party may, within 28 days after receiving the decision, give notice to the other Party of its dissatisfaction and intention to commence arbitration. If the DB fails to give its decision within the period of 84 days (or as otherwise approved) after receiving such reference, then either Party may, within 28 days after this period has expired, give notice to the other Party of its dissatisfaction and intention to commence arbitration.

In either event, this notice of dissatisfaction shall state that it is given under this Sub-Clause, and shall set out the matter in dispute and the reason(s) for dissatisfaction. Except as stated in GCC Sub-Clauses 46.6 and 46.7, neither Party shall be entitled to commence arbitration of a dispute unless a notice of dissatisfaction has been given in accordance with this Sub-Clause.

If the DB has given its decision as to a matter in dispute to both Parties, and no notice of dissatisfaction has been given by either Party within 28 days after it received the DB's decision, then the decision shall become final and binding upon both Parties.

#### 46.4 Amicable Settlement

Where notice of dissatisfaction has been given under GCC Sub-Clause 46.3 above, both Parties shall attempt to settle the dispute amicably before the commencement of arbitration. However, unless both Parties agree otherwise, arbitration may be commenced on or after the fifty-sixth day after the day on which notice of dissatisfaction and intention to commence arbitration was given, even if no attempt at amicable settlement has been made.

#### 46.5 Arbitration

Unless **indicated otherwise in the PCC**, any dispute not settled amicably and in respect of which the DB's decision (if any) has not become final and binding shall be finally settled by arbitration. Unless otherwise agreed by both Parties, arbitration shall be conducted as follows:

(a) For contracts with foreign contractors:

**unless otherwise specified in the PCC**; the dispute shall be finally settled under the Rules of Arbitration of the International Chamber of Commerce; by one or three arbitrators appointed in accordance with these Rules. The place of arbitration shall be the neutral location **stated in the PCC**; and the arbitration shall be conducted in the ruling language **stated in the PCC**;

and

(b) For contracts with domestic contractors, arbitration with proceedings conducted in accordance with the laws of the Employer's Country.

The arbitrator(s) shall have full power to open up, review and revise any certificate, determination, instruction, opinion or valuation of the Project Manager, and any decision of the DB, relevant to the dispute. Nothing shall disqualify the Project Manager from being called as a

witness and giving evidence before the arbitrator(s) on any matter whatsoever relevant to the dispute.

Neither Party shall be limited in the proceedings before the arbitrator(s) to the evidence or arguments previously put before the DB to obtain its decision, or to the reasons for dissatisfaction given in its notice of dissatisfaction. Any decision of the DB shall be admissible in evidence in the arbitration.

Arbitration may be commenced prior to or after completion of the Facilities. The obligations of the Parties, the Project Manager and the DB shall not be altered by reason of any arbitration being conducted during the progress of the execution of the Facilities.

#### 46.6 Failure to Comply with Dispute Board's Decision

In the event that a Party fails to comply with a DB decision which has become final and binding, then the other Party may, without prejudice to any other rights it may have, refer the failure itself to arbitration under GCC Sub-Clause 46.5. GCC Sub-Clauses 46.3 and 46.4 shall not apply to this reference.

#### 46.7 Expiry of Dispute Board's Appointment

If a dispute arises between the Parties in connection with the performance of the Contract, and there is no DB in place, whether by reason of the expiry of the DB's appointment or otherwise:

- (a) GCC Sub-Clauses 46.3 and 46.4 shall not apply, and
- (b) the dispute may be referred directly to arbitration under GCC Sub-Clause 46.5

### **47. Cyber Security**

- 47.1 Pursuant to the PCC, the Contractor including its Subcontractors/ suppliers/ manufacturers shall take all technical and organizational measures necessary to protect the information technology systems and data used in connection with the Contract. Without limiting the foregoing, the Contractor, including its Subcontractors/ suppliers/ manufacturers, shall use all reasonable efforts to establish, maintain, implement and comply with, reasonable information technology, information security, cyber security and data protection controls, policies and procedures, including oversight, access controls, encryption, technological and physical safeguards and business continuity/disaster recovery and security plans that are designed to protect against and prevent breach, destruction, loss, unauthorized distribution, use, access, disablement, misappropriation or modification, or other compromise or misuse of or relating to any

information technology system or data used in connection with the Contract.



## APPENDIX A

### General Conditions of Dispute Board Agreement

#### 1. Definitions

Each “Dispute Board Agreement” is a tripartite agreement by and between:

the “Employer”;

the “Contractor”; and

the “Member” who is defined in the Dispute Board Agreement as being:

- (i) the sole member of the “DB” and, where this is the case, all references to the “Other Members” do not apply, or
- (ii) one of the three persons who are jointly called the “DB” (or “dispute board”) and, where this is the case, the other two persons are called the “Other Members”.

The Employer and the Contractor have entered (or intend to enter) into a contract, which is called the “Contract” and is defined in the Dispute Board Agreement, which incorporates this Appendix. In the Dispute Board Agreement, words and expressions which are not otherwise defined shall have the meanings assigned to them in the Contract.

#### 2. General Provisions

Unless otherwise stated in the Dispute Board Agreement, it shall take effect on the latest of the following dates:

- (a) the Commencement Date defined in the Contract,
- (b) when the Employer, the Contractor and the Member have each signed the Dispute Board Agreement, or
- (c) when the Employer, the Contractor and each of the Other Members (if any) have respectively each signed a dispute board agreement.

This employment of the Member is a personal appointment. At any time, the Member may give not less than 70 days’ notice of resignation to the Employer and to the Contractor, and the Dispute Board Agreement shall terminate upon the expiry of this period.

#### 3. Warranties

The Member warrants and agrees that he/she is and shall be impartial and independent of the Employer, the Contractor and the Project Manager. The Member shall promptly disclose, to each of

them and to the Other Members (if any), any fact or circumstance which might appear inconsistent with his/her warranty and agreement of impartiality and independence.

- a) When appointing the Member, the Employer and the Contractor relied upon the Member's representations that he/she is:has at least a bachelor's degree in relevant disciplines such as law, engineering, construction management or contract management;
- b) has at least ten years of experience in contract administration/management and dispute resolution, out of which at least five years of experience as an arbitrator or adjudicator in construction-related disputes;
- c) has received formal training as an adjudicator from an internationally recognized organization;
- d) has experience and/or is knowledgeable in the type of work which the Contractor is to carry out under the Contract;
- e) has experience in the interpretation of construction and/or engineering contract documents; and
- f) is fluent in the language for communications defined in GCC Sub-Clause 5.3 (or the language as agreed between the Parties and the DB).

#### 4. General Obligations of the Member

The Member shall:

- (a) have no interest financial or otherwise in the Employer, the Contractor or the Project Manager, nor any financial interest in the Contract except for payment under the Dispute Board Agreement;
- (b) not previously have been employed as a consultant or otherwise by the Employer, the Contractor or the Project Manager, except in such circumstances as were disclosed in writing to the Employer and the Contractor before they signed the Dispute Board Agreement;
- (c) have disclosed in writing to the Employer, the Contractor and the Other Members (if any), before entering into the Dispute Board Agreement and to his/her best knowledge and recollection, any professional or personal relationships with any director, officer or employee of the Employer, the Contractor or the Project Manager, and any previous involvement in the overall project of which the Contract forms part;
- (d) not, for the duration of the Dispute Board Agreement, be employed as a consultant or otherwise by the Employer, the Contractor or the Project Manager, except as may be agreed in writing by the Employer, the Contractor and the Other Members (if any);
- (e) comply with the annexed procedural rules and with GCC Sub-Clause 46.3;
- (f) not give advice to the Employer, the Contractor, the Employer's Personnel or the Contractor's Personnel concerning the conduct of the Contract, other than in accordance with the annexed procedural rules;

- (g) not while a Member enter into discussions or make any agreement with the Employer, the Contractor or the Project Manager regarding employment by any of them, whether as a consultant or otherwise, after ceasing to act under the Dispute Board Agreement;
- (h) ensure his/her availability for all site visits and hearings as are necessary;
- (i) become conversant with the Contract and with the progress of the Facilities (and of any other parts of the project of which the Contract forms part) by studying all documents received which shall be maintained in a current working file;
- (j) treat the details of the Contract and all the DB's activities and hearings as private and confidential, and not publish or disclose them without the prior written consent of the Employer, the Contractor and the Other Members (if any); and
- (k) be available to give advice and opinions, on any matter relevant to the Contract when requested by both the Employer and the Contractor, subject to the agreement of the Other Members (if any).

#### 5. General Obligations of the Employer and the Contractor

The Employer, the Contractor, the Employer's Personnel and the Contractor's Personnel shall not request advice from or consultation with the Member regarding the Contract, otherwise than in the normal course of the DB's activities under the Contract and the Dispute Board Agreement. The Employer and the Contractor shall be responsible for compliance with this provision, by the Employer's Personnel and the Contractor's Personnel respectively.

The Employer and the Contractor undertake to each other and to the Member that the Member shall not, except as otherwise agreed in writing by the Employer, the Contractor, the Member and the Other Members (if any):

- (a) be appointed as an arbitrator in any arbitration under the Contract;
- (b) be called as a witness to give evidence concerning any dispute before arbitrator(s) appointed for any arbitration under the Contract; or
- (c) be liable for any claims for anything done or omitted in the discharge or purported discharge of the Member's functions, unless the act or omission is shown to have been in bad faith.

The Employer and the Contractor hereby jointly and severally indemnify and hold the Member harmless against and from claims from which he is relieved from liability under the preceding paragraph.

Whenever the Employer or the Contractor refers a dispute to the DB under GCC Sub-Clause 46.3, which will require the Member to make a site visit and attend a hearing, the Employer or the Contractor shall provide appropriate security for a sum equivalent to the reasonable expenses to be incurred by the Member. No account shall be taken of any other payments due or paid to the Member.

## 6. Payment

The Member shall be paid as follows, in the currency named in the Dispute Board Agreement:

- (a) a retainer fee per calendar month, which shall be considered as payment in full for:
  - (i) being available on 28 days' notice for all site visits and hearings;
  - (ii) becoming and remaining conversant with all project developments and maintaining relevant files;
  - (iii) all office and overhead expenses including secretarial services, photocopying and office supplies incurred in connection with his duties; and
  - (iv) all services performed hereunder except those referred to in sub-paragraphs (b) and (c) of this Clause.

The retainer fee shall be paid with effect from the last day of the calendar month in which the Dispute Board Agreement becomes effective; until the last day of the calendar month in which the Taking-Over Certificate is issued for the whole of the Facilities.

With effect from the first day of the calendar month following the month in which Taking-Over Certificate is issued for the whole of the Facilities, the retainer fee shall be reduced by one third. This reduced fee shall be paid until the first day of the calendar month in which the Member resigns or the Dispute Board Agreement is otherwise terminated.

- (b) a daily fee which shall be considered as payment in full for:
  - (i) each day or part of a day up to a maximum of two days' travel time in each direction for the journey between the Member's home and the site, or another location of a meeting with the Other Members (if any);
  - (ii) each working day on site visits, hearings or preparing decisions; and
  - (iii) each day spent reading submissions in preparation for a hearing.
- (c) all reasonable expenses including necessary travel expenses (air fare in less than first class, hotel and subsistence and other direct travel expenses) incurred in connection with the Member's duties, as well as the cost of telephone calls, courier charges, faxes and telexes: a receipt shall be required for each item in excess of five percent of the daily fee referred to in sub-paragraph (b) of this Clause;
- (d) any taxes properly levied in the Country on payments made to the Member (unless a national or permanent resident of the Country) under this Clause 6.

The retainer and daily fees shall be as specified in the Dispute Board Agreement. Unless it specifies otherwise, these fees shall remain fixed for the first 24 calendar months and shall thereafter be

adjusted by agreement between the Employer, the Contractor and the Member, at each anniversary of the date on which the Dispute Board Agreement became effective.

If the Parties fail to agree on the retainer fee or the daily fee the appointing entity or official named in the PCC shall determine the amount of the fees to be used.

The Member shall submit invoices for payment of the monthly retainer and air fares quarterly in advance. Invoices for other expenses and for daily fees shall be submitted following the conclusion of a site visit or hearing. All invoices shall be accompanied by a brief description of activities performed during the relevant period and shall be addressed to the Contractor.

The Contractor shall pay each of the Member's invoices in full within 56 calendar days after receiving each invoice and shall apply to the Employer (in the Statements under the Contract) for reimbursement of one-half of the amounts of these invoices. The Employer shall then pay the Contractor in accordance with the Contract.

If the Contractor fails to pay to the Member the amount to which he/she is entitled under the Dispute Board Agreement, the Employer shall pay the amount due to the Member and any other amount which may be required to maintain the operation of the DB; and without prejudice to the Employer's rights or remedies. In addition to all other rights arising from this default, the Employer shall be entitled to reimbursement of all sums paid in excess of one-half of these payments, plus all costs of recovering these sums and financing charges calculated at the rate specified in accordance with GCC Sub-Clause 12.3.

If the Member does not receive payment of the amount due within 70 days after submitting a valid invoice, the Member may (i) suspend his/her services (without notice) until the payment is received, and/or (ii) resign his/her appointment by giving notice under Clause 7.

#### 7. Termination

At any time: (i) the Employer and the Contractor may jointly terminate the Dispute Board Agreement by giving 42 days' notice to the Member; or (ii) the Member may resign as provided for in Clause 2.

If the Member fails to comply with the Dispute Board Agreement, the Employer and the Contractor may, without prejudice to their other rights, terminate it by notice to the Member. The notice shall take effect when received by the Member.

If the Employer or the Contractor fails to comply with the Dispute Board Agreement, the Member may, without prejudice to his other rights, terminate it by notice to the Employer and the Contractor. The notice shall take effect when received by them both.

Any such notice, resignation and termination shall be final and binding on the Employer, the Contractor and the Member. However, a notice by the Employer or the Contractor, but not by both, shall be of no effect.

#### 8. Default of the Member

If the Member fails to comply with any of his obligations under Clause 4 concerning his impartiality or independence in relation to the Employer or the Contractor, he/she shall not be entitled to any fees or expenses hereunder and shall, without prejudice to their other rights, reimburse each of the Employer and the Contractor for any fees and expenses received by the Member and the Other Members (if any), for proceedings or decisions (if any) of the DB which are rendered void or ineffective by the said failure to comply.

#### 9. Disputes

Any dispute or claim arising out of or in connection with this Dispute Board Agreement, or the breach, termination or invalidity thereof, shall be finally settled by institutional arbitration. If no other arbitration institute is agreed, the arbitration shall be conducted under the Rules of Arbitration of the International Chamber of Commerce by one arbitrator appointed in accordance with these Rules of Arbitration.

## Appendix A

### DISPUTE BOARD GUIDELINES

1. Unless otherwise agreed by the Employer and the Contractor, the DB shall visit the site at intervals of not more than 140 days, including times of critical construction events, at the request of either the Employer or the Contractor. Unless otherwise agreed by the Employer, the Contractor and the DB, the period between consecutive visits shall not be less than 70 days, except as required to convene a hearing as described below.
2. The timing of and agenda for each site visit shall be as agreed jointly by the DB, the Employer and the Contractor, or in the absence of agreement, shall be decided by the DB. The purpose of site visits is to enable the DB to become and remain acquainted with the progress of the execution of the Contract and of any actual or potential problems or claims, and, as far as reasonable, to prevent potential problems or claims from becoming disputes.
3. Site visits shall be attended by the Employer, the Contractor and the Project Manager and shall be coordinated by the Employer in co-operation with the Contractor. The Employer shall ensure the provision of appropriate conference facilities and secretarial and copying services. At the conclusion of each site visit and before leaving the site, the DB shall prepare a report on its activities during the visit and shall send copies to the Employer and the Contractor.
4. The Employer and the Contractor shall furnish to the DB one copy of all documents which the DB may request, including Contract documents, progress reports, variation instructions, certificates and other documents pertinent to the performance of the Contract. All communications between the DB and the Employer or the Contractor shall be copied to the other Party. If the DB comprises three persons, the Employer and the Contractor shall send copies of these requested documents and these communications to each of these persons.
5. If any dispute is referred to the DB in accordance with GCC Sub-Clause 46.3, the DB shall proceed in accordance with GCC Sub-Clause 46.3 and these Guidelines. Subject to the time allowed to give notice of a decision and other relevant factors, the DB shall:
  - (a) act fairly and impartially as between the Employer and the Contractor, giving each of them a reasonable opportunity of putting his case and responding to the other's case, and
  - (b) adopt procedures suitable to the dispute, avoiding unnecessary delay or expense.
6. The DB may conduct a hearing on the dispute, in which event it will decide on the date and place for the hearing and may request that written documentation and arguments from the Employer and the Contractor be presented to it prior to or at the hearing.
7. Except as otherwise agreed in writing by the Employer and the Contractor, the DB shall have power to adopt an inquisitorial procedure, to refuse admission to hearings or audience at hearings to any persons other than representatives of the Employer, the Contractor and the Project Manager, and to proceed in the absence of any Party who the DB is satisfied

received notice of the hearing; but shall have discretion to decide whether and to what extent this power may be exercised.

8. The Employer and the Contractor empower the DB, among other things, to:
  - (a) establish the procedure to be applied in deciding a dispute,
  - (b) decide upon the DB's own jurisdiction, and as to the scope of any dispute referred to it,
  - (c) conduct any hearing as it thinks fit, not being bound by any rules or procedures other than those contained in the Contract and these Guidelines,
  - (d) take the initiative in ascertaining the facts and matters required for a decision,
  - (e) make use of its own specialist knowledge, if any,
  - (f) decide upon the payment of financing charges in accordance with the Contract,
  - (g) decide upon any provisional relief such as interim or conservatory measures,
  - (h) open up, review and revise any certificate, decision, determination, instruction, opinion or valuation of the Project Manager, relevant to the dispute, and
  - (i) appoint, should the DB so consider necessary and the Parties agree, a suitable expert/s (including legal and technical expert(s)) at the cost of the Parties to give advice on a specific matter/s relevant to the dispute.
  
9. The DB shall not express any opinions during any hearing concerning the merits of any arguments advanced by the Parties. Thereafter, the DB shall make and give its decision in accordance with GCC Sub-Clause 46.3, or as otherwise agreed by the Employer and the Contractor in writing. If the DB comprises three persons:
  - (a) it shall convene in private after a hearing, in order to have discussions and prepare its decision;
  - (b) it shall endeavor to reach a unanimous decision: if this proves impossible the applicable decision shall be made by a majority of the Members, who may require the minority Member to prepare a written report for submission to the Employer and the Contractor; and
  - (c) if a Member fails to attend a meeting or hearing, or to fulfil any required function, the other two Members may nevertheless proceed to make a decision, unless:
    - (i) either the Employer or the Contractor does not agree that they do so, or
    - (ii) the absent Member is the chairman and he/she instructs the other Members to not make a decision.



## APPENDIX B

### Fraud and Corruption

*(Text in this Appendix shall not be modified)*

#### 1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

#### 2. Requirements

2.3 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.4 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
  - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
  - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
  - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
  - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
  - v. "obstructive practice" is:
    - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
    - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.

- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti- Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;<sup>1</sup> (ii) to be a nominated<sup>2</sup> sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect<sup>3</sup> all accounts, records and other

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<sup>1</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>2</sup> A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

<sup>3</sup> Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

## APPENDIX C

### Metrics for Progress Reports- Environmental and Social (ES)

***[Note to Employer: the following metrics is taken from large Work's Standard Procurement Documents (SPDs). This should be suitably amended to reflect the specifics of the Contract. The Employer shall ensure that the metrics provided are appropriate for Plant and impacts/key issues identified in the environmental and social assessment].***

*Metrics for regular reporting:*

- a. *environmental incidents or non-compliances with contract requirements, including contamination, pollution or damage to ground or water supplies;*
- b. *health and safety incidents, accidents, injuries that require treatment and all fatalities;*
- c. *interactions with regulators: identify agency, dates, subjects, outcomes (report the negative if none);*
- d. *status of all permits and agreements:*
  - (i) work permits: number required, number received, actions taken for those not received;
  - (ii) status of permits and consents:
    - list areas/facilities with permits required (quarries, asphalt & batch plants), dates of application, dates issued (actions to follow up if not issued), dates submitted to resident engineer (or equivalent), status of area (waiting for permits, working, abandoned without reclamation, decommissioning plan being implemented, etc.);
    - list areas with landowner agreements required (borrow and spoil areas, camp sites), dates of agreements, dates submitted to resident engineer (or equivalent);
    - identify major activities undertaken in each area in the reporting period and highlights of environmental and social protection (land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation);
    - for quarries: status of relocation and compensation (completed, or details of activities and current status in the reporting period).
- e. *health and safety supervision:*
  - (i) safety officer: number days worked, number of full inspections & partial inspections, reports to construction/project management;
  - (ii) number of workers, work hours, metric of PPE use (percentage of workers with full personal protection equipment (PPE), partial, etc.), worker violations observed (by type of violation, PPE or otherwise), warnings given, repeat warnings given, follow-up actions taken (if any);
- f. *worker accommodations:*

- (i) number of expats housed in accommodations, number of locals;
  - (ii) date of last inspection, and highlights of inspection including status of accommodations' compliance with national and local law and good practice, including sanitation, space, etc.;
  - (iii) actions taken to recommend/require improved conditions, or to improve conditions.
- g. Health services: provider of health services, information and/or training, location of clinic, number of non-safety disease or illness treatments and diagnoses (no names to be provided);*
- h. gender (for expats and locals separately): number of female workers, percentage of workforce, gender issues raised and dealt with (cross-reference grievances or other sections as needed);*
- i. training:*
- (i) number of new workers, number receiving induction training, dates of induction training;
  - (ii) number and dates of toolbox talks, number of workers receiving Occupational Health and Safety (OHS), environmental and social training;
  - (iii) number and dates of communicable diseases (including STDs) sensitization and/or training, no. workers receiving training (in the reporting period and in the past); same questions for gender sensitization, flag person training.
  - (iv) number and date of SEA prevention and SH sensitization and/or training events, including number of workers receiving training on Code of Conduct for Contractor's Personnel (in the reporting period and in the past), etc.
- j. environmental and social supervision:*
- (i) environmentalist: days worked, areas inspected and numbers of inspections of each (road section, work camp, accommodations, quarries, borrow areas, spoil areas, swamps, forest crossings, etc.), highlights of activities/findings (including violations of environmental and/or social best practices, actions taken), reports to environmental and/or social specialist/construction/site management;
  - (ii) sociologist: days worked, number of partial and full site inspections (by area: road section, work camp, accommodations, quarries, borrow areas, spoil areas, clinic, HIV/AIDS center, community centers, etc.), highlights of activities (including violations of environmental and/or social requirements observed, actions taken), reports to environmental and/or social specialist/construction/site management; and
  - (iii) community liaison person(s): days worked (hours community center open), number of people met, highlights of activities (issues raised, etc.), reports to environmental and/or social specialist /construction/site management.
- k. Grievances: list new grievances (e.g., number of allegations of SEA and SH) received in the reporting period and number of unresolved past grievances by date received, complainant's age and sex, how received, to whom referred to for action, resolution and date (if*

*completed), data resolution reported to complainant, any required follow-up (Cross-reference other sections as needed):*

- (i) Worker grievances;
  - (ii) Community grievances
- l. Traffic, road safety and vehicles/equipment:*
- (i) traffic and road safety incidents and accidents involving project vehicles & equipment: provide date, location, damage, cause, follow-up;
  - (ii) traffic and road safety incidents and accidents involving non-project vehicles or property (also reported under immediate metrics): provide date, location, damage, cause, follow-up;
  - (iii) overall condition of vehicles/equipment (subjective judgment by environmentalist); non-routine repairs and maintenance needed to improve safety and/or environmental performance (to control smoke, etc.).
- m. Environmental mitigations and issues (what has been done):*
- (i) dust: number of working bowsters, number of waterings/day, number of complaints, warnings given by environmentalist, actions taken to resolve; highlights of quarry dust control (covers, sprays, operational status); % of rock/ spoil lorries with covers, actions taken for uncovered vehicles;
  - (ii) erosion control: controls implemented by location, status of water crossings, environmentalist inspections and results, actions taken to resolve issues, emergency repairs needed to control erosion/sedimentation;
  - (iii) quarries, borrow areas, spoil areas, asphalt plants, batch plants: identify major activities undertaken in the reporting period at each, and highlights of environmental and social protection: land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation;
  - (iv) blasting: number of blasts (and locations), status of implementation of blasting plan (including notices, evacuations, etc.), incidents of off-site damage or complaints (cross-reference other sections as needed);
  - (v) spill clean-ups, if any: material spilled, location, amount, actions taken, material disposal (report all spills that result in water or soil contamination);
  - (vi) waste management: types and quantities generated and managed, including amount taken offsite (and by whom) or reused/recycled/disposed on-site;
  - (vii) details of tree plantings and other mitigations required undertaken in the reporting period;
  - (viii) details of water and swamp protection mitigations required undertaken in the reporting period.
- n. compliance:*
- (i) compliance status for conditions of all relevant consents/permits, for the Work, including quarries, etc.): statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance;

- 
- (ii) compliance status of C-ESMP/ESIP requirements: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
  - (iii) compliance status of SEA and SH prevention and response action plan: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
  - (iv) compliance status of Health and Safety Management Plan re: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
  - (v) other unresolved issues from previous reporting periods related to environmental and social: continued violations, continued failure of equipment, continued lack of vehicle covers, spills not dealt with, continued compensation or blasting issues, etc. Cross-reference other sections as needed.

## APPENDIX D

### Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Performance Declaration for Subcontractors

*[The following table shall be filled in by each subcontractor proposed by the Contractor, that was not named in the Contract]*

Subcontractor's Name: *[insert full name]*

Date: *[insert day, month, year]*

Contract reference *[insert contract reference]*

Page *[insert page number]* of *[insert total number]* pages

<b>SEA and/or SH Declaration</b>
<p>We:</p> <p><input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.</p>
<p><b><i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i></b></p>

Name of the Subcontractor \_\_\_\_\_

Name of the person duly authorized to sign on behalf of the Subcontractor \_\_\_\_\_

Title of the person signing on behalf of the Subcontractor \_\_\_\_\_

Signature of the person named above \_\_\_\_\_

Date signed \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

Countersignature of authorized representative of the Contractor:



Signature: \_\_\_\_\_

Date signed \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_



## **Section IX - Particular Conditions of Contract**

The following Particular Conditions of Contract shall supplement the General Conditions of Contract in Section VIII. Whenever there is a conflict, the provisions herein shall prevail over those in the General Conditions.

### Particular Conditions of Contract (PCC)

The following Particular Conditions (PCC) shall supplement the General Conditions (GCC). Whenever there is a conflict, the provisions herein shall prevail over those in the GCC. The clause number of the PCC is the corresponding clause number of the GCC.

<p><b>PCC 1. Definitions</b></p>	<p>The Employer is: <b>Ministry of Energy and Water Resources (MoEWR), Federal republic of Somalia.</b></p> <p>The Project Manager is: Project Coordinator, Somali Electricity Sector Recovery Project “SESRP”.</p> <p>The Bank is: <b>World Bank</b></p> <p>Country of Origin: all countries and territories as indicated in Section V of the bidding document, Eligible Countries.</p>
<p><b>PCC 5. Law and Language</b></p>	<p>PCC 5.1 The Contract shall be interpreted in accordance with the laws of: <b>Federal republic of Somalia.</b></p> <p>PCC 5.2 The ruling language is: <b>English</b></p> <p>PCC 5.3 The language for communications is: <b>English</b></p>
<p><b>PCC 7. Scope of Facilities [Spare Parts] (GCC Clause 7)</b></p>	<p>PCC 7.3 The Contractor agrees to supply spare parts for a period of years: <b>Two (2) years.</b></p> <p>Sample Addition to PCC 7.3</p> <p><i>Contractor shall supply all spare parts required for the O&amp;M period. The contractor shall bear all the cost of supplying and replacing the parts during the said period. The cost for the spare Parts shall be incorporated in the O&amp;M section of the BOQ</i></p> <p>The Contractor shall carry sufficient inventories to ensure an ex-stock supply of consumable spares for the Plant. Other spare parts and components shall be supplied as promptly as possible, but at the most within six (6) months of placing the order and opening the letter of credit. In addition, in the event of termination of the production of spare parts, advance notification will be made to the Employer of the pending termination, with sufficient time to permit the Employer to procure the needed requirement. Following such termination, the Contractor will furnish to the extent possible and at no cost to the Employer the blueprints, drawings and specifications of the spare parts, if requested.</p>
<p><b>PCC 8. Time for Commencement and Completion</b></p>	<p>PCC 8.1 The Contractor shall commence work on the Facilities within <b>30 days</b> from the Effective Date for determining Time for Completion as specified in the Contract Agreement.</p> <p>PCC 8.2 The Time for Completion of the whole of the Facilities shall be from <b>Eight (8)</b> months Effective Date as described in the Contract Agreement.</p>

<b>PCC 9. Contractor's Responsibilities</b>	PCC 9.8 The following sustainable procurement contractual provisions apply: N/A
<b>PCC 11. Contract Price</b>	PCC 11.2 The Contract Price shall be fixed and not subject to adjustment during the contract execution stage including that of O&M services
<b>PCC 12. Terms of Payment</b>	<i>PCC 12.5 Not Applicable</i>
<b>PCC 13. Securities</b>	<p>PCC 13.3.1 The amount of Performance Security, as a percentage of the Contract Price for the Facility or for the part of the Facility for which a separate Time for Completion is provided, shall be:</p> <p><b>Ten (10%) percent of the contract value of design, supply, installation, configuration, testing, commissioning, operational and Maintenance of the Solar PV Plant of each lot and shall remain valid for the 8 Months of the project duration and the 24 months for O&amp;M period.</b></p> <ul style="list-style-type: none"> <li>• <b>50% of the Performance security shall be released within 45 days after expiry of the defect liability period of one year after project completion. The remaining 50% shall be released after completion of the O&amp;M period of 2 years.</b></li> <li>• <b>The 50% of the Performance security shall be released within 45 days after expiry of the O&amp;M period and subject to the approval of the project Manager.</b></li> </ul> <p>PCC 13.3.2 The Performance Security shall be in the form of the <b>Unconditional Bank Guarantee</b> attached hereto in Section X, Contract Forms.</p> <p>PCC 13.3.3 The Performance Security shall not be reduced on the date of the Operational Acceptance.</p> <p>PCC 13.3.3 The Performance Security shall be reduced to ten percent (10%) of the value of the component covered by the extended defect liability to cover the Contractor's extended defect</p>

	liability in accordance with the provision in the PCC, pursuant to GCC Sub-Clause 27.10.
<b>PCC 22 Installation</b>	<p>PCC22.2.5 Working Hours</p> <p>Normal working hours are: <b>8 AM to 5 PM</b></p> <p>PCC 22.2.8 Funeral Arrangements: <b>shall be arranged by contractor</b></p>
<b>PCC 25. Commissioning and Operational Acceptance</b>	PCC 25.2.2 The Guarantee Test of the Facilities shall be successfully completed within <b>45 Days</b> from the date of Completion.
<b>PCC 26. Completion Time Guarantee</b>	<p>PCC 26.2</p> <p>Applicable rate for liquidated damages: <b>0.1% of the contract amount per week for the delay.</b></p> <p>The above rate applies to the price of the part of the Facilities, as quoted in the Price Schedule, for that part for which the Contractor fails to achieve Completion within the particular Time for Completion.</p> <p>Maximum deduction for liquidated damages: <b>10% of final contract price.</b></p> <p>PCC 26.3 Applicable (<i>amount or rate</i>) for the bonus for early Completion: <b>N/A</b></p> <p>Maximum bonus:</p> <p>PCC 26.3 No bonus will be given for earlier Completion of the Facilities or part thereof.</p>
<b>PCC 27. Defect Liability</b>	<p>PCC 27.10 The critical components covered under the extended defect liability are <u>    N/A    </u>, and the period shall be <i>one (1) year</i>.</p> <p><b><i>contractor is responsible for any defect arising during the period of the contract that include the O&amp;M stage</i></b></p>
<b>PCC 30. Limitation of Liability</b>	<p><b>Sample Clause</b></p> <p>PCC 30.1 (b) The multiplier of the Contract Price is: <i>110%</i></p>
<b>PCC 39. Value Engineering</b>	PCC 39.1.2 If the value engineering proposal is approved by the Employer the amount to be paid to the Contractor shall be ___% (insert appropriate percentage. The percentage is normally up to 50%) of the reduction in the Contract Price. <b>N/A</b>

<p><b>PCC46. Disputes and Arbitration</b></p>	<p>PCC 46.1 The DB shall be appointed within 60 days after signature by both parties of the Contract Agreement PCC 46.1</p> <p>The DB shall be: One (1) member.</p> <p>PCC 46.1 List of potential DB members:</p> <p>Proposed by Employer: <b>None</b></p> <p>1. _____</p> <p>2. _____</p> <p>3. _____</p> <p>Proposed by Contractor [<i>Attach CVs to the Contract</i>]</p> <p>1. _____</p> <p>2. _____</p> <p>3. _____</p> <p>PCC 46.2 Appointment (if not agreed) to be made by: <b>Chartered Institute of Arbitrators Kenya Chapter - CIArb (K).</b></p> <p>PCC 46.5 Procedure to settle disputes in respect of DB’s decisions:</p> <p>_____</p> <p><b>Rules of arbitration</b></p> <p>GCC Sub-Clause 46.5(a) <i>shall apply.</i></p> <p>GCC Sub-Clause 46.5 (b): <i>shall apply.</i></p> <p><i>Place of arbitration if GCC Sub-Clause 46.5 (a) applies]: to be agreed between Employer and the contractor</i></p>
<p><b>PCC 47.</b></p>	<p>PCC 47.1- Cyber Security <i>applies</i>” <i>Not Applicable</i></p>





## Section X - Contract Forms

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## Notification of Intention to Award

**[This Notification of Intention to Award shall be sent to each Bidder that submitted a Bid, unless the Bidder has previously received notice of exclusion from the process at an interim stage of the procurement process]**

**[Send this Notification to the Bidder's Authorized Representative named in the Bidder Information Form]**

For the attention of Bidder's Authorized Representative

Name: *[insert Authorized Representative's name]*

Address: *[insert Authorized Representative's Address]*

Telephone/Fax numbers: *[insert Authorized Representative's telephone/fax numbers]*

Email Address: *[insert Authorized Representative's email address]*

**[IMPORTANT: insert the date that this Notification is transmitted to all participating Bidders. The Notification must be sent to all Bidders simultaneously. This means on the same date and as close to the same time as possible.]**

**DATE OF TRANSMISSION:** This Notification is sent by: *[email/fax]* on *[date]* (local time)

## Notification of Intention to Award

**Employer:** *[insert the name of the Employer]*

**Project:** *[insert name of project]*

**Contract title:** *[insert the name of the contract]*

**Country:** *[insert country where RFB is issued]*

**Loan No. /Credit No. / Grant No.:** *[insert reference number for loan/credit/grant]*

**RFB No:** *[insert RFB reference number from Procurement Plan]*

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period, you may:

- a) request a debriefing in relation to the evaluation of your Bid, and/or
- b) submit a Procurement-related Complaint in relation to the decision to award the contract.

### 1. The successful Bidder

<b>Name:</b>	<i>[insert name of successful Bidder]</i>
<b>Address:</b>	<i>[insert address of the successful Bidder]</i>
<b>Contract price:</b>	<i>[insert contract price of the successful Bid]</i>
Total combined score:	<i>[insert the total combined score of the successful Bidder]</i>

**2. Other Bidders** *[INSTRUCTIONS: insert names of all Bidders that submitted a Bid, Bid prices as read out and evaluated, technical scores and combined scores.]*

<b>Name of Bidder</b>	<b>Technical Score</b>	<b>Bid Price</b>	<b>Evaluated Bid Cost</b>	<b>Combined Score</b>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>

**3. Reason/s why your Bid was unsuccessful***[Delete if the combined score already reveals the reason]*

***[INSTRUCTIONS: State the reason/s why this Bidder's Bid was unsuccessful. Do NOT include: (a) a point by point comparison with another Bidder's Bid or (b) information that is marked confidential by the Bidder in its Bid.]***

**4. How to request a debriefing**

**DEADLINE:** The deadline to request a debriefing expires at midnight on *[insert date]* (local time).

You may request a debriefing in relation to the results of the evaluation of your Bid. If you decide to request a debriefing, your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Bidder, contact details; and address the request for debriefing as follows:

**Attention:** *[insert full name of person, if applicable]*

**Title/position:** *[insert title/position]*

**Agency:** *[insert name of Employer]*

**Email address:** *[insert email address]*

**Fax number:** *[insert fax number]* **delete if not used**

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

## 5. How to make a complaint

**DEADLINE::** The deadline for submitting a Procurement-related Complaint challenging the decision to award the contract expires on midnight, *[insert date]* (local time).

Provide the contract name, reference number, name of the Bidder, contact details; and address the Procurement-related Complaint as follows:

**Attention:** *[insert full name of person, if applicable]*

**Title/position:** *[insert title/position]*

**Agency:** *[insert name of Employer]*

**Email address:** *[insert email address]*

**Fax number:** *[insert fax number]* **delete if not used**

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or

received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

Further information:

For more information see the [Procurement Regulations for IPF Borrowers \(Procurement Regulations\)](#) (Annex III). You should read these provisions before preparing and submitting your complaint. In addition, the World Bank's Guidance "[How to make a Procurement-related Complaint](#)" provides a useful explanation of the process, as well as a sample letter of complaint.

In summary, there are four essential requirements:

1. You must be an 'interested party'. In this case, that means a Bidder who submitted a Bid in this procurement and is the recipient of a Notification of Intention to Award.
2. The complaint can only challenge the decision to award the contract.
3. You must submit the complaint within the deadline stated above.
4. You must include, in your complaint, all of the information required by the Procurement Regulations (as described in Annex III).

## 6. Standstill Period

**DEADLINE: The Standstill Period is due to end at midnight on *[insert date]* (local time).**

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended. This may happen where we are unable to provide a debriefing within the five (5) Business Day deadline. If this happens we will notify you of the extension

If you have any questions regarding this Notification please do not hesitate to contact us.

On behalf of the Employer:

**Signature:** \_\_\_\_\_

**Name:** \_\_\_\_\_

**Title/position:** \_\_\_\_\_

**Telephone:** \_\_\_\_\_

**Email:** \_\_\_\_\_



## Beneficial Ownership Disclosure Form

*INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM*

*This Beneficial Ownership Disclosure Form ("Form") is to be completed by the successful Bidder. In case of joint venture, the Bidder must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.*

*For the purposes of this Form, a Beneficial Owner of a Bidder is any natural person who ultimately owns or controls the Bidder by meeting one or more of the following conditions:*

- *directly or indirectly holding 25% or more of the shares*
- *directly or indirectly holding 25% or more of the voting rights*
- *directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder*

**RFB No.:** *[insert number of RFB process]*

**Request for Bid No.:** *[insert identification]*

**To:** *[insert complete name of Employer]*

In response to your request in the Letter of Acceptance dated *[insert date of letter of Acceptance]* to furnish additional information on beneficial ownership: *[select one option as applicable and delete the options that are not applicable]*

(i) we hereby provide the following beneficial ownership information.

### Details of beneficial ownership

Identity of Beneficial Owner	Directly or indirectly holding 25% or more of the shares  (Yes / No)	Directly or indirectly holding 25 % or more of the Voting Rights  (Yes / No)	Directly or indirectly having the right to appoint a majority of the board of the directors or an

			equivalent governing body of the Bidder  (Yes / No)
<i>[include full name (last, middle, first), nationality, country of residence]</i>			

**OR**

(ii) *We declare that there is no Beneficial Owner meeting one or more of the following conditions:*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder

**OR**

(iii) *We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Bidder shall provide explanation on why it is unable to identify any Beneficial Owner]*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder]"

**Name of the Bidder:** \**[insert complete name of the Bidder]*

**Name of the person duly authorized to sign the Bid on behalf of the Bidder:** \*\**[insert complete name of person duly authorized to sign the Bid]*

**Title of the person signing the Bid:** *[insert complete title of the person signing the Bid]*



**Signature of the person named above:** *[insert signature of person whose name and capacity are shown above]*\_\_\_\_\_

**Date signed** *[insert date of signing]* **day of** *[insert month]*, *[insert year]*\_\_\_\_\_

\* In the case of the Bid submitted by a Joint Venture specify the name of the Joint Venture as Bidder. In the event that the Bidder is a joint venture, each reference to "Bidder" in the Beneficial Ownership Disclosure Form (including this Introduction thereto) shall be read to refer to the joint venture member.

\*\* Person signing the Bid shall have the power of attorney given by the Bidder. The power of attorney shall be attached with the Bid Schedules.

## Letter of Acceptance

To: \_\_\_\_\_

This is to notify you that your Bid dated \_\_\_\_\_ for execution of the \_\_\_\_\_ for the Contract Price in the aggregate of \_\_\_\_\_, as corrected and modified in accordance with the Instructions to Bidders is hereby accepted by our Agency.

You are requested to furnish (i) the Performance Security within 28 days in accordance with the Conditions of Contract, using for that purpose one of the Performance Security Forms and (ii) the additional information on beneficial ownership in accordance with ITB 46.1, within eight (8) Business days using the Beneficial Ownership Disclosure Form, included in Section X, - Contract Forms, of the bidding document.

Authorized Signature: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Agency: \_\_\_\_\_

Attachment: Contract Agreement

## Contract Agreement

THIS AGREEMENT is made the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_,

BETWEEN

(1) \_\_\_\_\_, a corporation incorporated under the laws of \_\_\_\_\_ and having its principal place of business at \_\_\_\_\_ (hereinafter called "the Employer"), and (2) \_\_\_\_\_, a corporation incorporated under the laws of \_\_\_\_\_ and having its principal place of business at \_\_\_\_\_ (hereinafter called "the Contractor").

WHEREAS the Employer desires to engage the Contractor to design, manufacture, test, deliver, install, complete and commission certain Facilities, viz. \_\_\_\_\_ ("the Facilities"), and the Contractor has agreed to such engagement upon and subject to the terms and conditions hereinafter appearing.

NOW IT IS HEREBY AGREED as follows:

### **Article 1. Contract Documents**

#### **1.1 Contract Documents (Reference GCC Clause 2)**

The following documents shall constitute the Contract between the Employer and the Contractor, and each shall be read and construed as an integral part of the Contract:

- (a) This Contract Agreement and the Appendices hereto
- (b) Letter of Bid and Price Schedules submitted by the Contractor
- (c) Particular Conditions
- (d) General Conditions
- (e) Specification
- (f) Drawings
- (g) Other completed Bidding forms submitted with the Bid
- (h) Any other documents forming part of the Employer's Requirements
- (i) Any other documents forming part of the contract, including, but not limited to:

- i. the ES Management Strategies and Implementation Plans; and
- ii. Code of Conduct for Contractor’s Personnel (ES).

*[Any other documents shall be added here.]*

1.2 Order of Precedence (Reference GCC Clause 2)

In the event of any ambiguity or conflict between the Contract Documents listed above, the order of precedence shall be the order in which the Contract Documents are listed in Article 1.1 (Contract Documents) above.

1.3 Definitions (Reference GCC Clause 1)

Capitalized words and phrases used herein shall have the same meanings as are ascribed to them in the General Conditions.

**Article 2. Contract  
Price and Terms of  
Payment**

2.1 Contract Price (Reference GCC Clause 11)

The Employer hereby agrees to pay to the Contractor the Contract Price in consideration of the performance by the Contractor of its obligations hereunder. The Contract Price shall be the aggregate of: \_\_\_\_\_, \_\_\_\_\_ as specified in Price Schedule No. 5 (Grand Summary), and \_\_\_\_\_, \_\_\_\_\_, or such other sums as may be determined in accordance with the terms and conditions of the Contract.

2.2 Terms of Payment (Reference GCC Clause 12)

The terms and procedures of payment according to which the Employer will reimburse the Contractor are given in the Appendix (Terms and Procedures of Payment) hereto.

The Employer may instruct its bank to issue an irrevocable confirmed documentary credit made available to the Contractor in a bank in the country of the Contractor. The credit shall be for an amount of \_\_\_\_\_; and shall be subject to the Uniform Customs and Practice for Documentary Credits 2007 Revision, ICC Publication No. 600.

In the event that the amount payable under Schedule No. 1 is adjusted in accordance with GCC 11.2 or with any of the other terms of the Contract, the Employer shall arrange for the documentary credit to be amended accordingly.

**Article 3. Effective  
Date**

3.1 Effective Date (Reference GCC Clause 1)

The Effective Date from which the Time for Completion of the Facilities shall be counted is the date when all of the following conditions have been fulfilled:

- (a) This Contract Agreement has been duly executed for and on behalf of the Employer and the Contractor;
- (b) The Contractor has submitted to the Employer the Performance Security and the advance payment guarantee;
- (c) The Employer has paid the Contractor the advance payment;
- (d) The Contractor has been advised that the documentary credit referred to in Article 2.2 above has been issued in its favor;
- (e) constitution of the DB.

Each party shall use its best efforts to fulfill the above conditions for which it is responsible as soon as practicable.

- 3.2 If the conditions listed under 3.1 are not fulfilled within two (2) months from the date of this Contract notification because of reasons not attributable to the Contractor, the Parties shall discuss and agree on an equitable adjustment to the Contract Price and the Time for Completion and/or other relevant conditions of the Contract.

**Article 4.  
Communications**

- 4.1 The address of the Employer for notice purposes, pursuant to GCC 4.1 is: \_\_\_\_\_.
- 4.2 The address of the Contractor for notice purposes, pursuant to GCC 4.1 is: \_\_\_\_\_.

**Article 5. Appendices**

- 5.1 The Appendices listed in the attached List of Appendices shall be deemed to form an integral part of this Contract Agreement.
- 5.2 Reference in the Contract to any Appendix shall mean the Appendices attached hereto, and the Contract shall be read and construed accordingly.

IN WITNESS WHEREOF the Employer and the Contractor have caused this Agreement to be duly executed by their duly authorized representatives the day and year first above written.

Signed by, for and on behalf of the Employer

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*[Signature]*

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*[Title]*

in the presence of \_\_\_\_\_

Signed by, for and on behalf of the Contractor

---

*[Signature]*

---

*[Title]*

in the presence of \_\_\_\_\_

APPENDICES

- Appendix 1 Terms and Procedures of Payment
- Appendix 2 Price Adjustment
- Appendix 3 Insurance Requirements
- Appendix 4 Time Schedule
- Appendix 5 List of Major Items of Plant and Installation Services and List of Approved Subcontractors
- Appendix 6 Scope of Works and Supply by the Employer

Appendix 7 List of Documents for Approval or Review

Appendix 8 Functional Guarantees

## Appendix 1. Terms and Procedures of Payment

In accordance with the provisions of GCC Clause 12 (Terms of Payment), the Employer shall pay the Contractor in the following manner and at the following times, on the basis of the Price Breakdown given in the section on Price Schedules. Payments will be made in the currencies quoted by the Bidder unless otherwise agreed between the Parties. Applications for payment in respect of part deliveries may be made by the Contractor as work proceeds.

### TERMS OF PAYMENT

#### Schedule No. 1. Plant and Equipment Supplied from Abroad

In respect of plant and equipment supplied from abroad, the following payments shall be made:

Ten percent (10%) of the total CIP amount as an advance payment against receipt of invoice and an irrevocable advance payment security for the equivalent amount made out in favor of the Employer. The advance payment security may be reduced in proportion to the value of the plant and equipment delivered to the site, as evidenced by shipping and delivery documents.

Eighty percent (80%) of the total or pro rata CIP amount upon Incoterm "CIP", upon delivery to the carrier within forty-five (45) days after receipt of documents.

Five percent (5%) of the total or pro rata CIP amount upon issue of the Completion Certificate, within forty-five (45) days after receipt of invoice.

Five percent (5%) of the total or pro rata CIP amount upon issue of the Operational Acceptance Certificate, within forty-five (45) days after receipt of invoice.

#### Schedule No. 2. Plant and Equipment Supplied from within the Employer's Country

In respect of plant and equipment supplied from within the Employer's Country, the following payments shall be made:

Ten percent (10%) of the total EXW amount as an advance payment against receipt of invoice, and an irrevocable advance payment security for the equivalent amount made out in favor of the Employer. The advance payment security may be reduced in proportion to the value of the plant and equipment delivered to the site, as evidenced by shipping and delivery documents.

Eighty percent (80%) of the total or pro rata EXW amount upon Incoterm "Ex-Works," upon delivery to the carrier within forty-five (45) days after receipt of invoice and documents.



Five percent (5%) of the total or pro rata EXW amount upon issue of the Completion Certificate, within forty-five (45) days after receipt of invoice.

Five percent (5%) of the total or pro rata EXW amount upon issue of the Operational Acceptance Certificate, within forty-five (45) days after receipt of invoice.

#### Schedule No. 3. Design Services

In respect of design services for both the foreign currency and the local currency portions, the following payments shall be made:

Ten percent (10%) of the total design services amount as an advance payment against receipt of invoice, and an irrevocable advance payment security for the equivalent amount made out in favor of the Employer.

Ninety percent (90%) of the total or pro rata design services amount upon acceptance of design in accordance with GCC Clause 20 by the Project Manager within forty-five (45) days after receipt of invoice.

#### Schedule No. 4. Installation Services

In respect of installation services for both the foreign and local currency portions, the following payments shall be made:

Ten percent (10%) of the total installation services amount as an advance payment against receipt of invoice, and an irrevocable advance payment security for the equivalent amount made out in favor of the Employer. The advance payment security may be reduced in proportion to the value of work performed by the Contractor as evidenced by the invoices for installation services.

Eighty percent (80%) of the measured value of work performed by the Contractor, as identified in the said Program of Performance, during the preceding month, as evidenced by the Employer's authorization of the Contractor's application, will be made monthly within forty-five (45) days after receipt of invoice.

Five percent (5%) of the total or pro rata value of installation services performed by the Contractor as evidenced by the Employer's authorization of the Contractor's monthly applications, upon issue of the Completion Certificate, within forty-five (45) days after receipt of invoice.

Five percent (5%) of the total or pro rata value of installation services performed by the Contractor as evidenced by the Employer's authorization of the Contractor's monthly applications, upon issue of the Operational Acceptance Certificate, within forty-five (45) days after receipt of invoice.

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In the event that the Employer fails to make any payment on its respective due date, the Employer shall pay to the Contractor interest on the amount of such delayed payment at the rate of \_\_\_\_\_/percent (\_\_\_%) per month for period of delay until payment has been made in full.

#### PAYMENT PROCEDURES

The procedures to be followed in applying for certification and making payments shall be as follows:

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## Appendix 2. Price Adjustment

Where the contract period (excluding the Defects Liability Period) exceeds eighteen (18) months, it is normal procedure that prices payable to the Contractor shall be subject to adjustment during the performance of the Contract to reflect changes occurring in the cost of labor and material components. Contracts for shorter contract period, where local or foreign inflation is expected to be high, shall also include price adjustment provisions as appropriate. In such cases the bidding document shall include in this Appendix 2 a formula of the following general type, pursuant to GCC Sub-Clause 11.2.

Where Price Adjustment is not applicable, the following provision shall not be included. Instead, it shall be indicated under this Appendix 2 that the prices are to remain firm and fixed for the duration of the Contract.

### Sample Price Adjustment Formula

If in accordance with GCC 11.2, prices shall be adjustable, the following method shall be used to calculate the price adjustment:

Prices payable to the Contractor, in accordance with the Contract, shall be subject to adjustment during performance of the Contract to reflect changes in the cost of labor and material components, in accordance with the following formula:

$$P_1 = P_0 \left( a + b \frac{L_1}{L_0} + c \frac{M_1}{M_0} \right) - P_0$$

in which:

$P_1$  = adjustment amount payable to the Contractor

$P_0$  = Contract price (base price)

$a$  = percentage of fixed element in Contract price ( $a = \%$ )

$b$  = percentage of labor component in Contract price ( $b = \%$ )

$c$  = percentage of material and equipment component in Contract price ( $c = \%$ )

$L_0, L_1$  = labor indices applicable to the appropriate industry in the country of origin on the base date and the date for adjustment, respectively

$M_0, M_1 =$  material and equipment indices in the country of origin on the base date and the date for adjustment, respectively

N.B.  $a+b+c= 100\%$ .

### Conditions Applicable To Price Adjustment

The Bidder shall indicate the source of labor and materials indices, source of exchange rates and the base date indices in its Bid.

<u>Item</u>	<u>Source of Indices Used</u>	<u>Base Date Indices</u>
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The base date shall be the date twenty-eight (28) days prior to the Bid closing date.

The date of adjustment shall be the mid-point of the period of manufacture or installation of component or Plant.

The following conditions shall apply:

- (a) No price increase will be allowed beyond the original delivery date unless covered by an extension of time awarded by the Employer under the terms of the Contract. No price increase will be allowed for periods of delay for which the Contractor is responsible. The Employer will, however, be entitled to any price decrease occurring during such periods of delay.
- (b) If the currency in which the Contract price,  $P_0$ , is expressed is different from the currency of the country of origin of the labor and/or materials indices, a correction factor will be applied to avoid incorrect adjustments of the Contract price. The correction factor shall be:  $Z_0 / Z_1$ , where,
  - $Z_0 =$  the number of units of currency of the origin of the indices which equal to one unit of the currency of the Contract Price  $P_0$  on the Base date, and
  - $Z_1 =$  the number of units of currency of the origin of the indices which equal to one unit of the currency of the Contract Price  $P_0$  on the Date of Adjustment.
- (c) No price adjustment shall be payable on the portion of the Contract price paid to the Contractor as an advance payment.

### Appendix 3. Insurance Requirements

#### Insurances to be Taken Out by the Contractor

In accordance with the provisions of GCC Clause 34, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurances set forth below in the sums and with the deductibles and other conditions specified. The identity of the insurers and the form of the policies shall be subject to the approval of the Employer, such approval not to be unreasonably withheld.

(a) Cargo Insurance

Covering loss or damage occurring, while in transit from the supplier's or manufacturer's works or stores until arrival at the Site, to the Facilities (including spare parts therefor) and to the construction equipment to be provided by the Contractor or its Subcontractors.

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
---------------	--------------------------	------------------------	-------------	-----------

(b) Installation All Risks Insurance

Covering physical loss or damage to the Facilities at the Site, occurring prior to completion of the Facilities, with an extended maintenance coverage for the Contractor's liability in respect of any loss or damage occurring during the defect liability period while the Contractor is on the Site for the purpose of performing its obligations during the defect liability period.

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
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(c) Third Party Liability Insurance

Covering bodily injury or death suffered by third parties (including the Employer's personnel) and loss of or damage to property (including the Employer's property and any parts of the Facilities that have been accepted by the Employer) occurring in connection with the supply and installation of the Facilities.

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
---------------	--------------------------	------------------------	-------------	-----------

(d) Automobile Liability Insurance

Covering use of all vehicles used by the Contractor or its Subcontractors (whether or not owned by them) in connection with the supply and installation of the Facilities.  
Comprehensive insurance in accordance with statutory requirements.

(e) Workers' Compensation

In accordance with the statutory requirements applicable in any country where the Facilities or any part thereof is executed.

(f) Employer's Liability

In accordance with the statutory requirements applicable in any country where the Facilities or any part thereof is executed.

(g) Other Insurances

The Contractor is also required to take out and maintain at its own cost the following insurances:

Details:

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
---------------	--------------------------	------------------------	-------------	-----------

The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GCC Sub-Clause 34.1, except for the Third Party Liability, Workers' Compensation and Employer's Liability Insurances, and the Contractor's Subcontractors shall be named as co-insureds under all insurance policies taken out by the Contractor pursuant to GCC Sub-Clause 34.1, except for the Cargo, Workers' Compensation and Employer's Liability Insurances. All insurer's rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.

**Insurances To Be Taken Out By The Employer**

The Employer shall at its expense take out and maintain in effect during the performance of the Contract the following insurances.

Details:

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
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## Appendix 4. Time Schedule



## **Appendix 5. List of Major Items of Plant and Installation Services and List of Approved Subcontractors**

A list of major items of Plant and Installation Services is provided below.

The following Subcontractors and/or manufacturers are approved for carrying out the items of the Facilities indicated below. Where more than one Subcontractor is listed, the Contractor is free to choose between them, but it must notify the Employer of its choice in good time prior to appointing any selected Subcontractor. In accordance with GCC Sub-Clause 19.1, the Contractor is free to submit proposals for Subcontractors for additional items from time to time. No Subcontracts shall be placed with any such Subcontractors for additional items until the Subcontractors have been approved in writing by the Employer and their names have been added to this list of Approved Subcontractors.

Major Items of Plant and Installation Services	Approved Subcontractors/Manufacturers	Nationality

## Appendix 6. Scope of Works and Supply by the Employer

The following personnel, facilities, works and supplies will be provided/supplied by the Employer, and the provisions of GCC Clauses 10, 21 and 24 shall apply as appropriate.

All personnel, facilities, works and supplies will be provided by the Employer in good time so as not to delay the performance of the Contractor, in accordance with the approved Time Schedule and Program of Performance pursuant to GCC Sub-Clause 18.2.

Unless otherwise indicated, all personnel, facilities, works and supplies will be provided free of charge to the Contractor.

Personnel

Charge to Contractor (if any)

Facilities

Charge to Contractor (if any)

Works

Charge to Contractor (if any)

Supplies

Charge to Contractor (if any)



## Appendix 7. List of Documents for Approval or Review

Pursuant to GCC Sub-Clause 20.3.1, the Contractor shall prepare, or cause its Subcontractor to prepare, and present to the Project Manager in accordance with the requirements of GCC Sub-Clause 18.2 (Program of Performance), the following documents for

### A. Approval

1.

2.

3.

### B. Review

1.

2.

3.

## Appendix 8. Functional Guarantees

### 1. General

This Appendix sets out

- (a) the functional guarantees referred to in GCC Clause 28 (Functional Guarantees)
- (b) the preconditions to the validity of the functional guarantees, either in production and/or consumption, set forth below
- (c) the minimum level of the functional guarantees
- (d) the formula for calculation of liquidated damages for failure to attain the functional guarantees.

### 2. Preconditions

The Contractor gives the functional guarantees (specified herein) for the facilities, subject to the following preconditions being fully satisfied:

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### 3. Functional Guarantees

Subject to compliance with the foregoing preconditions, the Contractor guarantees as follows:

#### 3.1 Production Capacity

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**and/or**

#### 3.2 Raw Materials and Utilities Consumption

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### 4. Failure in Guarantees and Liquidated Damages

#### 4.1 Failure to Attain Guaranteed Production Capacity

If the production capacity of the facilities attained in the guarantee test, pursuant to GCC Sub-Clause 25.2, is less than the guaranteed figure specified in para. 3.1 above, but the actual production capacity attained in the guarantee test is not less than the minimum level specified in para. 4.3 below, and the Contractor elects to pay liquidated damages to the Employer in lieu of making changes, modifications and/or additions to the Facilities, pursuant to GCC Sub-Clause 28.3, then the Contractor shall pay liquidated damages at the rate of \_\_\_\_\_ for every complete one percent (1%) of the deficiency in the production capacity of the Facilities, or at a proportionately reduced rate for any deficiency, or part thereof, of less than a complete one percent (1%).

#### 4.2 Raw Materials and Utilities Consumption in Excess of Guaranteed Level

---

If the actual measured figure of specified raw materials and utilities consumed per unit (or their average total cost of consumption) exceeds the guaranteed figure specified in para. 3.2 above (or their specified average total cost of consumption), but the actual consumption attained in the guarantee test, pursuant to GCC Sub-Clause 25.2, is not more than the maximum level specified in para. 4.3 below, and the Contractor elects to pay liquidated damages to the Employer in lieu of making changes, modifications and/or additions to the Facilities pursuant to GCC Sub-Clause 28.3, then the Contractor shall pay liquidated damages at the rate of *[amount in the contract currency]* for every complete one percent (1%) of the excess consumption of the Facilities, or part thereof, of less than a complete one percent (1%).

#### 4.3 Minimum Levels

Notwithstanding the provisions of this paragraph, if as a result of the guarantee test(s), the following minimum levels of performance guarantees (and consumption guarantees) are not attained by the Contractor, the Contractor shall at its own cost make good any deficiencies until the Facilities reach any of such minimum performance levels, pursuant to GCC Sub-Clause 28.2:

- (a) production capacity of the Facilities attained in the guarantee test: ninety-five percent (95%) of the guaranteed production capacity (the values offered by the Contractor in its Bid for functional guarantees represents 100%).

**and/or**

- (b) average total cost of consumption of all the raw materials and utilities of the Facilities: one hundred and five percent (105%) of the guaranteed figures (the figures offered by the Contractor in its Bid for functional guarantees represents 100%).

#### 4.4 Limitation of Liability

Subject to para. 4.3 above, the Contractor's aggregate liability to pay liquidated damages for failure to attain the functional guarantees shall not exceed \_\_\_\_\_ percent ( \_\_\_ %) of the Contract price.

## Performance Security Form– Bank Guarantee<sup>1</sup>

*[Guarantor letterhead or SWIFT identifier code]*

**Beneficiary:** *[insert name and Address of Employer]*

**Date:** *\_ [Insert date of issue]*

**PERFORMANCE GUARANTEE No.:** *\_\_\_\_ [Insert guarantee reference number]*

**Guarantor:** *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that \_\_\_\_\_ (hereinafter called “the Applicant”) has entered into Contract No. \_\_\_\_\_ dated \_\_\_\_\_ with the Beneficiary, for the execution of \_\_\_\_\_ (hereinafter called “the Contract”).

Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of \_\_\_\_\_ (\_\_\_\_)<sup>2</sup>, such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary’s complying demand supported by the Beneficiary’s statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall be reduced by half upon our receipt of:

- (a) a copy of the Operational Acceptance Certificate; or
- (b) a registered letter from the Applicant (i) attaching a copy of its notice requesting issuance of the Operational Acceptance Certificate and (ii) stating that the Project Manager has failed to issue such Certificate within the time required or provide in \_\_\_\_\_

<sup>1</sup> *The Employer should insert either the Bank Guarantee or the Conditional Guarantee.*

<sup>2</sup> *The Guarantor shall insert an amount representing the percentage of the Contract Price specified in the Contract and denominated either in the currency(ies) of the Contract or a freely convertible currency acceptable to the Employer.*

writing justifiable reasons why such Certificate has not been issued, so that Operational Acceptance is deemed to have occurred.

This guarantee shall expire no later than the earlier of:<sup>3</sup>

- (a) twelve months after our receipt of either (a) or (b) above; or
- (b) eighteen months after our receipt of:
  - (i) a copy of the Completion Certificate; or
  - (ii) a registered letter from the Applicant, attaching a copy of the notice to the Project Manager that the Facilities are ready for commissioning, and stating that fourteen days have elapsed from receipt of such notice (or seven days have elapsed if the notice was a repeated notice) and the Project Manager has failed to issue a Completion Certificate or inform the Applicant in writing of any defects or deficiencies; or
  - (iii) a registered letter from the Applicant stating that no Completion Certificate has been issued but the Employer is making use of the Facilities; or
- (c) the \_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_.<sup>4</sup>

Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

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<sup>3</sup> This text shall be revised as and where necessary to take into account (i) partial acceptance of the Facilities in accordance with Sub-Clause 25.4 of the GCC; and (ii) extension of the Performance Security when the Contractor is liable for an extended warranty obligation pursuant to Sub-Clause 27.10 of the GCC (although in this latter case the *Employer* might want to consider an extended warranty security in lieu of the extension of the Performance Security).

<sup>4</sup> Insert the date twenty-eight days after the expected expiration date of the Defect Liability Period. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Employer's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."



This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

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*[signature(s)]*

***Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.***

## Performance Security Form- Conditional Bank Guarantee

Date: \_\_\_\_\_

Loan/Credit N°: \_\_\_\_\_

RFB N°: \_\_\_\_\_

To: \_\_\_\_\_

Dear Ladies and/or Gentlemen,

We refer to the Contract Agreement (“the Contract”) signed on [date] between you and \_\_\_\_\_ (“the Contractor”) concerning design, execution and completion of \_\_\_\_\_.

By this letter we, the undersigned, [name of Bank], a Bank (or company) organized under the laws of \_\_\_\_\_ and having its registered/principal office at \_\_\_\_\_, do hereby jointly and severally with the Contractor irrevocably guarantee payment owed to you by the Contractor, pursuant to the Contract, up to the sum of \_\_\_\_\_, equivalent to \_\_\_\_\_ percent (%) of the Contract Price until the date of the Operational Acceptance Certificate and thereafter up to a sum of \_\_\_\_\_, equivalent to \_\_\_\_\_ percent (%) of the Contract Price, until twelve (12) months after the date of Operational Acceptance, or eighteen (18) months after Completion of the Facilities, whichever comes first.

Where it is agreed between you and the Contractor that the Facilities are to be accepted in parts, and thus where there are separate Completion and Operational Acceptance Certificates for each part, this Letter of Guarantee shall be apportioned to the value of each such part and shall reduce or expire as provided above on or following Completion or Operational Acceptance of each part.

We shall only undertake to make payment under this Letter of Guarantee upon our receipt of a written demand signed by your duly authorized officer for a specified sum, where such demand sets out the reasons for your claim under this Letter of Guarantee and is accompanied by

- (a) a copy of the written notice sent by you to the Contractor before making the claim under this Guarantee, specifying the Contractor’s breach of contract and requesting the Contractor to remedy it
- (b) a letter signed by your duly authorized officer certifying that the Contractor has failed to remedy the default within the period allowed for remedial action
- (c) a copy of your written notice to the Contractor stating your intent to claim under this Letter of Guarantee because of the Contractor’s failure to remedy the default in accordance with the request referred to in para. (a) above.

Our liability under this Letter of Guarantee shall be to pay to you whichever is the lesser of the sum so requested or the amount then guaranteed hereunder in respect of any demand duly made

hereunder prior to expiry of this Letter of Guarantee, without being entitled to inquire whether or not this payment is lawfully demanded.

This Letter of Guarantee shall be valid from the date of issue until the earlier of twelve (12) months after the date of Operational Acceptance or eighteen (18) months after the date of Completion of the Facilities or, where the Facilities are to be accepted in parts, twelve (12) months after the date of Operational Acceptance or eighteen (18) months after the date of Completion of the last part or [date], whichever comes first.

Except for the documents herein specified, no other documents or other action shall be required, notwithstanding any applicable law or regulation.

If the Defect Liability Period is extended with respect to any part of the Facilities in accordance with the Contract, you shall notify us, and the validity of this Letter of Guarantee shall be extended with respect to the percentage of the Contract Price stipulated in the notification until expiry of such extended Defect Liability Period.

Our liability under this Letter of Guarantee shall become null and void immediately upon its expiry, whether it is returned or not, and no claim may be made hereunder after such expiry or after the aggregate of the sums paid by us to you shall equal the sums guaranteed hereunder, whichever is the earlier.

All notices to be given hereunder shall be given by registered (airmail) post to the addressee at the address herein set out or as otherwise advised by and between the parties hereto.

We hereby agree that any part of the Contract may be amended, renewed, extended, modified, compromised, released or discharged by mutual agreement between you and the Contractor, and this security may be exchanged or surrendered without in any way impairing or affecting our liabilities hereunder without notice to us and without the necessity for any additional endorsement, consent or guarantee by us, provided, however, that the sum guaranteed shall not be increased or decreased.

No action, event or condition which by any applicable law should operate to discharge us from liability hereunder shall have any effect and we hereby waive any right we may have to apply such law, so that in all respects our liability hereunder shall be irrevocable and, except as stated herein, unconditional in all respects.

Yours truly,

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Authorized Signature

# Advance Payment Security

## Demand Guarantee

*[Guarantor letterhead or SWIFT identifier code]*

**Beneficiary:** ..... *Name and Address of Employer* .....

**Date:***[Insert date of issue]*.....

**Advance Payment Guarantee No.:***[Insert guarantee reference number]*

**Guarantor:** *[Insert name and address of place of issue, unless indicated in the letterhead]*

.....  
We have been informed that . . . . . (hereinafter called “the Applicant”) has entered into Contract No. . . . . dated . . . . .with the Beneficiary, for the execution of, . . . . . (hereinafter called “the Contract”).

Furthermore, we understand that, according to the Conditions of the Contract, an advance payment in the sum . . . . . ( . . . . . ) is to be made against an advance payment guarantee.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of . ( . . . . . )<sup>5</sup> upon receipt by us of the Beneficiary’s complying demand supported by the Beneficiary’s statement whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating either that the applicant:

- (a) has used the advance payment for purposes other than the costs of mobilization in respect of the Facilities; or
- (b) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary’s bank stating that the advance payment referred to above has been credited to the Applicant on its account number \_\_\_\_\_ at \_\_\_\_\_..

<sup>5</sup>The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Employer.

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as indicated in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of documentation indicating full repayment by the Applicant of the amount of the advance payment, or on the . . . day of . . . . .<sup>6</sup>, whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

\_\_\_\_\_ [signature(s)]

***Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.***

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<sup>6</sup>*Insert the expected expiration date of the Time for Completion. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."*